



UTAH AMATEUR HOCKEY ASSOCIATION

GUIDEBOOK

Effective: June 5, 2017

UTAH AMATEUR HOCKEY ASSOCIATION (UAHA)

First Amendment August 5th, 2019

Second Amendment July 6, 2022

UAHA Board Approved Amendments

11/2021 – Renewal of Member Association Page 10
10/2022 - Tier Committee Membership Addendum Page 26
11/2022 – Double rostering – Pages 6, 20, 38
12/2022 – Tournament Roster Amendment Page 36
03/2023 – Pyramid Updated Page 5
09/2023 – Dispute Resolution Process Page 46
11/2023 – Pyramid and Summary of Requirements Page 5-6
04/2024 – Pyramid Page 5, Girls Page 29, **Girls Tier I (??)**

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UAHA Board of Directors

Current listing is found on the UAHA website at <https://www.utah-hockey.com/page/show/135967-board>

UAHA Mission Statement

Mission Statement Utah Amateur Hockey Association

The mission and vision of Utah Amateur Hockey Association (“UAHA”) is to provide a fun, safe and properly supervised atmosphere for all players, parents, and all those that love the game of hockey. We will emphasize long term growth and development, and promote the importance of honesty, personal integrity, hard work, dedication, sportsmanship and teamwork. We will encourage healthy competition while promoting player self-esteem, respect and integrity.

Core Values Utah Amateur Hockey Association

Fun & Enjoyment
Development & Growth
Respect
Honesty
Integrity
Selfless & Supportive
Teamwork

Introduction

The Utah Amateur Hockey Association Utah Amateur Hockey Association (UAHA) is an affiliate of USA Hockey (USAH), the national governing body for the sport of amateur ice hockey in the United States. Members of UAHA include rinks and Associations located within UAHA's geographical area, which apply and are granted member status and register amateur hockey teams with USA Hockey. Associate (non-voting) Members of UAHA include all players rostered on a USA Hockey registered team by a UAHA Member, parents, coaches and administrators. UAHA is run by an established voting board elected by its members and follows all rules and regulations established by USA Hockey.

Rules for UAHA are published on the UAHA website (www.utah-hockey.org) and in the current USA Hockey Annual Guide. UAHA is granted the authority by USA Hockey through its affiliate agreement to conduct certain affairs of USA Hockey, and to regulate the sport of amateur ice hockey within the state of Utah. UAHA is the ultimate authority for amateur hockey affairs in Utah, subject to the limitations contained under USA Hockey Bylaws, federal, state and local laws.

Policies, Procedures, Rules, and Regulations not specifically addressed in these UAHA Policies and Procedures shall default to the USAH Policies, Procedures, Rules, and Regulations published annually in the Annual Guide.

The purpose of this Policies and Procedures Guidebook is to assemble pertinent documents and rules established by UAHA that may not currently be in the USA Hockey Annual Guide and to establish specific Policies and Procedures within the state of Utah. The following is a brief summary of the structure, relationship, and available rules and policies that govern amateur hockey in the UAHA and USAH.

The overall governing body for amateur hockey in the United States is USAH. The Annual Guide is published each year with bylaw policy and procedure changes one year and playing rule changes (Official Rules) every four years. All such changes are approved each June at the Annual Congress, and hard copies are distributed each fall. The Annual Guide for organizational bylaws and policies is available online at www.usahockey.com. USAH is comprised of 12 districts and 34 affiliates, including the Rocky Mountain District and Utah Amateur Hockey Association. USAH holds two meetings each year, the Winter Meeting (Jan.) and Annual Congress (June).

Rocky Mountain District (RMD)

USA Hockey is divided into 12 districts, each with governing authority over all respective matters such as player development camps, national bound tournaments, player fees, and registration. The RMD (www.rockymountainhockey.com) is comprised of 6 affiliates and 7 states with Oklahoma being part of the Texas Affiliate. The RMD has a separate Policies and Procedures Manual that each affiliate and event is governed by, along with certain rules and policies that it administers on behalf of USAH. The RMD has 4 directors, each of whom serve on a committee within the USA Hockey governing body, and each vote on matters on behalf of RMD and its affiliates. For example, any rule and bylaw changes of USAH are voted on by these directors at the Annual Congress. The RMD convenes 2 meetings each year, as well as conference calls as needed.

Utah Amateur Hockey Association Utah Amateur Hockey Association (UAHA)

UAHA has its own bylaws for local administration of rules and guidelines as one of 34 affiliates within USAH. UAHA is a non-profit 501.c.3 organization that operates using a combination of USAH funding, fees charged for camps and tournaments, and grants to promote and grow amateur hockey in Utah. While UAHA makes every attempt to adhere to all rules, policies, and guidelines of USAH and RMD, the Affiliate also has certain rules and guidelines (e.g. background screening, match penalties, etc.). UAHA has divided its amateur hockey into six sections: adult, disabled hockey, female,

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high school, house, and travel sections, all of which are listed on the UAHA website at www.utah-hockey.org. As an affiliate, UAHA manages the Tier I and Tier II state tournaments and player development camps, which are steppingstones to similar events at the district and national levels. UAHA has one meeting each month. Periodic special meetings are also held along with many email communications and web postings.

Per USAH Bylaw 3, District and Affiliate Association Jurisdiction, UAHA has sole and exclusive power to determine the participation of its member teams.

Amendments

The UAHA Policies & Procedures should be considered dynamic, subject to change, and may be revised as necessary to meet the needs of the UAHA. Such additional policies and rules may be adopted as deemed necessary by the UAHA Board of Directors.

1. Amendments to these Policies and Procedures may be proposed by the Board of Directors by majority vote.
2. Proposed amendments to these Policies and Procedures must be distributed to the UAHA Board of Directors and posted with the agenda for either a regular meeting, or a Special Meeting called per the UAHA By Laws for review at least 10 days before any vote on the proposed amendments for comments.
3. The distribution of proposed amendments will announce a date for a meeting at which the amendments will be discussed and voted on by the Board of Directors.

These additional or amended provisions will be recorded in the minutes of the meeting and restated in amended Policies & Procedures and reposted on the UAHA Website.

Member Associations

UAHA Member associations are those organizations, and associations that have entered into a Member Association Agreement with the Affiliate and have agreed to abide by all current and future rules established by USAH and UAHA.

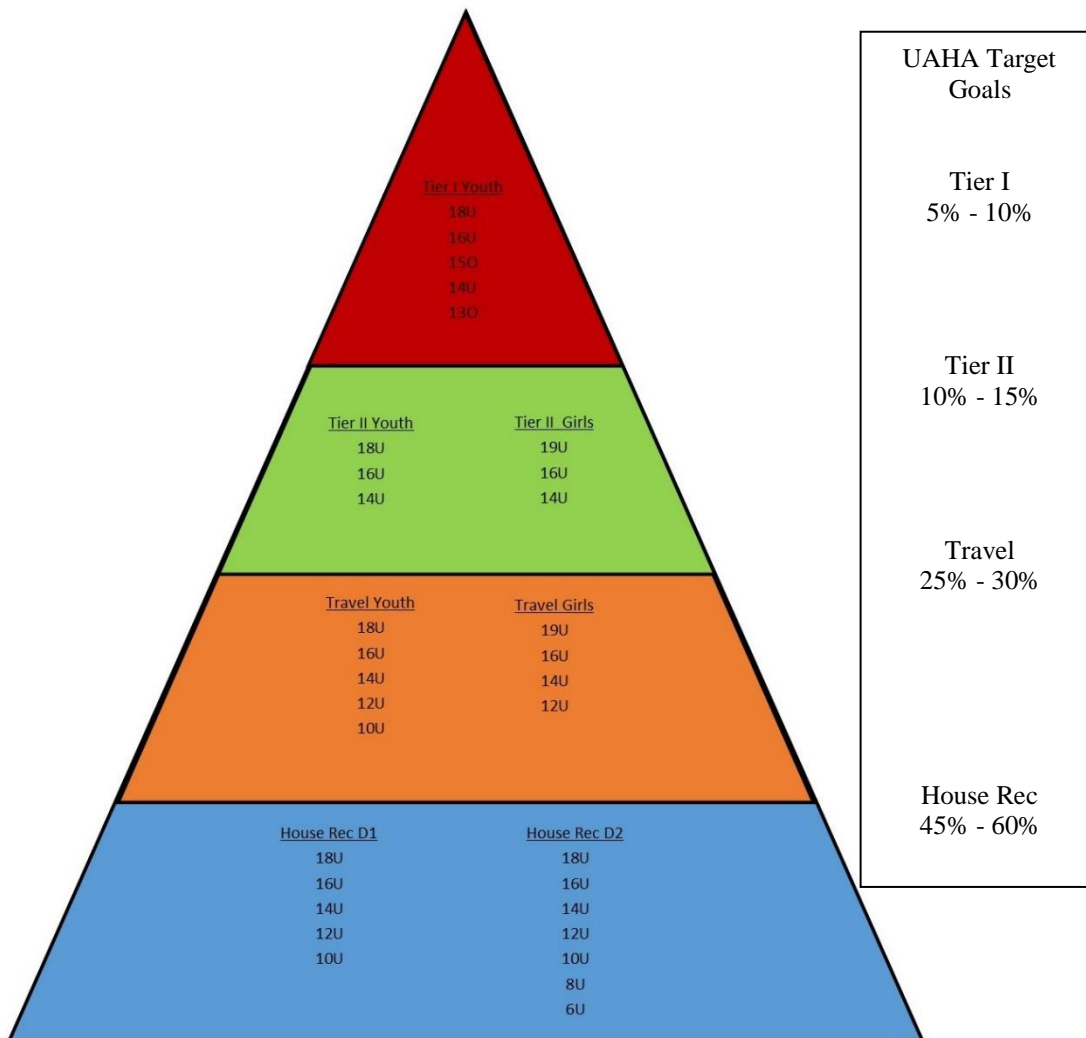
UAHA has the following member associations who have been granted initial Member Association status:

Association	Association Name
UTD3001	UTAH WARRIORS
UTH0000	UTAH MISC
UTH0004	OLYMPIC OVAL
UTH0006	SUMMIT AND WASATCH COUNTY AMATEUR HOCKEY
UTH0007	WEST COAST RENEGADES
UTH0011	BOUNTIFUL SENIOR HOCKEY LEAGUE
UTH0014	UTAH GIRLS HOCKEY ASSOCIATION
UTH0025	UTAH WOMENS HOCKEY ASSOCIATION
UTH0030	ASHLEY VALLEY AHA
UTH0049	PEAKS AMATEUR HOCKEY ASSOCIATION ADULT
UTH0050	PEAKS AMATEUR HOCKEY ASSOCIATION YOUTH
UTH0060	NORTHERN UTAH SENIOR HOCKEY LEAGUE
UTH0070	DAVIS COUNTY YOUTH HOCKEY ASSOCIATION
UTH0077	GOLDEN SPIKE HOCKEY
UTH0078	PARK CITY
UTH0079	UTAH HIGH SCHOOL HOCKEY
UTH0090	WASATCH MOUNTAIN AHA
UTH0092	SALT LAKE COUNTY YOUTH
UTH0095	CACHE VALLEY AMATEUR HOCKEY ASSOCIATION

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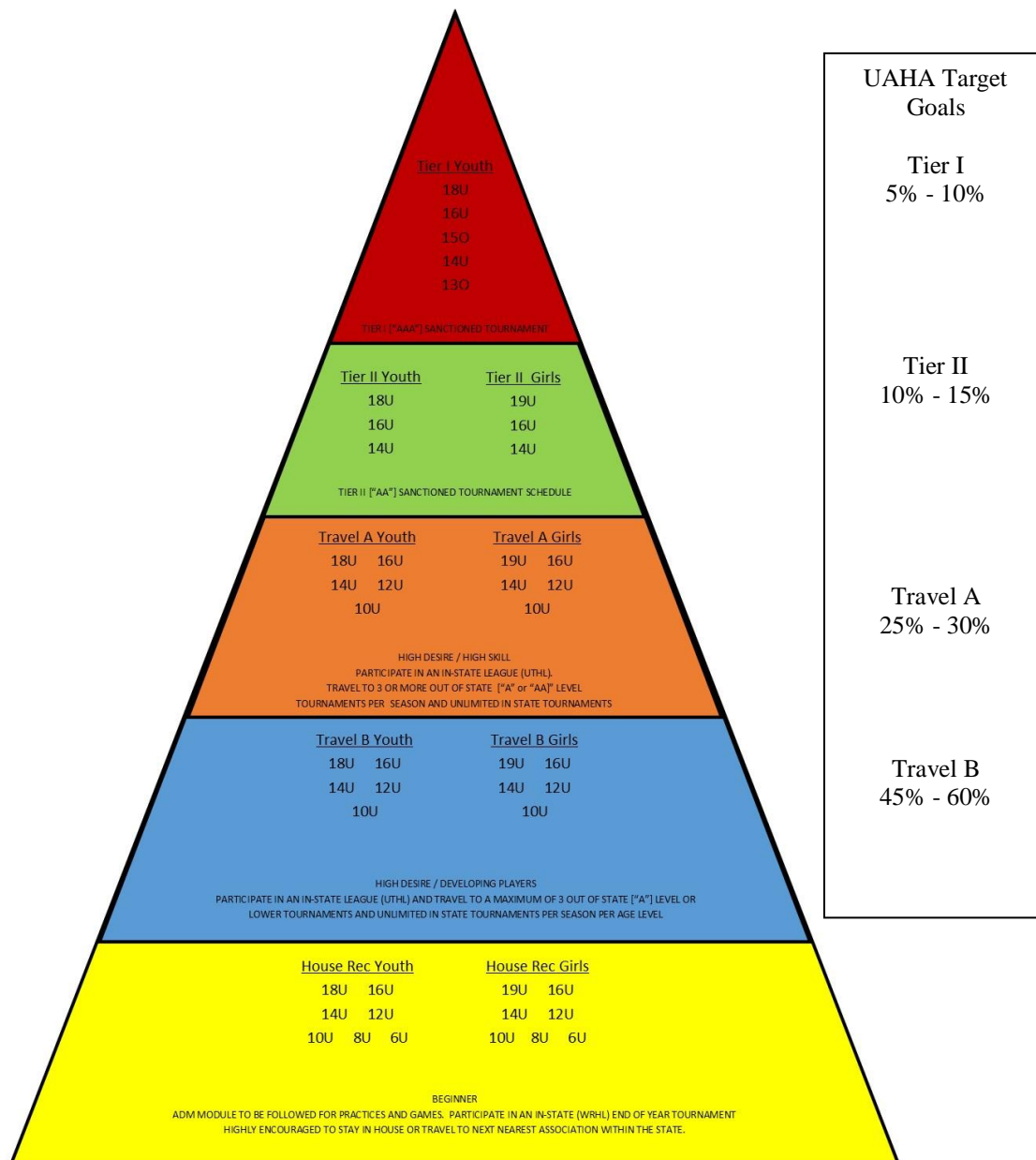
These Member Associations and any added Associations may continue to be members of UAHA provided they annually enter into a Member Association Agreement as required by these Policies and Procedures and be in good standing from the prior season based on membership and compliance with all rules.

Teams are determined each season. The approved teams are posted on the main page of the UAHA Website at www.utah-hockey.com. Team approval is based on BOD determined ration that approximates the ideal USA Hockey pyramid. UAHA has determined only one (1) Tier I team at each age level is the maximum allowed until the skill level of players as a whole significantly improves. The recommended guidelines shall be used to determine the amount of teams per level and age by multiplying the total players in each age group by the UAHA Target Goals. ~~Female only teams are excluded from utilizing the developmental pyramid to determine teams at each level.~~



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This pyramid structure begins the 2024-2025 season



For more information, please review Page 6 of the UAHA Policies and Procedures that can be found at www.utah-hockey.com

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**UTAH AMATEUR HOCKEY ASSOCIATION
APPROVED YOUTH USA HOCKEY SANCTIONED TEAMS
(Continued)**

SUMMARY OF REQUIREMENTS

TIER I TEAMS MUST PLAY A TIER I ["AAA"] SANCTIONED TOURNAMENT SCHEDULE. EACH TEAM MUST RETAIN A MINIMUM ROSTER OF 15 PLAYERS TO BEGIN OR CONTINUE THE SEASON. DISTRICT PLAYOFF ELIGIBILITY WILL BE IN ACCORDANCE WITH THE ROCKY MOUNTAIN DISTRICT PLAYOFF TEAM ELIGIBILITY RULES. ROSTERED TIER I PLAYERS WILL ONLY PARTICIPATE AT THE TIER I LEVEL WITH THE TEAM IN WHICH THEY SIGNED. OUT OF STATE BILLETED PLAYERS ALLOWED BUT RESTRICTED TO A MAXIMUM NUMBER OF 9 SKATERS AND 1 GOALIE. ROSTER FREEZE IS DETERMINED BY THE UTAH STATE REGISTRAR ANNUALLY, BUT IN NO CASE IS THE DATE AFTER DECEMBER 31st. RECOMMENDED ROSTER SIZE IS 13 SKATERS + 2 GOALIES AND SHALL BE IN ACCORDANCE WITH USA HOCKEY NATIONAL TOURNAMENT GUIDELINES.

TIER II TEAMS MUST PLAY A TIER II ["AA"] SANCTIONED TOURNAMENT SCHEDULE. TEAMS MAY NOT PARTICIPATE IN TIER I ("AAA") TOURNAMENTS. UTAH TIER II TEAMS MAY PLAY AGAINST TIER I ("AAA") TEAMS ONLY IF 1) THE TIER I ("AAA") TEAM IS A UTAH ROSTERED TEAM AND THE GAME IS IN UTAH, OR 2) IF AN INDIVIDUAL GAME AGAINST A TIER I ("AAA") TEAM IS SCHEDULED BY AN OUT OF STATE TOURNAMENT HAVING A COMBINED AA/AAA DIVISION WITHOUT THE INPUT FROM THE UTAH TEAM OR ORGANIZATION. EACH TEAM MUST RETAIN A MINIMUM ROSTER OF 15 PLAYERS (13 SKATERS + 2 GOALIES) TO BEGIN THE SEASON. TIER II TEAMS AT EACH AGE DIVISION WILL COMPETE IN STATE PLAYOFFS WITH THE WINNER MOVING ON TO TIER II USA HOCKEY NATIONALS. OUT OF STATE OR BILLETED PLAYERS ARE NOT ALLOWED (*See Player Residency Section*). ROSTER FREEZE IS DETERMINED BY THE UTAH STATE REGISTRAR ANNUALLY, BUT IN NO CASE IS THE DATE AFTER DECEMBER 31st. ROSTER SIZES SHALL BE IN ACCORDANCE WITH USA HOCKEY NATIONAL TOURNAMENT GUIDELINES. THIS POLICY APPLIES TO 14U TIER II TEAMS AND OLDER.

TRAVEL A TEAMS SHOULD PARTICIPATE IN AN IN-STATE LEAGUE (UTHL) AND 3 OR MORE OUT OF STATE "A" or "AA" LEVEL TOURNAMENTS PER SEASON. TEAMS DO NOT HOLD DESIGNATIONS OF "AAA" OR "AA", NOR MAY THEY ADVERTISE THEMSELVES AS SUCH AND 14U MAY NOT PARTICIPATE IN "AAA" TOURNAMENTS. 10U/12U TEAMS MAY PLAY IN ANY TOURNAMENT OF LIKE SKILL. PLAYERS MAY NOT PARTICIPATE IN THE D1/D2 HOUSE REC PROGRAM/WRHL (NO DOUBLE ROSTERING). OUT OF STATE OR BILLETED PLAYERS ARE NOT ALLOWED (*See Player Residency Section*). ROSTER FREEZE IS DETERMINED BY THE UTAH STATE REGISTRAR ANNUALLY, BUT IN NO CASE IS THE DATE AFTER DECEMBER 31st. EACH TEAM MUST RETAIN A MINIMUM ROSTER AS FOLLOWS TO BEGIN OR CONTINUE THE SEASON:

18U (19U Girls)	13+1	13U	16+1	14U	13+1	12U	11+1	10U	11+1
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HOUSE REC DIVISION I – COMPETITIVE LEAGUE. PLAYERS MUST PARTICIPATE IN AN IN-STATE LEAGUE (WRHL). ALL D1 TEAMS AT EACH AGE CLASS MUST PLAY A HOME & HOME SERIES WITH ALL PARTICIPATING TEAMS. PLAYER IS NOT ELIGIBLE TO PLAY BOTH D1 AND D2. OUT OF STATE OR BILLETED PLAYERS NOT ALLOWED (*See Player Residency Section*). D1 TEAMS MAY TRAVEL TO A MAXIMUM OF 3 OUT OF STATE "A" LEVEL OR LOWER TOURNAMENTS AND UNLIMITED IN STATE TOURNAMENTS PER SEASON PER AGE LEVEL. ROSTER FREEZE IS DETERMINED BY THE UTAH STATE REGISTRAR ANNUALLY, BUT IN NO CASE IS THE DATE AFTER DECEMBER 31st.

HOUSE REC DIVISION II – PLAYERS MAY PARTICIPATE IN AN IN-STATE LEAGUE (WRHL). D2 TEAMS MAY SET THEIR OWN IN STATE GAME SCHEDULE AS NEEDED. D2 TEAMS MAY TRAVEL TO A MAXIMUM OF 1 OUT OF STATE "B" LEVEL OR LOWER TOURNAMENT AND UNLIMITED IN STATE TOURNAMENTS PER SEASON. OUT OF STATE OR BILLETED PLAYERS NOT ALLOWED (*See Player Residency Section*). PLAYERS ARE NOT ELIGIBLE TO PLAY BOTH D1 AND D2 (NO DOUBLE ROSTERING).

TRAVEL A TEAMS SHOULD PARTICIPATE IN AN IN-STATE LEAGUE (UTHL) AND 3 OR MORE OUT OF STATE "A" or "AA" LEVEL TOURNAMENTS PER SEASON. TRAVEL A TEAMS ARE DESIGNED FOR PLAYERS AND PARENTS THAT ARE SEEKING A PROGRAM WITH A HIGH LEVEL OF COMMITMENT AND HIGHLY SKILLED PLAYERS. THE TRAVEL A LEVEL IS FOR THOSE THAT ARE EXPERIENCED PLAYERS THAT SEEK TO COMPETE AT THE HIGHEST LEVEL POSSIBLE. TEAMS DO NOT HOLD DESIGNATIONS OF "AAA" OR "AA", NOR MAY THEY ADVERTISE THEMSELVES AS SUCH AND 14U, 16U AND 18U MAY NOT PARTICIPATE IN "AAA" TOURNAMENTS. 10U/12U TEAMS MAY PLAY IN ANY TOURNAMENT OF LIKE SKILL. PLAYERS MAY NOT PARTICIPATE ON OTHER YOUTH, TRAVEL AND TIER TEAMS (NO DOUBLE ROSTERING). OUT OF STATE OR BILLETED PLAYERS ARE NOT ALLOWED (*See Player Residency Section*). ROSTER FREEZE IS DETERMINED BY THE UTAH STATE REGISTRAR ANNUALLY, BUT IN NO CASE IS THE DATE AFTER DECEMBER 31st. EACH TEAM MUST RETAIN A MINIMUM ROSTER AS FOLLOWS TO BEGIN OR CONTINUE THE SEASON:

18U (19U Girls)	13+1	16	16+1	14U	13+1	12U	11+1	10U	11+1
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TRAVEL B TEAMS – TRAVEL B TEAMS ARE DESIGNED FOR PLAYERS AND PARENTS THAT ARE INTERESTED IN PLAYING AT A HIGH LEVEL OF PLAY BUT LACK THE EXPERIENCE AND ARE LOOKING FOR A HEAVY EMPHASIS ON DEVELOPMENT. PLAYERS MUST PARTICIPATE IN AN IN-STATE LEAGUE (UTHL). ALL TRAVEL B TEAMS AT EACH AGE CLASS MUST PLAY A HOME & HOME SERIES WITH ALL PARTICIPATING TEAMS. PLAYER IS NOT ELIGIBLE TO PLAY ON OTHER YOUTH TRAVEL AND TIER TEAMS. OUT OF STATE OR BILLETED PLAYERS NOT ALLOWED (See Player Residency Section). TRAVEL B TEAMS MAY TRAVEL TO A MAXIMUM OF 3 OUT OF STATE “A” LEVEL OR LOWER TOURNAMENTS AND UNLIMITED IN STATE TOURNAMENTS PER SEASON PER AGE LEVEL. ROSTER FREEZE IS DETERMINED BY THE UTAH STATE REGISTRAR ANNUALLY, BUT IN NO CASE IS THE DATE AFTER DECEMBER 31st.

HOUSE/REC DEVELOPMENT – THE PURPOSE OF HOUSE REC DEVELOPMENT IS FOR THOSE PLAYERS THAT ARE NEW AND ARE NOT INTERESTED IN THE HIGHER COSTS AND TIME REQUIREMENTS THAT TRAVEL AND MORE COMPETITIVE HOCKEY REQUIRES. THIS DIVISION IS PRIMARILY FOR THE YOUNGER AGE GROUPS (6U, 8U, 10U AND POSSIBLY 12U). HOUSE REC DEVELOPMENT TEAMS ARE OPEN ROSTERS TO ALLOW FOR PLAYERS TO SIGN UP MID SEASON. THE ADM SHOULD BE HEAVILY EMPHASIZED WITHIN THIS PROGRAM INCLUDING THE PRACTICE TO GAME RATIOS AT AGE APPROPRIATE AND SKILL LEVELS. HOUSE REC DEVELOPMENT IS INTENDED TO ONLY PLAY GAMES AGAINST TEAMS WITHIN THE HOST PROGRAM. WHERE INSUFFICIENT PLAYERS EXIST WITHIN THE PROGRAM ONE GAME PER MONTH MAY BE SCHEDULED WITH ANOTHER ORGANIZATION. THE SEASONS FOR THESE TEAMS MAY BE SHORTER PROVIDING MORE OPPORTUNITIES FOR ORGANIZATIONS TO DEVELOP PLAYERS THAT DO NOT WANT TO COMMIT TO MULTIPLE PRACTICES A WEEK AND TRAVEL ACROSS THE STATE OR EVEN OUT OF STATE. HOUSE REC DEVELOPMENT TEAMS ARE NOT ELIGIBLE FOR OUT OF STATE TRAVEL. OUT OF STATE OR BILLETED PLAYERS ARE NOT ALLOWED (See Player Residency Section). PLAYERS MAY PARTICIPATE ON OTHER YOUTH TRAVEL TEAMS BUT NOT ON TIER TEAMS.

USA HOCKEY AGE CLASSIFICATIONS – PER USA HOCKEY’S ANNUAL GUIDE -Playing Season: September 1 through August 31

New/Proposed Member Associations (Organizations)

UAHA’s minimum criteria to apply for new member association status are as follows:

- House/Recreation Youth Teams – Three (3) Teams from 8U, 12U, 14U and 16U. Player minimums per requirements of Policies and Procedures herein.
- Travel Youth Teams - Three (3) Teams from 14U, 16U, 18U and 30 players. Player minimums per requirements of Policies and Procedures herein.
- Travel Girls Teams - Two (2) Teams from 14U, 16U or 19U. Player minimums per requirements of Policies and Procedures herein.
- As of the 2022 – 2023 Season, new Member Organizations shall fall under a 3-year growth period where the first 3 years are Rec / Travel prior to the UAHA BOD allowing Tier II Teams for that Organization.
- Adult League Team needs to consist of 15 Players for both Men and Women Teams
- Disabled 1 team and 10 players

Associations vary in size with all teams registered by the association registrar with USA Hockey, and with rosters approved by the UAHA Registrar.

New organizations wishing to apply for member association status must do so in writing, providing information on expected size, coaching staff, ice arrangements and demographic data that support the addition of a new association. UAHA will review the submission and determine whether member association status is warranted. If accepted as a member of UAHA, the Association must enter into a Member Association Agreement that spells out the relationship, responsibilities and expectations of Members. Member Agreements are renewed, or not renewed, annually. Applications are due February 1st of each year. UAHA will complete a first review of the application at the next available regularly scheduled meeting and determine if Member Association status is warranted or if additional information is needed. If UAHA determines new Member Association status is warranted, the organization must operate for a minimum of one complete season under another Member Association as a probationary period. UAHA shall review the application after the completed season to verify that the proposed new member association has complied with all UAHA and USA Hockey Policies and Procedures. UAHA may then approve the Association, require an additional probationary period, or disband the association.

Member associations **operate at the discretion of UAHA**, and such membership may be revoked at any time as a result of a breach of the Member Association Agreement, or on the annual renewal date with **30 days written notice**.

Player Residency and Eligibility Requirements for Tier, Travel, and House Rec

1. Tier I teams may recruit players nationwide in accordance with the specified number of out of area players allowed in the Tier I rules contained herein. **Tier I organizations may not roster Tier II, Travel or House/Rec level teams.**
2. Tier II teams must be rostered from players having Utah residency, with no billeted players, and no players from outside of Utah. Special circumstances may be considered by UAHA, provided a petition is received in writing from the parent or guardian of the player. Special Circumstances considered consist of:
 - a. Family medical situations that displaced a family from their home state for prolonged periods of time.
 - b. Lack of availability of a Tier II Organization within the bounds of their home Affiliate. Waivers will not be granted unless a release is received by UAHA from the player's home Affiliate (state) President.
 - i. Sub-Affiliate must be able to demonstrate that rostering an out of state player does not take away an opportunity of a Utah player.
 - ii. Out of State Players may not be billeted. Parent or Guardian must accompany the player.
 - iii. Player, parent, or guardian must commit to attend a majority of the practices scheduled for the team. Tournament Players are not allowed.
 - c. Must play for the organization (rink) closest to where the petitioning player lives
 - i. Exception may be granted by UAHA due to location of employment of parent or guardian
 - d. No other feasible opportunity to play hockey
3. House/Rec and Travel teams must be rostered from players having Utah residency, with no billeted players, and no players from outside of Utah. Special circumstances may be considered by UAHA, provided a petition is received in writing from the parent or guardian of the player. Special Circumstances considered consist of:
 - a. Family medical situations that displaced a family from their home state for prolonged periods of time.
 - b. Lack of availability of a Tier II Organization within the bounds of their home Affiliate. Waivers will not be granted unless a release is received by UAHA from the player's home Affiliate (state) President.
 - i. Sub-Affiliate must be able to demonstrate that rostering an out of state player does not take away an opportunity of a Utah player.
 - ii. Out of State Players may not be billeted. Parent or Guardian must accompany the player.
 - iii. Player, parent, or guardian must commit to attend a majority of the practices scheduled for the team. Tournament Players are not allowed.
 - c. Must play for the organization (rink) closest to where the petitioning player lives
 - iv. Exception may be granted by UAHA due to location of employment of parent or guardian
 - d. No other feasible opportunity to play hockey
4. All teams of a Member Association must register through the Affiliate within the geographical area of Utah.
5. All teams of an organization must be part of the same business entity.

Member Association Requirements

A member association is defined as an established association to promote amateur hockey in Utah by providing support for teams. Each association is required to have rules and regulations, including established Bylaws, Articles of Incorporation, and Policies and Procedures consistent with USAH and UAHA Bylaws. Each member association should have a voting board and elect a representative to attend UAHA meetings and be available by email and phone for UAHA communications and matters that pertain to their member association and other business that may affect their association. All the rights and privileges of USA Hockey are afforded a UAHA member association in good standing.

Organizations found to be in violation of any of the requirements of these Policies and Procedures are subject to the

sanctions detailed below:

- a. First Offense – Written warning
- b. Second Violation (same violation) - \$50 fine. Payment shall be made to the UAHA Treasurer within 14 days of written notice to the President or Leader of the Organization.
- c. Each Violation thereafter of the same violation shall double the previous fine imposed.
- d. Organizations found to continually be in violation of provisions of these Policies and Procedures may be subject to full or partial suspension of their programs after a hearing before the UAHA Disciplinary Committee.
- e. Organizations with unpaid fines are considered not to be in good standing under USA Hockey Bylaws. Organizations not in good standing are subject to the following:
 - i. UAHA will not consider any request for financial assistance
 - ii. Are subject to full or partial suspension of their programs after a hearing before the UAHA Disciplinary Committee.
 - iii. Will not be approved to organize teams the following season or make any changes to teams in the current season.
 - iv. Teams will not be eligible to participate in any post season play or championship.

Renewal of Member Associations (Organizations)

Organizations renewing Member Association status must do so in writing, providing information on expected size, coaching staff, ice arrangements and demographic data that support the addition of the association. A report outlining the successes and struggles of the previous season along with goals and direction of the Organization should be included. Changes to the number or level of teams from the previous year, should be specifically outlined in the application.

Member Agreements are renewed, or not renewed, annually. Applications are due February 1st of each year. UAHA will complete a first review of the application at the next available regularly scheduled meeting and determine if Member Association status is warranted or if additional information is needed. It is required that a representative of the Organization be present at the meeting called to discuss team selection and have the authority to act on any question presented by the UAHA Board of Directors.

Associations vary in size with all teams registered by the association registrar with USA Hockey, and with rosters approved by the UAHA Registrar. UAHA expects that the Member Associations will fill teams with the appropriate level of play based on players needs and not due to a stringent application that is submitted months before tryouts. As such, the application due February 1st should include as many teams as may be filled but aren't necessarily required to be filled by the Organization. An Organization may not roster a team that was not a part of the original application but will not be punished for failing to roster a team if the quality of players don't exist to play at that level. UAHA recommends filling teams with the appropriate skill level rather than forcing an organization to put players at risk when there aren't enough players to roster the team. UAHA is concerned about providing all players a place and team to play on and hopes that all Organizations work together to ensure that all players have opportunities to play at the appropriate skill level. Not filling teams based on the application will not be viewed as a success or failure of that organization.

Member associations operate at the discretion of UAHA and such membership may be revoked at any time as a result of a breach of the Member Association Agreement, or on the annual renewal date with 30 days written notice. During the application review process UAHA may place a Member Association on probation for any violation. A written notice outlining the violations will be delivered to the Member Association. Such notice should be viewed by the Member Association as a point of emphasis for the following year.

Criteria for existing member associations:

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1. Complete the Membership Application Form and must be submitted on or before February 1st of each year. Membership application shall be a form prepared by and submitted to UAHA, signed by the President of the Member Association. The form shall contain the information detailed in sections 3 through 7 below.
2. Sign and submit the UAHA Member Association Agreement prior to the deadline (February 1st of each year,) including fee of \$50 per Organization. Applications not submitted prior to the deadline may only be accepted upon payment of a late fee of \$50 made payable to UAHA. In no case will an application be accepted more than 20 calendar days after the deadline.
3. Specify the official contact person and SafeSport Coordinator for the entity along with their specific contact information.
4. Entity must have and maintain at least the minimum number of teams and players registered during the course of the regular season (August 1st through the day in April after the last USAH National Tournament of the season concludes. Player and Team registrations during the off-season (May-July) do not count towards the players and team minimum. Exceptions, if any, shall be approved by the UAHA Board only upon written request. Small hockey communities may request consideration, in writing, if unable to meet this minimum. A directory of participants should be included that identify where each player played the prior USA Hockey season.
5. Entity must have adequate ice to service the organization. A statement shall be included that details where the organization will contract/use ice and if such ice is currently being used for other recreational purposes. This statement should declare whether ice has been secured with a contract or how ice will be available to the organization.
6. Entity must be incorporated (or other appropriate registered business entity) and in good standing with the State of Utah [Incorporation, have Articles of Incorporation, By-Laws, Policies and Procedures, and Officers. A copy of registered Articles of Incorporation (Formation), By-Laws, and a list of current officers shall be included or published on the organization's website. Hard copies may be requested by UAHA at any time. If Director's and Officer's (D&O) Insurance exists, a copy of the D&O retainer, policy or other evidence of existence should be provided.
7. Declare the organization's intended (sustainable) development plan for developing hockey and the type of players/teams intended to support. This declaration should indicate if the organization has a specific purpose such as teams for girls, high school age players and teams, disabled teams, adult teams or youth recreation hockey.
8. Declare the Association name for which all teams will be called. Any information that is necessary for other UAHA associations to better understand the entity's plans and goals and would benefit UAHA considering the application should also be included.
- 8.9. Registration. The team roster registration forms (1-T) for each approved UAHA team shall be completed and forwarded to the Utah Registrar for approval prior to any games being played by the team to be rostered. The team roster registration form (1-T) shall not be effective until the date it is approved by the Utah Registrar through the USA Hockey portal. Sanctions for non-compliance shall be as follows:
 - 1st offence = One game suspension of Head Coach (responsible for ensuring the roster is correct, even though the organization may be to blame for not submitting the roster)
 - 2nd Offence = 3 game suspension of Head Coach and an Official Sanction letter that the program is not in good standing with UAHA
 - 3rd Offence = Suspension of program until 100% compliant and certified as such by the Utah RegistrarUtah Registrar may request any game score sheet from any organization at any time to determine compliance with this rule. Failure to comply will result in an Official Sanction letter that the program is not in good standing with UAHA. Failure to comply after a second request shall result in suspension of program until request is honored.

Note: Applying with all stipulated criteria does NOT mean Member Association status will be granted. The UAHA Board has sole discretion on the addition of new member associations, as well as whether such status will continue year to year.

Leagues

Multiple leagues may exist within Utah, whether they were formed for adult, high school, house, or travel play. Leagues were formed based on sufficient demand from teams and players for organized play. Leagues are governed by rules and guidelines established by the leagues. League rules are designed to manage all aspects of league play, and shall not conflict with existing rules within UAHA, RMD, or USAH. Situations arise occasionally that require input from UAHA or USAH. These situations will be addressed by the appropriate body on a case by case basis.

Meetings

UAHA holds regular business meetings on a monthly basis. Special Meetings may be called as allowed in the UAHA Bylaws. Monthly and Special Meetings may be comprised of open (public invited) sessions, private (Member Association only), and Executive(closed) sessions.

UAHA will also hold an annual meeting in July to review all activities related to its yearly events. UAHA recommends each member association send at least one member to all annual meetings in order to gather information and to represent the association on matters that come before the UAHA membership. Annual Meetings may be comprised of open (public invited) sessions and private/closed Member Association only sessions. Each organization is encouraged to provide/present a brief report on their organization and any notable accomplishments over the previous season, as well as any notable plans for the coming season.

Adult Hockey

Players who become ineligible to play youth hockey at age 19 (or 20 for girls), are then eligible for adult hockey. Divisions currently consist of A, B, C, and D, with no checking rules enforced.

Adult Check Hockey

Adult check hockey is no longer sanctioned or rostered by UAHA.

Disabled Hockey

Disabled hockey is a relatively new section of hockey for UAHA. Disabled hockey players (e.g. sled, hearing impaired, etc.) face many challenges, but ice hockey offers an avenue to participate, compete, and enjoy our great game. In Utah, current efforts are focused on funding and growing a disabled program and league that would include teams from across the state. The goal of this effort is to provide a Utah Disabled Hockey League with minimum travel costs and opportunities for disabled players and their families.

Girls/Women's Hockey

Girls' / Women's divisions recognized by USAH and UAHA are as follows:

- National Bound Girls' Tier I: 14U, 16U & 19U
- National Bound Girls' Tier II: 14U, 16U & 19U
- Girls' Travel: 14U, 16U & 19U
- Girls' Recreational or House: 8U, 10U, 12U, 14U, 16U & 19U
- National Bound Women's (18 & Over): Senior A, B, C,
- National Bound Women's Recreational: 30+, 40+ & 50+
- Women's Adult Recreational (18 & Over)

As allowed by USAH, Girls may dual roster on a Travel, House/Rec (includes High School teams per their Policies and Procedures) and/or multiple female Girls' Tier teams. Dual-rostered females playing on Travel, House/Rec, and Girls' Tier teams may continue playing on all teams until the end of the regular season. However, the player must declare in

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writing to her appropriate Association and State registrar, or his/her designee, by December 31st (or the earlier date established by the State or District Registrar), on which National Championship bound team she wishes to be eligible to advance to the District, State, Regional and National Championship (as applicable). If the player does not indicate on which team she wishes to be eligible for District, State, Regional and National Tournaments, the State registrar shall have the final authority to certify the eligibility of any such player solely on the basis of the date of the earliest team roster registration form (form C-1), as provided above, is received.

UAHA hosts the State Player Development Utah Camp (UPDC) each spring. UPDC is a player development camp with advancement opportunities to District and National levels. All females under the age of 17 are encouraged to attend.

Structure of Girls Programs:

Until such time as the number of female youth players in Utah support multiple Tier teams at each age division established by USAH, the number of organizations that may field Girls Hockey at the 19U, 16U and 14U age divisions will be limited to one (1).

Until such time as the number of female youth players in Utah support multiple Travel teams (Teams that play in an established league such as the Mountain States Girls Hockey League (MSGHL), and as further defined herein under Youth Travel), at each age division established by USAH, the number of organizations that may field Girls Travel Level Hockey at the 19U, 16U, 14U, and 12U age divisions will be limited to one (1). This organization shall be the same as that fielding Tier Level Girls Teams. Another organization may request from UAHA Board of Directors to field a team at another age division should the existing organization not field the other age divisions.

Organizations may field other all girls House/Rec teams, teams that would not compete in a league or travel outside Utah, except as allowed under the Utah Recreational Hockey League; however, all players would have to reside within the geographical area served by the organization.

Utah High School Hockey, or their designee, will operate any girls only high school program, except that UAHA may operate or assign a Utah Girls Team, or RMD Girls Team wanting to attend the High School Showcase. Utah High School Hockey girl's programs may only play its games within the State of Utah against other Utah Girls Teams from September 1 and March 1 of the hockey season, except for nationally organized Showcase Tournaments as allowed by Utah High School Hockey.

Development of Sustainable Girls Hockey Programs

- In order to provide the most flexibility for fielding age appropriate and competitive female teams; and provide consistent, high level player development, all Travel Level and Tier Level Girls Hockey Teams will be combined under a single organization and rostered under the Utah Girls/Women Section Sub-Affiliate Number UTH0025-001, Utah Girls Hockey Association dba, Utah Lady Grizzlies. Existing/Established Sub-Affiliate Organizations outside 75 miles from the Salt Lake Sports Complex (Steiner) may petition to form Travel Level female teams, but provide information required in the Addition of New Girls Programs and Teams section above. Approval of any such teams is at the sole discretion of the UAHA Board of Directors. D1/D2 Level female teams can be rostered by any existing Sub-Affiliate Organization who can field a minimum of 10 skaters and a goalie. Organizations who cannot field the minimum number of female players, are encouraged to combine with a nearby organization, or form youth teams with a majority of female players.

Team Selection and Tryout Structure

- Protected Tryout Periods for Tier and Travel Level Teams
 - Tier I from 48 hours after the last game of USA Hockey Nationals Tournament (or as otherwise determined by USA Hockey) until May 31st.

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- Tier II and Travel 14U and up during the month of June
- Travel 12U and under in May
- House/Rec D1 & D2 teams are NOT selected by tryout. Each organization shall divide all registered players into roughly equal skill level teams

House/Rec Hockey

House or Recreational level is the backbone of youth hockey and represents the start of the development ladder. This level encourages “house league” play and must be designed by the Member Association to provide opportunities to play for enjoyment, fitness, relaxation, and friendship. Skill development and team concepts should be stressed rather than winning the game at “all costs”. House/Rec leagues may exist within UAHA upon approval of UAHA. Any approved leagues, as detailed herein, would be self-administered but must comply with UAHA policies and procedures and any applicable USA Hockey rules.

USAH Flex Hockey - Details TBD per USA Hockey

Section Pending...

High School Hockey

High School Hockey is separated into Varsity and JV and teams skate for their School’s Club Team or for an “Independent or Combined” team in area leagues. Teams are defined as either “pure” (meaning all players attend the same school) or “Independent or combined” meaning players may attend different schools within a district or region. Independent or combined players are assigned by Utah High School Hockey (UHSB) to teams by the area/region in which they attend or would attend high school. All teams have rosters registered through USA Hockey as House/ Rec. Each year UHSB will conduct a State Tournament for both “pure” and “combined” HS teams and a champion will be crowned in each division. Champions will advance to play in the National HS Championships. The High school hockey season runs from September 1st to March 1st each year. Players are eligible to play for their high school and can also be rostered on a House/Rec, Travel or Tier team. Select High School players within UAHA or UHSB may compete each spring on a Showcase team. All teams must also follow Utah High School Hockey (UHSB) Policies and Procedures most recently adopted by the UHSB Board of Trustees which are included herein by reference.

High School Hockey’s Policies and Procedures are incorporated herein, and a copy is found on the [UHSB website at https://www.utahhighschoolhockey.com/documents/forms](https://www.utahhighschoolhockey.com/documents/forms).

Travel Hockey (Rostered as House/Rec)

Travel hockey is comprised of skilled players and teams of local associations who have the desire and ability to travel to three (3) or more out of state tournaments and compete for league championships. An emphasis is placed on higher level skill development both on and off ice, higher level competition and on winning, which is balanced with sportsmanship and fair play. Travel leagues may exist within UAHA upon approval by UAHA as defined herein. Approved leagues are self-administered but must comply with UAHA policies and procedures and any applicable USA Hockey rules. UAHA hosts several events each season, including the Utah Affiliate Player Development Camp (UPDC), which is a player development camp held each spring with advancement opportunities to District and National levels for the highest skilled players at each eligible age division.

Tier Hockey (Rostered as Tier I or Tier II)

Tier level hockey is comprised of highly skilled, accomplished players and teams of approved Tier organizations who have the desire and ability to travel and compete for league, state, district, and national championships. An emphasis is placed on even higher-level skill development both on and off ice, high level of competition, and on winning, which is balanced with sportsmanship and fair play. Tier level leagues may exist within UAHA upon approval of UAHA. Any approved leagues would be self-administered but must comply with UAHA policies and procedures and any applicable USA Hockey rules. UAHA hosts several events each season, including the state championships (Tier I and II) and the Utah Affiliate Player Development Camp (UPDC), which is a player development camp held each spring with

advancement opportunities to District and National levels.

ADM Director

USA Hockey rolled out its American Development Model (ADM) in January 2009 after much data gathering of other federation hockey programs, other youth sports, and scientific studies of the development of young athletes. The focus of ADM is skill development, long-term athlete development, fun, and finding an appropriate balance. The 8U age group represents the initial focus of ADM with half-ice or cross-ice practices and games, limited practice-to-game ratios, and practice plans designed for skill development for young players. UAHA's Mite ADM Policy is adopted as part of these Policies and Procedures, to comply with USA Hockey's ADM Program.

Registrar

The UAHA Registrar is responsible for the review and approval of all [form] IT team rosters as submitted by local member association registrars. Utah utilizes 100% online registration through USA Hockey's web-based system. UAHA Registrars review House/Rec and Tier rosters for coaches' certification, background screenings, play-up and players' compliance. Rosters are date stamped for approval and a copy returned to the member association registrar. Tier I/II rosters are reviewed similarly, and upon approval, are date stamped and copies submitted to the District Registrar for final approval.

Coach-in-Chief

UAHA's Coach-in-Chief oversees all coaching certification clinics as part of a Coaching Education Program (CEP). Local CEP instructors conduct clinics in various communities as part of its CEP. Level 1, 2, and 3 clinics are held annually within UAHA, while level 4 is generally held only once each year within the District. Coaches are certified at each level only after all requirements are met. A schedule of coaching CEP clinics can be found on www.usahockey.com to register. Starting with the 2011-12 season, coaches can only advance one certification level each year, and are required to take age-specific online coaching module for the age team they are rostered on. Coaches on teams having female player must also take the Girls Module. **ALL Coaches must complete the Background screening, USAH Module Training, and SafeSport Certification PRIOR to interacting with any minor on or off the ice.**

Referee-in-Chief

UAHA Referee-in-Chief oversees all on-ice officials' certification clinics within Utah. Similar to the coaches, local instructors are used to conduct these on-ice officials' clinics. Officials are required to attend a certification clinic annually and complete a background screening in order to remain certified and be eligible to officiate games within Utah and USAH.

SafeSport Coordinator

UAHA's SafeSport Coordinator oversees USA Hockey's SafeSport program for the affiliate, which includes (a) information and materials addressing how to report suspicions or allegations of physical or sexual abuse, or any violations of USA Hockey's SafeSport Policies, (b) sample locker room and travel policies for local programs, (c) the names and contact information for the respective Affiliate SafeSport Coordinators, (d) links to the online training materials and videos for USA Hockey volunteers, employees and members, and (e) other information and materials regarding the USA Hockey SafeSport Program.

UAHA "Outstanding Debt List" - ODL

Member Associations shall comply with USAH Bylaw 10.D.3.j, Procedures Applicable to Participant Ineligibility Determinations Based on Financial Disputes.

UAHA maintains a list of players across the Affiliate who has not completed their financial obligations to an association or team. Member associations, rinks and teams may add players to the ODL at any time during the season by submitting a

form to the UAHA Vice President of Discipline that identifies:

1. Player Name
2. DOB
3. Contact information (phone, email, mailing address) for the player being added
4. Member association making the request
5. Dollar amount owed to a member association or team
6. Description and/or timeline of collection attempts
7. Copies of all correspondence between the parties

Although players can be added to the ODL during the season, the last day to make additions to the list for the prior season is the second day after USA Hockey Nationals concludes each year. Any player not added to the ODL list by that date will be assumed to be in good financial standing for the prior season and is eligible for all USAH activities and to tryout and sign with any other organization for the next season. Players added to the ODL may NOT skate for any association, club or team INCLUDING the association, club or team that added the player to the ODL. A member association, club or team that adds a player to the ODL and then allows the player to skate before the obligation is satisfied will waive the rights to amounts owed and the player will be removed from the ODL.

If a player is added to the ODL at any point in the season his/her eligibility on all teams (travel, house, high school, adult) is suspended until the financial obligation has been settled.

Further, a player who chooses to skate during the spring or summer with an association or adult league and fails to complete all financial obligations may be added to the ODL at any time.

Associations are responsible for verifying that players are cleared to play before allowing them to skate or take part in a scrimmage or game. Any organization found to be using a player whose name is on the Outstanding Debt List will be fined \$1,000 and any games played with the listed player will be forfeited in both league and/or tournament play. Fines shall be payable to UAHA within 30 days. Member Associations failing to satisfy fines shall be suspended per USAH Bylaw 10.

A player added to the ODL while playing youth hockey, but who ages out and becomes eligible for adult hockey will be required to satisfy the financial obligation before being eligible to play adult league hockey.

Any player whose name was added to the ODL by an organization or team that ceases to operate before the debt is settled must make payment to UAHA before becoming eligible to play again. Such payments to UAHA will be used for scholarships, equipment grants, and other initiatives at UAHA's sole discretion.

UAHA POLICIES & RULES

Travel Permits

Travel Permit Applications are required to be submitted to the UAHA President, or their designee, by an authorized member for any Organization whose team desires to travel outside the State of Utah for any USA Hockey Sanctioned event including single games, a series of games against one or more teams ("friendlies"), or tournament. The Travel Permit form is found on the UAHA website at www.utha-hockey.com. The form should be submitted in a pdf format so it may be readily reviewed and approved.

All Tier teams must record all games on the My Hockey Rankings Website (<https://myhockeyrankings.com/https://myhockeyrankings.com/>).

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All Teams are required to provide any and all game scoresheets upon request of UAHA.

Any team found to have traveled outside the state without a permit shall be sanctioned as follows:

- First violation – Written Warning
- Second Violation - \$250 fine payable to UAHA within 14 days of written sanction
- Third Violation - \$500 fine payable to UAHA within 14 days of written sanction
- Fourth Violation – Suspension of Team for the duration of the season

Background Screenings

All UAHA associations are required to conduct background screenings for all coaches, staff and other volunteers that have regular interaction with athletes using the following rules:

All new background checks will be conducted through a UAHA approved provider. There are NO exceptions. Individuals needing a background check will access through a link on the UAHA web site (<http://www.utah-hockey.org>).

- Who – Any volunteer for an organization sanctioned by USA Hockey who has direct and regular contact with minor children under the age of 18 or who may supervise such volunteers, and players who would turn 18 during the playing season? Examples include coaches, trainers, managers, team moms/dads, locker room monitors, organization directors and board members, and billet families (all members living in the household ages 18 and over).
- Where – a link to the background screening is found on the UAHA website: <https://utah-hockey.sportngin.com/register/form/469470116> <https://utah-hockey.sportngin.com/register/form/469470116> Coaches and Managers
- When – As determined by USAH (currently every two years) and must be completed prior to direct and regular contact with minor children under the age of 18, including all on or off ice activities during either the regular season or in the off season. Persons participating in on or off ice activities sanctioned by USA Hockey and found not to have a cleared background screening or SafeSport Certified shall be summarily suspended by the UAHA President. The suspension will remain in effect until completion of, and receiving a cleared background screening and SafeSport Certification, or an appeal of the suspension to the UAHA Board of Directors at its next available regularly scheduled meeting at which the Board may or may not uphold the suspension.
- Organizations are required to prepare a “Volunteer” Roster. Initial roster due by October 15th. All volunteers, including but not limited to, Board of Directors, Locker Room Monitors, Team “Moms/Dads, Billet Families ages 18 and up, Coaches not on a Roster, Assistant Managers, etc., must register with USA Hockey as a volunteer (currently at no cost). These volunteers are then entered into the USA Hockey Roaster Portal as “Volunteers” using their USA Hockey Registration Number. The roster will automatically inform the Organization Registrar if the volunteer has completed their Background Screening and Safe Sport Education. Coaches and Managers continue to be placed on the Team Roster and do not need to be on the “Volunteer” Roster.

Payment – Volunteers must pay the fee for completing the background screening. Organizations must develop policies and procedures to address reimbursement, if any. Organizations are encouraged to reimburse its Board Members, Coaches, Locker Room Monitors, and other volunteers required to be background screened. These costs should be spread amongst those who do not volunteer. UAHA will reimburse its Board of Directors, Officers, and other non-voting Directors and volunteers as determined by the Board of Directors.

Once background checks have been completed, the results will be posted on the UAHA Website at <https://www.utah-hockey.com/page/show/2855528-screening> (for organizations rink or Association) for review.

USA Hockey SafeSport Program:

All teams approved by UAHA are conditioned upon receipt by UAHA SafeSport Coordinator the following:

1. Certificate of SafeSport Compliance signed by the President of the Organization, or other person who may be authorized such agreement. This certification must be completed before teams will be given access to the Roster Portal.
2. Within 14 days of the beginning of an organization's hockey season, an Official USA Hockey Roster (form T1) must be submitted for approval to the Utah State Registrar. Roster must be submitted and approved by the State Registrar prior to any game played.
 - a. All Players must have either already had their birth certificate verified by the Utah Registrar, or must submit their birth certificate to the Utah State Registrar for verification.
 - b. All Coaches must have completed their Background screening (if first time, or if it has expired), SafeSport Module, and Coaching Certification (coach only-must be current).
 - c. Managers must have completed their Background screening (if first time, or if it has expired), and SafeSport Module.
 - d. Rosters must be updated thereafter as players, coaches, and managers are added to the team and prior to playing in any game or making contact with any minor players.
3. By October 15th of each season, a list of all volunteers must be submitted. The list must include the person's legal name (no nicknames), email address and phone number. This list must include organization Board Members or organization leadership positions if the organization is not a not-for-profit 501(c)3, coaches, managers, locker room monitors, "team moms/dads", any persons providing car-pool services for minors, and anyone else who may be in regular contact with minors who are not their own.
 - a. These persons MUST BE CLAIMED in the USA Hockey Registry Portal by the organization Registrar.
 - b. It is required that each organization prepare a volunteer roster within the Portal in order to verify for themselves that each volunteer is current on their Background Screening and SafeSport.
 - c. This list (and roster if used) must be updated as volunteers are added during the season.
4. Organizations found to be in violation of any of the requirements above are subject to the sanctions detailed in the Disciplinary Section of these Policies and Procedures.

A set of Policies and Procedures that assist Affiliates, Member Associations, and organizations in the prevention of child abuse and misconduct as well as providing required training; screening and a background check program; reporting and responding policies; and guidance on how to monitor and supervise. For more information on the USA Hockey SafeSport Program and to take the required SafeSport Certification course, visit <https://www.usahockey.com/safesportprogram>.

USA Hockey SafeSport Handbook:

USA Hockey has ZERO TOLERANCE for abuse and misconduct. The SafeSport Handbook includes the various Policies that apply to all USA Hockey Member Programs. Those Policies address:

- Sexual Abuse
- Physical Abuse
- Emotional Abuse
- Bullying, Threats and Harassment
 - Hazing

The Policies also address areas where misconduct can occur and are intended to reduce the risk of potential abuse, including:

- Locker Room Policy
- Electronic Communications Policy
- Travel Policy
 - Billeting Policy

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In addition to Policies, the SafeSport Handbook includes information about the available and required Training of USA Hockey and its Member Programs' employees, volunteers, administrators, coaches, parents and players on recognizing and reducing circumstances for potential abuse to occur; information on USA Hockey's Screening and Background Check Program; the availability and procedures for any person to Report suspected abuse or misconduct (including protections from any retaliation or repercussions for such reporting); the procedures and means by which USA Hockey and its Member Programs should Respond to allegations of abuse and misconduct; and how USA Hockey and its Member Programs will Monitor and Supervise the SafeSport Program to help ensure its effectiveness.

By combining all of these elements into a comprehensive SafeSport Program, USA Hockey intends to create the safest possible environment for participation in hockey. Links to the USA Hockey developed Handbook and Policies are listed below:

http://assets.ngin.com/attachments/document/0102/5713/USA_Hockey_SafeSport_Program_Handbook.pdf

http://assets.ngin.com/attachments/document/0102/5720/Co-ed_Locker_Room_Policy.pdf

http://assets.ngin.com/attachments/document/0102/5710/SafeSport_Sample_Locker_Room_Policy.pdf

http://assets.ngin.com/attachments/document/0102/5711/SafeSport_Sample_Travel_Policy.pdf

http://assets.ngin.com/attachments/document/0102/5713/USA_Hockey_SafeSport_Program_Handbook.pdf

http://assets.ngin.com/attachments/document/0102/5720/Co-ed_Locker_Room_Policy.pdf

http://assets.ngin.com/attachments/document/0102/5710/SafeSport_Sample_Locker_Room_Policy.pdf

http://assets.ngin.com/attachments/document/0102/5711/SafeSport_Sample_Travel_Policy.pdf

What is the difference between SafeSport and Zero Tolerance?

Zero Tolerance Policy requires all players, coaches, officials, team officials, and administrators and parents/spectators to behave in a sportsmanlike and educational atmosphere before, during and after all USA Hockey-sanctioned games and events. This refers to on-ice safety. Examples include, players/coaches openly disputing a decision by an official, use of obscene or vulgar language, and taunting or baiting of players, coaches or officials.

USA Hockey's SafeSport program is focused on the organization's off-ice safety efforts. USA Hockey has zero tolerance for abuse and misconduct and has policies in the SafeSport Handbook addressing sexual abuse, physical abuse, emotional abuse, bullying, threats and harassment and hazing. It also includes policies that address areas where misconduct can occur: Locker room policy, Electronic communication policy, Travel policy, and Billeting policy.

UAHA SafeSport Program: The Utah Amateur Hockey Association is committed to improving the development, safety and welfare of its players and all members involved in hockey and our organization. Therefore, UAHA supports the USA Hockey SafeSport Program and requires all players, coaches and members to fully participate. See USA Hockey SafeSport Handbook for more detailed information.

http://assets.ngin.com/attachments/document/0102/5713/USA_Hockey_SafeSport_Program_Handbook.pdf

Who Falls Under SafeSport Jurisdiction:

- Any organization that is sanctioned by USA Hockey. ACHA does fall under SafeSport. Adult Leagues varies depending on whether these leagues are registered and sanctioned by USA Hockey.

How to Report a SafeSport Complaint:

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Complaints or reports can be made directly to USA Hockey, or directly to the UAHA SafeSport coordinator.

https://usahockeynational.sportngin.com/register/form/886430518?_ga=1.182789024.782577381.1465599217

https://usahockeynational.sportngin.com/register/form/886430518?_ga=1.182789024.782577381.1465599217

Procedure for Handling a SafeSport Complaint or report:

1. UAHA SafeSport Coordinator receives report either directly from complainant or from USA Hockey.
2. Persons involved are contacted for statements.
3. Disciplinary Hearing held if warranted.

Procedure for Handling Flagged Background Checks:

1. If a volunteer's background check is flagged, it will not be handled by affiliates. (Red Flags will still come to Affiliate SafeSport Coordinators and Presidents for information purposes only). The Red Flags will be handled by USA Hockey. There will be 7 teams of 3 people that will be the Background Screening Review Committee for USA Hockey. These teams will determine whether to pass or fail the applicants. If a hearing requested, another group of 3 people will conduct the hearing.

- Hearings will be held via telephone or video calls
- Screening Appeals Committee – (comprised of people appointed to decide appeals of applicants that have been denied eligibility following a hearing with the Background Screening Review Committee (BSRC))

Play-down & Play-up Guidelines

The Rocky Mountain District Registrar is the only person who can approve a player to play down an age division. Players requesting to play down in another Youth or Girls age classification need to use the following procedure:

1. Letter from the parents requesting a waiver to play down an age classification.
2. Letter from the family doctor stating the medical reason(s) for the request.
3. Written approval of both the player's local Association and the State Affiliate.
4. The above documentation package should be mailed to the Rocky Mountain District Registrar for review and approval or disapproval. A copy of the request should be sent to the Affiliate Registrar.
5. The District registrar will have a Doctor evaluate the medical condition and make a recommendation.
6. The District Registrar will send a letter of approval or disapproval to the parents, Affiliate President and Affiliate Registrar.
7. All approved waivers will only cover the current hockey season. The player will not be allowed to play in any State, District or National Championships and must play only on a House/Rec team.
8. Although it is an individual association decision, UAHA believes youth players are best served playing in their own age division, and play-ups should only occur for top players who can compete on the top team in the next oldest age division. Players should be encouraged to move up to the next level within their age group instead of playing up to the next age level (i.e. Tier II to Tier I)
9. Girls playing on Youth teams MUST follow the Youth age divisions.
10. Play-ups for 8U Mites are covered by USAH Mite ADM Policy.

Player Transfers/Releases

All players are released from their "home" associations at the close of the current season if the player is in good standing, which is defined as a player not listed on the ODL.

At contract signing of a player, the Member association must clearly state in writing the requirements for a player to be considered in good standing. These requirements shall be part of a player contract but the Member association and the player representative (i.e. parent) must all fully execute the contract for it to be valid. The "cost" of a player release shall be fair and reasonable and must comply with the association's Member Association Agreement, and at no time shall the amount exceed a player's full-season contractual commitment, plus team fees and expenses.

Mid-season releases and transfers are to be avoided if possible. Organizations are required (by sound business principles) to establish budgets for teams and players, purchase appropriate ice, contract for coaches, uniforms and equipment and pay league fees. Player departures during the season are disruptive to team dynamics and financials and every attempt should be made to see that once contracted, players will remain with the chosen club for the balance of the season.

UAHA recognizes that there are circumstances outside the control of all participants that may necessitate a mid-season release. These should be limited to injury, severe illness, family relocation (if located in-state), etc. The "cost" of a player mid-season release shall be fair and reasonable and must comply with the terms of the player contract, and at no time shall the amount exceed a player's full-season contractual commitment, plus team fees and expenses. Mid-season departures for any other reason, or no reason, will be considered "discretionary" and any amount of refund will be determined by the Member Association. The request for release must be in writing and must be submitted to their association president. The home association releasing the player must provide a written release before the player can skate with another association.

Team Rosters

USA Hockey and UAHA only recognizes youth teams classified as Tier I, Tier II, and House/Rec, which in no way refers to any AAA, Prep, AA, A, or B team levels. In addition, USAH and UAHA no longer recognize Mite, Squirt, Peewee, Bantam, or Midget Divisions. Youth and Girls Divisions will now be referred to as 8U, 10U, 12U, 14U, 15 (Tier I only), 16U, and 18U for Youth or 19U for Girls. Registration with USA Hockey is a two-step process whereby individual

players register online to generate a player registration certificate and number, which is then provided to the local association registrar as the first step. The second step is for the association registrar to “claim” the player by creating a form 1T roster with the player included in the USAH Portal. Registration is only completed when both steps are completed.

Some rules and guidelines are provided below for the purpose of registering teams.

1. No male player may be rostered on more than one Tier team at the same time.
2. Female players may be rostered on a single Tier II and House/Rec rosters at the same time. See detailed rules for female participation herein.
3. Male Players who are not goalies may NOT be rostered on Tier, Travel and/or House/Rec rosters at the same time. Players who are rostered as goalies for (at least) one team may also roster as a non-goalie skater for (at most) one team, so long as none of their rostered teams are at a Tier level. See detailed rules for team rostering herein.
4. Tier rosters are final as of December 31st (or the earlier date established by the State or District Registrar) (or as otherwise established at the beginning of the season by the State Registrar and/or the District Registrar) of each year per USA Hockey rules.
5. Only 14U, 15 (Tier I only), 16U and 18U Youth or 14U, 16U, 19U Girls teams can roster as Tier teams ; all other age groups (6U, 8U, 10U, 12U) MUST roster as House/Rec.
6. House/Rec D2 rosters may be subject to change after December 31st
7. Changes to all rosters must be sent to the State Registrar for review and approval through the USAH Portal.
8. All team rosters shall have the minimum number of players detailed under each level of play detailed herein.
9. Rosters, except for the High School Division, shall have no more than 20 players (18 skaters and 2 goalies).
10. All teams skating as part of an association must maintain the same visual identity of other teams within that same association and will be referred to by that association’s name. Teams existing prior to the 2017-2018 season having a color and/or logo that is different from the of the rest of the association may continue to use the same jersey, upon approval of the Member Association, up until there is any change in color and/or logo. At that time, the team must return to using the same visual identity as the Member Association.
11. All coaches must be certified at the appropriate level for the age and classification of their team PRIOR to any practices (on or off ice), games or tournaments.
12. All teams are required to have all players, managers and coaches registered with USA Hockey PRIOR to any practices (on or off ice), games or tournaments.
13. All Associations must have all coaches, managers and volunteers having direct contact with minor’s background screened and Safe Sport Certified, PRIOR to any contact with the minor players.

No players may play for a Junior level team and for a Travel or a House/Rec team in the same year. If a player plays a game for a Junior team they are ineligible to play for a High School, Travel or a House/Rec team for the remainder of the season.

COMPETITION LEVEL RULES AND REGULATIONS

Travel Hockey (House Rec Rosters)

All Member Associations, Coaches, Managers & Team Officials within this category of Utah Hockey shall have the sole responsibility to make sure each Team meets all the requirements for its classification and meets all the criteria of eligibility for the Utah Travel Hockey League (UTHL). Utah Amateur Hockey Association (UAHA) Board of Directors will determine these requirements and are set forth below. All organizations proposing teams in this category of Utah Hockey must be part of the UTHL. Travel Hockey and D1/D2 Hockey (Rostered as House/Rec) must follow the USAH ADM to the maximum extent possible.

- A. **Purpose:** The purpose of Travel hockey (Registered House/Rec) in Utah is to provide an opportunity for the mid to upper level youth players in Utah to further develop and compete at higher levels of hockey at their age divisions established by and through USA Hockey and UAHA. Travel hockey in Utah is intended for those youth players who have

the desire and ability to play in higher level games and tournaments both in-state and out-of-state but are not yet ready to enter Tier level of hockey. Travel teams are also defined as teams that travel out of state 3 or more times per year. There is no limit on in-state or out-of-state travel; however, teams should strike a balance between cost of the program and the USAH ADM for games. Travel Teams are restricted to "A" and "AA" competition level tournaments.

B. **UTHL Committee:** The UAHA Vice President of House shall establish the UTHL Committee and act as moderator. A League Coordinator will be established by the organizations approved by UAHA to participate in the UTHL. Each approved organization shall nominate a member representative to represent their organization on the Committee. The UTHL Committee shall include one member from each approved organization. Each organization is allowed one vote no matter the total number of teams.

C. **Authority:** The UTHL Committee shall have responsibility for all matters pertaining to the recommendation to the UAHA Board of Directors Member Associations or Organizations, provided that, pursuant to UAHA Bylaws, the UAHA Board of Directors has final authority. The UAHA Board of Directors will review the recommendation of the UTHL Committee. The responsibility of the UTHL Committee shall include, but is not limited to, recommending the following: (i) granting or terminating authority to organize and/or operate teams; (ii) the total number of Travel teams permitted in any age division in the State; and (iii) establishing guidelines to be used to carry out the purpose of the UTHL Committee. The UAHA Board of Directors may accept or reject the recommendation, may send the matter back to the UTHL Committee for further consideration or may, in its sole discretion, make the final determination.

D. **Responsibility:** A Travel Organization is an established UAHA member association that is in good standing. Each Travel Organization that is authorized by UAHA to register a Travel team shall govern, control and be fully responsible for its organization, actions, inactions and/or infractions of its teams, players, coaches, managers, parents, and personnel. This responsibility shall include debts incurred by or in the name of the Travel Organization, or any of its Travel teams, players, coaches, or representatives. The proposed Travel Organization must be duly organized and operated in accordance with the By-Laws, Rules, Regulations, Member Association Agreements, and Policies of UAHA and authorized according to UAHA rules to field, govern and control Travel Teams organized by such Travel Organization in the State of Utah each season.

Each Travel Organization shall comply with the minimum requirements set forth in this Article, UAHA and USA Hockey By-laws, Policies, Rules and Regulations, which include, but are not limited to, the following:

- a. Travel organizations must claim all players, coaches, and managers on an official T-1 Roster through the USAH Portal.
- b. It is imperative that Organizations provide sufficient ice to support an appropriate number of home games and practices consistent with the size of its program, its season and this Article. In addition, Travel Organizations should provide a supporting off-ice development program.
- c. A Fact Sheet for each proposed Travel team, as defined in Paragraph (5) below.
- d. Written information regarding the structure, organization and responsibilities of the Travel Organization and each Travel Team, its coach, manager and any administrators.
- e. Policies, Rules and Regulations.

f. All Travel games played in Utah must have officials scheduled by assignors authorized for House/Rec Travel hockey.

E. **Authorization Period:** Travel authorization for the designated teams is granted for a period of one (1) year provided the designated Travel Organization maintains compliance with all established or subsequently-modified requirements. For purposes of this document, the Travel season (year) begins April 1st and concludes March 31st of the following year.

F. Travel authorization can be withdrawn at any time during the Authorization Period should a Travel Organization fail to comply with all Travel requirements or UAHA rules, experience financial instability, or if representatives (Board, coaching staff, etc.) demonstrate conduct detrimental to amateur youth hockey, UAHA, or USA Hockey as may be determined by UAHA.

G. UAHA reserves the right to replace an existing Travel Organization should circumstances warrant per #E above. UAHA will provide notice of any vacancy of Travel Organization, the application process and deadline.

Team Selection Process:

1. Procedures: Each Travel Organization shall institute procedures to select the appropriate level players, as determined by the organization, coaches. Guidelines for team selection process shall include, but not be limited to the following:

a. Each Travel Organization shall hold open tryouts. Tryouts may not be announced or advertised until the Travel Organization has received written notification from UAHA of its approval as a Travel Organization and Travel team for the ensuing season.

b. Tryouts must be conducted in accordance with UAHA's tryout schedule published each spring. Travel Organizations will conduct tryouts for their Travel teams at 18U, 16U, and 14U age divisions during the protected Travel tryout period, which will allow Travel Organizations to attract, evaluate, and select the best players AVAILABLE at each age group after the selection of the Tier II level players.

No youth Travel team may recruit or solicit players or offer contracts to players for the following season, or hold development camps, tryouts, player selections or any activity that could be construed as a tryout/solicitation or recruitment for the following season, until after the protected Tier II tryout. Any violation of this prohibition may, at the discretion of the Disciplinary Committee, subject the coach, team, program and/or responsible administrators to appropriate discipline, or ineligibility of the team or coach, as determined by the UAHA.

No girls Travel team may recruit or solicit players or offer contracts to players for the following season, or hold development camps, tryouts, player selections or any activity that could be construed as a tryout/solicitation or recruitment for the following season, until after the protected Tier II tryout. Any violation of this prohibition may, at the discretion of the Disciplinary Committee, subject the coach, team, program and/or responsible administrators to appropriate discipline, or ineligibility of the team or coach, as determined by the UAHA.

c. Travel Organizations that conduct tryouts during the protected Travel tryout period (i.e. players on the ice) will be required to skate teams at the Travel level for the coming season. Should a Travel Organization determine, either during or following tryouts that one or more teams will not be viable; they may disband the team upon giving written notice to the parents or guardians of each player selected for the team.

Final June 5, 2017
First Amended: August 5th, 2019
Second Amended: July 6th, 2022

- d. Personal invitations for tryouts are permissible but tryouts must be announced publicly through a general publication or individual mailing to all players at each level and inviting any player who wishes to tryout.
 - e. The announcement must contain at a minimum the organization name, level of tryouts, name of head coach, contacts with phone numbers, dates/location/time of tryouts, & brief statement of the program.
 - f. Each Travel Organization must be provided a Fact Sheet that shall be provided to anyone who attends tryouts.
2. **Player Commitment:** Any player who signs a contract roster is committed to that Travel Team for the ensuing playing season and cannot play for another team until he/she has been released from that Travel Team. All such player releases shall be submitted to the Vice President of House. Exceptions to this rule are Girls, High School, Juniors, Junior College, College or University.
3. **Roster:** USA Hockey rosters (1T-House/Rec) for Travel Teams must be submitted to the appropriate USA Hockey Associate Registrar for Utah prior to any team participating in a non-league game, league game or tournament game. Any Travel Organization or team found to be in violation of this rule will be sanctioned accordingly as outlined in the USA Hockey Annual Guide. Travel Organizations must register their Teams as House/Rec by September 15th of the current season to be eligible for the UTHL state tournament.
4. **Commitment Date:** No player shall be allowed to contractually commit to play on a Travel Team or sign a roster or pay monies prior to UAHA-approved tryout dates for the ensuing season.
5. **Fact Sheet:** Travel Organizations and Travel Teams shall furnish each Player and his/her parent(s), in writing, before any tryout and prior to signing a roster, a Fact Sheet that provides full disclosure of their Travel program and season, including all applicable costs (at a minimum, fundraising, jerseys, off-ice training, approximate travel costs, season registration fees of the Travel Organization, and any other expected expenses), number of games and practices, amount of travel, and any "rules" of the Travel Organization or Travel Team. The Fact Sheet shall also outline the refund policy of the team should a player not play for the full season, regardless of reason. The player and parent must sign this fact sheet

UTAH TRAVEL HOCKEY LEAGUE (UTHL)

The Utah Travel Hockey League (UTHL) is a local youth hockey league with teams participating at the Travel (House/Rec) levels group consisting of non-Tier teams participating in a regular schedule game environment meeting the minimum requirements to be eligible for UTHL State Tournament as set forth herein. The most current edition of the OFFICIAL PLAYING RULES of USA Hockey, Inc. shall govern all play in the UTHL with the following exceptions:

- 1. Eligibility -
 - A. Each participating association must be a Member Association registered under of UAHA.
 - B. Each association must be in good standing with UAHA and USA Hockey.
 - C. Each association must have a firm commitment for available home ice.
 - D. Each organization shall assume the responsibility of providing sufficient ice time for their home games for each team in each age division.
 - E. All teams must be registered with USA Hockey according to their division.
- 2. Divisions for the UTHL will be:
 - 10U • 12U • 14U • 16U
 - 18U

4. Registration/Declaration for Traveling Teams

- A. Teams must submit the Registration form by email to the Vice President of House no later than **September 15th** to declare their intent to participate in the upcoming season. Deposit will be applied to the playoff championship games.

Determining Team Levels for Travel House Teams- Criteria listed below will be used to determine the playing level of each team unless petitions to play lower are Submitted to the Vice President of House. Petitions to play in a lower division must be received no later than **October 1st** of the current playing season, UAHA will review the Petition and make a final decision.

1. When an association has only one team at any one age group, that team shall be determined to play at the appropriate level in the age division.
2. When an association has two teams at any one age group, one team shall play in the upper division (Division I) and one shall play in the lower division (Division II).
3. If an association is approved for three teams at any one age group, one teams shall play in the upper division and one shall play in the lower division, and one team will be placed in the appropriate level of play.

- B. Teams will be notified of their acceptance to the league no later than **November 15th** of the current playing season.

- C. League Fee - The fee will be a minimum of \$500 per team to cover the costs of the Championship games. The actual fee will be determined by the UTHL Commissioner at the beginning of each season. Refunds will not be issued for teams that do not advance to the Championship game.

- D. Fine for Failure to Play - Once accepted any team that refuses to schedule and play the full season will be fined \$750, due at least 7 days prior to the divisional playoff. The fined association will not be allowed to participate in the UTHL at any level the following year until the fine is paid.

- E. Refunds - Each association shall be required to pay the established registration fees by the end of the season. There will be no refunds issued for teams that drop out after the November 15th **acceptance** date.

- F. UAHA reserves the right to restrict the number of teams in any one division or age classification. UAHA will make the final determination on petitions for teams to move.

5. Team & Player Rules shall be governed by the most recent edition of the OFFICIAL PLAYING RULES of USA Hockey, Inc., combined with the current UAHA State Playoff Rules.

6. Team & Player Rules Traveling House division

- A. Player Rosters - USA Hockey Tier II rules for the registration of player and teams strictly apply though these teams are registered as "House/Rec" teams. No team may dress a player(s) not on that team's official roster for UTHL games. Any team using a player(s) not on the team's official roster shall forfeit all games played with the ineligible player(s).

B. Roster Submissions & Changes - Traveling House division

1. Official USA Hockey rosters must be submitted to the League Commissioner a minimum of 72 hours prior to the first scheduled game.
2. If a team would like to ADD a player to the roster, they may do so by submitting a roster change to the Utah State Registrar and informing the League Commissioner.

3. Players that appear on a Travel roster for any team are not eligible to play in the UTHL.
4. Roster Challenge - In the event of a challenge by a team related to the play of non-rostered player, the following actions should be followed:

- A. The scorekeeper should inform the opposing team's Head Coach of the challenge.
- B. The challenge should be noted on the scoresheet
- C. The player in question will be allowed to participate
- D. The game should proceed for an on-time start.
- E. Following the conclusion of the game, the team making the challenge is responsible for submitting the challenge to the League Commissioner. The challenge must be made in writing within 24 hours of the game in question, a copy of the scoresheet must be included.
- F. The League Commissioner will verify the eligibility of the player in question within 72 hours of notification and deliver a decision on the game.

5. Roster changes are not allowed after December 31st, or other prior date as determined by the Utah State Registrar.

C. Format & Scheduling for Travel Teams (Rostered House/Rec)

1. Regular Season Games - Teams are responsible for working together to schedule "Regular Season" games. The "Home" team is responsible for scheduling referees, providing scoresheets and submitting scores to the League Commissioner.

- A. If there are 4 teams or less in any division, each team will play 2 "Home" and 2 "Away" games against each team in their division sharing the cost of ice and officials for each game.
- B. If there are 5 to 7 teams in any division, each team will play a 3 game schedule sharing the costs of ice and officials for each game. The head-to-head record from the first 2 games will determine the "Home" team for the 3rd game.
- C. If there are greater than 7 teams in a division, teams will play 1 "Home" and 1 "Away" game. Teams are responsible for scheduling their "regular season" games sharing the cost of ice and officials for each game.

2. State Playoff Games - The League Commissioner will schedule set the playoff bracket based on standings and schedule playoff games, each team will be responsible for 1/2 of the costs for each game played in the championship.

- A. Seeding - Players will be seeded in the league based on points earned from regular season games.
 1. Win = 2 points
 2. Regulation Loss = 0 points
 3. Overtime/Shootout Loss = 1 point
- B. Tie-Breaker Rules for Seeding -
 1. Head to Head game results of tied teams
 2. Least Goals Allowed
 3. Least Amount of Penalty Minutes
 4. Most Goals Scored

5. Coin Toss with League Commissioner

B. The top three (3) teams in each age division will participate in a single elimination tournament to determine a State Champion in each Division.

1. Seed 1 will have a Bye
2. Seed 2 will play Seed 3. Seed 2 will be the Home team.
3. Winner of Seed 3 v. Seed 2 will play Seed 1 for Championship.

D. The Travel Committee will provide Timekeepers, Scorekeepers, Scoresheets and labels for State Championship games.

3. Game Structure

A. Ice Slots & Game Times

- 10U (1:15 slot): 3-12-minute stop time periods, one time out allowed
- 12U (1:15 slot): 3-13-minute period, one time out allowed
- 14U (1:15 slot): 3-14-minute periods, one time out allowed
- 16U & 18U (1:15 slot): 3- 14-minute periods, one time out allowed

B. Timing

1. "Home" team is responsible for scheduling/providing timekeeper
2. All periods will be played as "stop time". In the event of time constraints, the third period will become running clock. Time outs are not allowed on run time.
3. If a team is ahead by 5 goals in the third period, time will be running clock. C. Scoresheets - The "Home" team is responsible for providing the scoresheet and submitting it to the League Commissioner following the game.

D. Jerseys - The "Home" team shall wear light jerseys.

E. Officials -

1. The "Home" team is responsible for scheduling officials for regular season games. The League Commissioner will schedule officials for playoffs.
2. A two-man system shall be used for 10U, 12U & 14U Divisions
3. A three-man system shall be used for 16U and 18U Divisions

F. Protests - Teams do not have the ability to protest games other than to challenge the roster.

Tier Hockey

All Member Associations, Coaches, Managers & Team Officials within this category of Utah Hockey shall have the sole responsibility to make sure each Team meets all the requirements for its classification and meets all the criteria for eligibility for State and District Playoffs. USA Hockey Registrars, Associate Registrars and Utah Amateur Hockey Association (UAHA) Board of Directors will determine these requirements and are set forth below.

A. **Tier Committee:** The UAHA Vice President of ~~Tier~~ shall establish a ~~Tier~~ Committee and shall act as the Chairperson (~~"Travel Chairperson"~~). Each Member Association granted ~~Travel or~~ Tier Status (Tier I or Tier II) by UAHA shall nominate a member representative to represent their organization on the Committee. Members Associations are only eligible by having eligible Tier I or Tier II rosters after tryouts are completed. The nominee shall be presented to UAHA by the Vice President of ~~Travel-Tier~~ for consideration and approval by UAHA BOD. The ~~Travel (Tier)-Tier~~ Committee shall include one member from each approved Tier Member Association. Each ~~Travel (Tier)-Tier~~ Member Association is allowed one vote no matter the total number of teams. Organizations are ~~encouraged to consolidate their comments and concerns and allow~~ ed for only one official voice and vote at ~~Travel Committee (Tier)- Tier~~ meetings.

B. **Authority:** The ~~Travel (Tier)-Tier~~ Committee shall have responsibility for all matters pertaining to the recommendation to the UAHA Board of Directors of Tier I or Tier II Member Associations or Organizations, Tier I Teams, and Tier II Team, provided that, pursuant to UAHA Bylaws, the UAHA Board of Directors has final authority.

The UAHA Board of Directors will review the recommendation of the ~~Travel (Tier) Tier~~ Committee. The responsibility of the ~~Travel (Tier) Tier~~ Committee shall include, but is not limited to, recommending the following: (i) granting or terminating authority to organize and/or operate a Tier organization, club or association ("**Tier Organization**") and/or field a Tier Team ("**Tier Team**"); (ii) the total number of Tier I ~~and Tier II~~ teams permitted in any age division in the State; and (iii) establishing guidelines to be used to carry out the purpose of the Tier Committee. The UAHA Board of Directors may accept or reject the recommendation, may send the matter back to the ~~Travel (Tier) Tier~~ Committee for further consideration or may, in its sole discretion, make the final determination of both Tier Organizations and Tier Teams.

Tier I Hockey

- A. Purpose:** The purpose of Tier I hockey in Utah is to provide an opportunity for the best youth players in Utah to develop and compete at the highest level of hockey available in North America at their ages by and through USA Hockey and UAHA. Tier I hockey in Utah is limited to those youth players who have the desire and ability to play at the highest youth level available and to continue to develop as players by playing Tier I hockey.
- B. Guidelines to Determine Number of Youth Teams:** Effective beginning the 2017-2018 regular season, USA Hockey recognizes Youth Tier I programs/teams only at the 14U, 15, 16U and 18U age levels. The following criteria must be met for any team to be classified as a Tier I Youth team in any Affiliate:

- The number of Tier I Youth teams in each Affiliate in each age classification shall be limited by the number of players registered in Utah that Affiliate in that age classification. In each age classification, the Tier I Youth teams shall not exceed fifteen percent (15%) of the total players registered in that age classification in Utah during the two seasons prior (i.e., the player registration numbers in USA Hockey's Final Registration Report for 2015-16 would be used to determine the number of teams permitted in the 2017-18 season, and similar calculations would be made in subsequent seasons). USAH shall provide the player registration numbers for the preceding season on or before September 1 each year. For the purposes of this calculation, each team shall be presumed to have twenty (20) players. For example, the total number of players in that age classification in the 2015-16 season shall be multiplied by 15%, and the product shall then be divided by 20 players, which shall determine the number of Tier I teams permitted in Utah. If Utah has 360 players in an age classification, then 15% of that number would equal 54 players.

To have teams of 20 players, Utah would be limited to 2 Tier I Youth teams at that age classification in the 2017-2018 season. Subject to UAHA approval and satisfaction of all other requirements of this Section, UAHA may allow at least one team per age classification.

~~• Notwithstanding the use of 20 players per team in the calculation of the number of teams that may be registered in an Affiliate at the Tier I level, all teams at 14U, 15, 16U and 18U classifications must have a minimum of 15 players (including goalkeepers).~~

- In order for any team to be eligible for National Tournament play, the program/association must have been in existence and registered with UAHA for a minimum of three (3) prior consecutive years.
- The Association/Program must have at least two (2) National Tournament Bound teams from the 14U, 15, 16U, 18U 15-year-old age categories in either the Tier I or Tier II categories, each of which must have a minimum of 15 players per team.
- It is recommended that each player on the team have on-ice and off-ice practices consistent with the ADM recommendations for that age group (which practices may include practices on other teams).

USA Hockey recognizes Girls Tier I programs/teams only at the 14U, 16U and 19U age levels. The following criteria must be met for any team to be classified as a Tier I team in Utah:

- The number of Tier I **Girls** teams in Utah in each age classification shall be limited by the number of players registered in that Affiliate in that age classification. In each age classification, the Tier I **Girls** teams shall not exceed twenty percent (20%) of the total players registered in that age classification in the two seasons prior (i.e., the player registration numbers in USA Hockey's Final Registration Report for 2015-16 would be used to determine the number of teams permitted in the 2017-18 season, and similar calculations would be made in subsequent seasons). USAH shall provide player registration numbers for the preceding season on or before September 1 each year. For the purposes of this calculation, each team shall be presumed to have eighteen (18) players. For example, the total number of players in that age classification shall be multiplied by 20%, and the product shall then be divided by 18 players, which shall determine the number of Tier I teams permitted in the Affiliate. If an Affiliate has 350 players in an age classification in the 2015-16 season, then 20% of that number would equal 70 players. In order to have teams of 18 players, Utah would be limited to 3 Tier I teams at that age classification in the 2017-18 season. In Affiliates that allow programs to have multiple Tier I **Girls** teams in an age classification (e.g., Major/Minor,
- National/American), then only the teams that are national tournament bound shall count against the limitations on Tier I **Girls** teams in this paragraph. Notwithstanding the limitations in this paragraph on the number of Tier I teams permitted based on the number of players registered, subject to UAHA approval and satisfaction of all other requirements of this Section, UAHA may permit at least one team per age classification.
- Notwithstanding the other provisions of this section, Utah shall not field a Tier I girls' team at a USAH designated age division until such time as there are at least two girls' Tier II level teams at that USAH designated age Division.
- Notwithstanding the use of 18 players per team in the calculation of the number of teams that may be registered in an Affiliate at the Tier I level, all teams at 14U, 16U and 19U classifications must have a minimum of 15 players (including goalkeepers).
- In order for any team to be eligible for National Tournament play, the program/ association must have been in existence and have registered Girls team(s) with the applicable Affiliate for a minimum of three (3) prior consecutive years.
- It is recommended that each player on the team have on-ice and off-ice practices consistent with the ADM recommendations for that age group (which practices may include practices on other teams).

C. Tier I Organizations:

1. Responsibility: A Tier I Organization is an established UAHA member association that is in good standing. Each Tier I Organization that is authorized by UAHA to register a Tier I team shall govern, control and be fully responsible for its organization, actions, inactions and/or infractions of its teams, players, coaches, managers, parents, and personnel. This responsibility shall include debts incurred by or in the name of the Tier I Organization, or any of its Tier I teams, players, coaches, or representatives. The proposed Tier I Organization must be duly organized and operated in accordance with the By-Laws, Rules, Regulations, Member Association Agreements, and Policies of UAHA and authorized according to UAHA rules to field, govern and control Tier I Teams organized by such Tier I Organization in the State of Utah each season.

2. Authorization Period: Tier I authorization for the designated teams is granted for a period of one (1) year provided the designated Tier I Organization maintains compliance with all established or subsequently modified

requirements. For purposes of this document, the Tier I season (year) begins the day after the conclusion of the USA Hockey National Championships and concludes on the last day of the USA Hockey National Championships the following season (year).

3. Tier I authorization can be withdrawn at any time during the Authorization Period should a Tier I Organization fail to comply with all Tier I requirements or UAHA rules, experience financial instability, or if representatives (Board, coaching staff, etc.) demonstrate conduct detrimental to amateur youth hockey, UAHA, or USA Hockey as may be determined by UAHA.

4. UAHA reserves the right to replace an existing Tier I Organization should circumstances warrant per #3 above. UAHA will provide notice of any vacancy of Tier I Organization, the application process and deadline.

D. Tier I Team Authorization Procedure:

1. Application: Prospective Tier I Organizations or any existing Tier I or II member shall complete and deliver the UAHA Tier I Application to the Vice President of Tier no later than **January 15th** of each year. Such Application shall comply with the requirements set forth in paragraph Section **D3** below. The Tier Committee, subject to the review by the UAHA Board of Directors, may waive a particular requirement it deems not material to the application. No new or existing Tier I Organization shall have authority to operate or otherwise participate in Tier I hockey in any capacity unless it has received its authorization in writing from UAHA. The Vice President of Tier, with support from the Tier Committee, shall recommend to the UAHA Board of Directors the acceptance or denial of such application. The UAHA Board shall have final approval of the Tier I Organizations based on information provided by each applicant and other pertinent information assembled by the Tier Committee.

2. Notification: **Approval** of existing and new Tier I Organizations will be notified in writing by the Vice President of Tier of the acceptance or denial of its application by **the first UAHA meeting in March** of the current season.

3. Each authorized existing or new Tier I Organization shall comply with the minimum requirements set forth in this Article, UAHA and USA Hockey By-laws, Policies, Rules and Regulations, which include, but are not be limited to, the following:

- a. An Organization fielding Tier I teams must not be affiliated via its Bylaws and/or registration with a UAHA Tier II, Travel, or House Rec member association.
- b. A Tier I Organization may only apply for one (1) Tier I Team in each age division (18U, 16U, 15, 14U).
- c. In accordance with the statement of purpose in Section A. above, Tier I teams registered through UAHA shall limit the number of Out-of-State Players (as defined in this Article) to nine (9) skaters and one (1) goalie, except as may be modified by a condition of approval for a specific team by the UAHA BOD.
 - (iv) For the purposes of this Article, an Out-of-State Player is any player who, at the time of roster submission, does not have an immediate family member who is domiciled in Utah and complies with all residency requirements of the State. An immediate family member is defined as (1) a natural or adoptive Parent or Grandparent, (b) an older sibling who has reached the age of eighteen (18) years or (c) a Guardian appointed due to the death or incapacity of a Parent. A player who meets the requirements of this subsection is an In-State Player, subject to the provisions of subsection (vii) of this paragraph. (v) A player who has reached the age of eighteen (18) years and who otherwise would be an Out-of-State Player under subsection (iv) of this paragraph will be treated as an Out-of-State Player notwithstanding any assertion that said player is of the age of majority.

(vi) In any case where a player's address, as submitted on a Tier I Roster, is different than that of the immediate family member domiciled in Utah through which the player claims to be an In-State Player, the UAHA Tier Committee is authorized and directed to conduct such investigation as may be necessary to determine whether treating the player as an In-State Player is consistent with the purposes of this Article.

(vii) The UAHA Tier Committee may recommend and UAHA shall have authority in determining whether a player sought to be rostered on a Tier I Team is an Out-of-State Player.

- d. A list of Officers, Directors, Safe Sport Coordinator, and Registrar, the age divisions for its proposed Tier I Teams, the names of the Coaches for each Tier I Team, written proof that each Coach has completed the appropriate coaching certification and USA Hockey coaching module for that age division, and has complied with UAHA's background screening requirements.
- e. Historical financial information that demonstrates the organization proposing to field Tier I Teams has been financially stable the prior three (3) consecutive seasons and has the ability to finance the following season.
- f. Ice contract(s) that demonstrate the time slots and days of the week that the proposed Tier I teams shall practice or play games for the upcoming season. It is imperative that Organizations provide sufficient ice to support an appropriate number of home games and practices consistent with the size of its program, its season and this Article. In addition, Tier I Organizations must provide evidence of a supporting off-ice development program.
- g. A Fact Sheet for each proposed Tier I team, as defined in Paragraph G (5) below.
- h. Written information regarding the structure, organization and responsibilities of the Tier I Organization and each Tier I Team, its coach, manager and any administrators.
- i. Written By-Laws, Rules and Regulations.
- j. Current compliance and a statement of future intent, ability, and procedures to comply with USA Hockey and UAHA By-Laws, Policies, Rules and Regulations as well as the Guidelines and Policies established by the Tier I Committee.
- k. Internal Revenue Service 501(c)(3) tax exemption status under the Internal Revenue Code.
- l. All Tier I games played in Utah must have officials scheduled by assignors authorized for Tier I hockey.
- m. Any other information determined to be necessary by the Tier Committee or Vice President of Travel.

E. Team Selection Process:

- 1. Procedures: Each Tier I Organization shall institute procedures to select the best players available. Guidelines for team selection process shall include, but not be limited to the following:
 - a. Each Tier I Organization shall hold open tryouts. Tryouts may not be announced or advertised until the Tier I Organization has received written notification from the Vice President of Tier and the President of UAHA of its approval of its Tier I teams for the ensuing season.

- b. Tryouts for Youth Tier I teams must be published and advertised. No youth Tier I team may recruit or solicit players or offer contracts to players for the following season, or hold development camps, tryouts, player selections or any activity that could be construed as a tryout/solicitation or recruitment for the following season, until 48 hours after the last game of Youth National Championships (both Tier I and Tier II Nationals must be completed). (i.e.: if National Tournament ends at 4 PM on Monday, tryouts can begin after 4 PM on Wednesday). Any violation of this prohibition may, at the discretion of the Disciplinary Committee, subject the coach, team, program and/or responsible administrators to appropriate discipline, or ineligibility of the team or coach, as determined by the Affiliate.

Tryouts for Girls Tier I teams must be published and advertised. No girls Tier I team may recruit or solicit players or offer contracts to players for the following season, or hold development camps, tryouts, player selections or any activity that could be construed as a tryout/solicitation or recruitment for the following season, until 48 hours after the last game of Girls National Championships (both Tier I and Tier II Nationals must be completed). (i.e. if National Tournament ends at 4 PM on Monday, tryouts can begin after 4 PM on Wednesday). Any violation of this prohibition may subject the coach, team, program and/or responsible administrators to appropriate discipline, or ineligibility of the team or coach, as determined by the Affiliate.

All Tier I Tryouts must be conducted in accordance with UAHA's tryout schedule published each spring. Tier I Organizations will conduct tryouts for their Tier I teams at 18U (19U Girls), 16U, 15, and 14U age divisions during the protected Tier I tryout period, which will allow Tier I Organizations to attract, evaluate, and select the best players at each age group.

- c. Tier I Organizations that conduct tryouts during the protected Tier I tryout period (i.e. players on the ice) will be required to skate teams at Tier I for the coming season. Should a Tier I Organization determine, either during or following tryouts, that one or more teams will not be competitive at Tier I, the Tier I Organization may opt to disband the Tier I team. Such teams will not be permitted to drop to Tier II following the Tier I tryout period.
- d. Personal invitations for tryouts are permissible but tryouts must be announced publicly through a general publication or individual mailing to all players at each level and inviting any player who wishes to tryout.
- e. The announcement must contain at a minimum the organization name, level of tryouts, contacts with phone numbers, dates/location/time of tryouts, & brief statement of the program.
2. **Player Commitment:** Any player who signs a contract is committed to that Tier I Team for the ensuing playing season and cannot play for another Tier team until he/she has been released from that Tier I Team. All such player releases shall be submitted to the Vice President of **Tier I**. Exceptions to this rule are Girls, High School, House League, Juniors, Junior College, College or University.
3. **Roster:** USA Hockey rosters (1T) for Tier I Teams must be submitted to the appropriate USA Hockey Registrar for Utah prior to any team participating in a non-league game, league game or tournament game. Any Tier I Organization or team found to be in violation of this rule will be sanctioned accordingly as outlined in the USA Hockey Annual Guide. Tier I Organizations must register their Teams as Tier I Teams by **October 1st** or prior to playing any game of the current season to be eligible for the state tournament. A Tier I team may not be registered as a High School or **House/Recreation** team at any point during the season.
4. **Commitment Date:** No player shall be allowed to contractually commit to play on a Tier I Team or sign a roster or pay monies prior to UAHA-approved tryout dates for the ensuing season.

5. Fact Sheet: Tier I Organizations and Tier I Teams shall furnish each Player and his/her parent(s), in writing, before any tryout and prior to signing a roster, a Fact Sheet that provides full disclosure of their Tier I program and season, including all applicable costs (at a minimum, fundraising, jerseys, off-ice training, approximate travel costs, season registration fees of the Tier I Organization, and any other expected expenses), number of games and practices, amount of travel, and any "rules" of the Tier I Organization or Tier I Team. The Fact Sheet shall also outline the refund policy of the team should a player not play for the full season, regardless of reason. The player and parent must sign this fact sheet and a copy shall be provided to the Vice President of Tier and UAHA along with the team registration by September 15th. If a player is added to the Tier I Team roster after September 15th, the player and parents must sign the fact sheet before being added to the roster and a copy of the fully signed fact sheet shall be immediately provided to UAHA prior to the first game. Copies of all signed Fact Sheets & rosters (1T) shall also be submitted to the Vice President of Tier.

G. Tier I Competition

1. **Competition among Tier I Organizations and Teams:** UAHA and Tier I Organizations have agreed that local competition among the in-state Tier I Organizations and Teams will provide for high quality, cost-effective play in Utah and further promote Tier I hockey in Utah. Each authorized Tier I Team, at like age divisions, shall play each other in Utah a minimum of four (4) games (excluding tournament games) at each appropriate age division each season. All such games should be scheduled as soon as possible to be included in each team's season schedule. The cost for these games shall be split evenly by both teams. Scheduling of such games shall take place at least three (3) weeks prior to the Tier I State Tournament.

Tier II

A Youth Tier II Organization is an established UAHA member association in good standing that has fielded teams at 14U, 16U, 18U age divisions for the prior two (2) consecutive seasons

Responsibility: A Tier II Organization is an established UAHA member association that is in good standing. Each Tier II Organization that is authorized by UAHA to register a Tier II team shall govern, control and be fully responsible for its organization, actions, inactions and/or infractions of its teams, players, coaches, managers, parents, and personnel. This responsibility shall include debts incurred by or in the name of the Tier II Organization, or any of its Tier II teams, players, coaches, or representatives. The proposed Tier II Organization must be duly organized and operated in accordance with the By-Laws, Rules, Regulations, Member Association Agreements, and Policies of UAHA and authorized according to UAHA rules to field, govern and control Tier II Teams organized by such Tier II Organization in the State of Utah each season.

Each Tier II Organization shall comply with the minimum requirements set forth in this Article, UAHA and USA Hockey By-laws, Policies, Rules and Regulations, which include, but are not be limited to, the following:

- a. A Tier II Organization may only apply for one (1) Tier II Team in each age division (18U [19U for girls], 16U, 14U).
- b. All teams at 14U, 16U and 18U (19U for girls) classifications must have a minimum of 15 players (including goalkeepers).
- c. The Association/Program must have at least two (2) National Tournament Bound teams from the 14U, 16U, 18U (19U for girls) year-old age categories in the Tier II categories, each of which must have a minimum of 15 players per team.
- d. Tier II organizations must submit a list of Officers, Directors, and Registrar, the age divisions for its proposed Tier II Teams, the names of the Coaches for each Tier II Team, written proof that each Coach has completed the

appropriate coaching certification and USA Hockey coaching module for that age division, and has complied with UAHA's background screening requirements.

- e. Tier II organizations, if requested by UAHA, must submit historical financial information that demonstrates the organization proposing to field Tier II Teams has been financially stable the prior three (3) consecutive seasons and has the ability to finance the following season.
- f. Ice contract(s) that demonstrate the time slots and days of the week that the proposed Tier II teams shall practice or play games for the upcoming season. It is imperative that Organizations provide sufficient ice to support an appropriate number of home games and practices consistent with the size of its program, its season and this Article. In addition, Tier II Organizations must provide evidence (Can be a demonstration of ice from the previous season) of a supporting off-ice development program.
- g. A Fact Sheet for each proposed Tier II team, as defined in Paragraph (5) below.
- h. Written information regarding the structure, organization and responsibilities of the Tier II Organization and each Tier II Team, its coach, manager and any administrators.
- i. Written By-Laws, Rules and Regulations.
- j. Current compliance and a statement of future intent, ability, and procedures to comply with USA Hockey and UAHA By-Laws, Policies, Rules and Regulations as well as the Guidelines and Policies established by the Tier Committee.
- k. Internal Revenue Service 501(c)(3) tax exemption status under the Internal Revenue Code.
- l. All Tier II games played in Utah must have officials scheduled by assignors authorized for Tier II hockey.

Team Selection Process:

1. Procedures: Each Tier II Organization shall institute procedures to select the best Tier II level players, as determined by the organization, coaches. Guidelines for team selection process shall include, but not be limited to the following:

- a. Each Tier II Organization shall hold open tryouts. Tryouts may **not** be announced or advertised until the Tier II Organization has received written notification from the Vice President of **Tier** of its approval as a Tier II Organization and Tier II team for the ensuing season.
- b. Tryouts must be conducted in accordance with UAHA's tryout schedule published each spring. Tier II Organizations will conduct tryouts for their Tier II teams at 18U, 16U, and 14U age divisions during the protected Tier II tryout period, which will allow Tier II Organizations to attract, evaluate, and select the best players at each age group after the selection of the Tier I level players.

No youth Tier II team may recruit or solicit players or offer contracts to players for the following season, or hold development camps, tryouts, player selections or any activity that could be construed as a tryout/solicitation or recruitment for the following season, until 48 hours after the last game of Youth National Championships (both Tier I and Tier II Nationals must be completed). (i.e.: if National Tournament ends at 4 PM on Monday, tryouts can begin after 4 PM on Wednesday). Any violation of this prohibition may, at the discretion of the Disciplinary Committee, subject the coach, team, program and/or responsible administrators to appropriate discipline, or ineligibility of the team or coach, as determined by the Affiliate.

No girls Tier II team may recruit or solicit players or offer contracts to players for the following season, or hold development camps, tryouts, player selections or any activity that could be construed as a tryout/solicitation or recruitment for the following season, until 48 hours after the last game of Girls National Championships (both Tier I and Tier II Nationals must be completed). (i.e. if National Tournament ends at 4 PM on Monday, tryouts can begin after 4 PM on Wednesday). Any violation of this prohibition may subject the coach, team, program

and/or responsible administrators to appropriate discipline, or ineligibility of the team or coach, as determined by the Affiliate.

- c. Tier II Organizations that conduct tryouts during the protected Tier II tryout period (i.e. players on the ice) will be required to skate teams at Tier II for the coming season. Should a Tier II Organization determine, either during or following tryouts, that one or more teams will not be competitive at Tier II, the Tier II Organization may opt to disband the Tier II team or register the team as House/Rec.
 - d. Personal invitations for tryouts are permissible but tryouts must be announced publicly through a general publication or individual mailing to all players at each level and inviting any player who wishes to tryout.
 - e. The announcement must contain at a minimum the organization name, level of tryouts, name of head coach, contacts with phone numbers, dates/location/time of tryouts, & brief statement of the program.
2. **Player Commitment:** Any player who signs a contract roster is committed to that Tier II Team for the ensuing playing season and cannot play for another Tier team until he/she has been released from that Tier II Team. All such player releases shall be submitted to the Vice President of Travel. Exceptions to this rule are Girls, High School, House League, Juniors, Junior College, College or University.

3. **Roster:** USA Hockey rosters (1T) for Tier II Teams must be submitted to the appropriate USA Hockey Associate Registrar for Utah prior to any team participating in a non-league game, league game or tournament game. Any Tier II Organization or team found to be in violation of this rule will be sanctioned accordingly as outlined in the USA Hockey Annual Guide. Tier II Organizations must register their Teams as Tier II Teams by **September 15th** of the current season to be eligible for the state tournament. A Tier II team may not be registered as a High School or **House/Recreation** team at any point during the season.

4. **Commitment Date:** No player shall be allowed to contractually commit to play on a Tier II Team or sign a roster or pay monies prior to UAHA-approved tryout dates for the ensuing season.

5. **Fact Sheet:** Tier II Organizations and Tier II Teams shall furnish each Player and his/her parent(s), in writing, before any tryout and prior to signing a roster, a Fact Sheet that provides full disclosure of their Tier II program and season, including all applicable costs (at a minimum, fundraising, jerseys, off-ice training, approximate travel costs, season registration fees of the Tier II Organization, and any other expected expenses), number of games and practices, amount of travel, and any "rules" of the Tier II Organization or Tier II Team. The Fact Sheet shall also outline the refund policy of the team should a player not play for the full season, regardless of reason. The player and parent must sign this fact sheet and a copy shall be provided to UAHA along with the team registration within 15 days of the team tryout. If a player is added to the Tier II Team roster after 15 days of the team tryout, the player and parents must sign the fact sheet before being added to the roster and a copy of the fully signed fact sheet shall be immediately provided to UAHA. Copies of all signed Fact Sheets & rosters (1T) shall also be submitted to the Vice President of Tier.

G. **Competition among Tier II Organizations and Teams:** UAHA and current Tier II Organizations have agreed that local competition among the Tier II Organizations and Teams will provide for high quality, cost-effective play in Utah and further promote Tier II hockey in Utah. If there are three (3) or less Tier II Teams, Each authorized Tier II Team shall play each other in Utah a minimum of four (4) games at each appropriate age division each season. A maximum of two (2) of the four (4) games can be tournament games that will be counted towards the four (4) game minimum. If there are four (4) or more Tier II Teams each authorized Tier II Team shall play each other in Utah a minimum of two (2) games at each appropriate age division each season. A maximum of one (1) of the two (2) games can be tournament games that will be counted towards the two (2) game minimum. All such games should be scheduled as soon as possible to be included in

each team's season schedule. The cost for these games shall be split evenly by both teams. All such games must be played at least three (3) weeks prior to the Tier II State Tournament.

House/Recreation

Over the past few years USA Hockey has moved away from labels like AAA, AA, A, or B, etc., in defining a team's "level" of play. This was done to reflect the lessened focus on winning and championships and to place greater emphasis on player development and enjoyment of the game. Instead, USAH now uses three designations in choosing the type of roster to use:

1. Tier I (national bound)
2. Tier II (national bound)
3. House/Rec (in-house teams)

UAHA currently has rules governing roster eligibility for Tier teams/organizations, for Tier II teams, and for Travel Teams (rostered as House/Rec and not eligible for National Bound Events). These rules and requirements can be found in these UAHA Policies and Procedures.

House/Rec was originally intended to serve as a means to roster players and teams competing "in house" hockey programs and little thought was given to placing any restrictions or requirements for teams using this type of roster. In recent years and with the advent of tighter restrictions on Tier designations, some organizations and teams have taken advantage of the lack of restrictions on house/rec rosters to create a "workaround" to being designated Tier I. In some location's house/rec teams are recruited from across the country in an attempt to assemble Tier I caliber teams that compete in "AAA" tournaments without having earned that designation or following the same rules as legitimate Tier I teams.

In short, these "nationally recruited" house/rec teams draw legitimate Tier I and II players away from true Tier teams and fracture the competitive pyramid that USA Hockey strives to make available.

UAHA (along with several other USA Hockey Affiliates) believes the House/Rec roster designation should be used solely as intended and only by those teams comprised of local area players competing either in a rink-based in-house hockey program or a local Travel league as defined herein.

All House/Rec rosters will be comprised of in-state players whose family's domicile or permanent residence is in the State of Utah. No billeted or out-of-state players are allowed to be rostered on a House/Rec team. If an out-of-state player does not have a House/Rec team in his/her local community such player may petition to play in an appropriate age division in Utah. Such petition shall include the age division for the upcoming season, address of family's domicile or permanent residence, address of nearest rink, name of organization and level of team from prior season, and name/address of organization and level of team that is being requested for the upcoming season. All such information shall be submitted to UAHA's House Section Vice President and UAHA Executive Vice President prior to enrolling with the Utah based organization.

House Hockey (Rostered as House/Rec) must follow the USAH ADM to the maximum extent possible. House Hockey (Rostered as House/Rec) teams may not be formed by tryout. All players wanting to play should be given the opportunity.

House Hockey (Rostered as House/Rec) will be divided into the following categories:

1. Learn to Play – consisting of beginning level players. Player development consists mainly of skill development, some gamesmanship, and minimal inter-squad scrimmages
2. In-House Teams – consisting of beginning to mid-level players. Player development consists mainly of skill development, gamesmanship, and includes scrimmages/games with other like skill level teams within the organization with some games scheduled with teams from other near or adjoining area organizations of like skill level.

3. Utah Youth Hockey League (URHL) - consisting of beginning to mid-level players not rostered on another team rostered as (House) Travel or Tier. Player development consists mainly of skill development, gamesmanship, and includes scrimmages/ games with other like skill level teams within the organization and games scheduled with teams from other organizations of like skill level who desire to travel between communities and compete for a State Championship. The players will be divided into two skill levels (Please note that it is very important to remember USAH's guidance for Youth Hockey (House/Rec) when assigning the level of play for our youth, "USA Hockey Mission Statement for its Youth programs is as follows: To provide an innovative grassroots foundation for the growth and development of USA Hockey, designing programs aimed at increased participation, improved skills and a responsible environment for the conduct of youth hockey". Also refer back to the definition of Youth House/Rec Hockey found at the beginning of these Policies and Procedures):
- Division I – Mid to upper level skill player. Teams must have at least 12 skaters and a goalie (recommend 15 players and a goalie). Teams are encouraged to practice together to maximize ice effectiveness and minimize costs. Teams must be declared prior to the annual game scheduling meeting, or the season schedule is developed by an independent scheduler.
 - Division II – Beginning to low level skill player. Division II teams may not travel out-of-state for any games or tournaments. It is recommended that the number of players be kept low so that the players get the maximum amount of ice time and puck touches during games. Teams are encouraged to practice together to maximize ice effectiveness and minimize costs.

~~4. Players from Division I teams may be selected from within an organization, or from multiple organizations to form a single team that may travel to no more than two (2) tournaments out of state. Tournaments should be described as an "A" level tournament or less. These combined players may not play as a team in the URHL Championship. The intention of this provision is not to form super teams for competition.~~

~~4. —Players from Division I teams may be selected from within an organization from multiple D1 teams, or from multiple organizations to form a single team [per organization or from multiple organizations] that may travel to no more than two (2) tournaments out-of-state. This can only occur when there are not sufficient players on a single team who can or desire to travel out of state to a tournament. Tournaments should be described as an "A" level tournament or less. The creation of "super teams" for the purpose of increasing the competitiveness of the organization for a tournament is prohibited. These combined players may not play as a team in the UTHL Championship or in other in-state games other than tournaments. These teams should be designated "Tournament" on the I-T Roster. Only one combined tournament team is allowed per age division per organization or combined organizations. The roster for this tournament team freezes on the date in December determined by the State Registrar, but in no case later than December 31st.~~

~~In-state tournaments should be entered by the organization using the originally rostered team or teams rather than combining players into a "tournament team" in order to provide these developing players an opportunity to experience a tournament environment. Only if the organization can demonstrate that there are not sufficient players on a single team who can or desire to participate in the tournament may they enter their "tournament team". UAHA may seek documentation of this non-participation. These teams, if formed, should be designated "Tournament" on the I-T Roster. The roster for this tournament team freezes on the date in December determined by the State Registrar, but in no case later than December 31st. Advance communication with the State Registrar is required.~~

~~5. —Players from Division II teams may be selected from within an organization, (or from multiple organizations) to form a single team that may travel to no more than one (1) tournament out of state. Tournaments should be described as a "B" level tournament or less. These combined players may not play as a team in the URHL Championship. The intention of this provision is not to form super teams for competition.~~

Final June 5, 2017
First Amended: August 5th, 2019
Second Amended: July 6th, 2022

5. Players from Division II teams may be selected from within an organization from multiple D2 teams, or from multiple organizations to form a single team [per organization or from multiple organizations] that may travel to no more than one (1) tournaments out-of-state only when there are not sufficient players on a single team who can or desire to travel out of state to a tournament. Tournaments should be described as a “B” level tournament or less. The creation of “super teams” for the purpose of increasing the competitiveness of the organization for a tournament is prohibited. These combined players may not play as a team in the UTHL Championship or in other in-state games other than tournaments. These teams should be designated “Tournament” on the 1-T Roster. Only one combined tournament team is allowed per age division per organization or combined organizations.

In-state tournaments should be entered by the organization using the originally rostered team or teams rather than combining players into a “tournament team” in order to provide these developing players an opportunity to experience a tournament environment. Only if the organization can demonstrate that there are not sufficient players on a single team who can or desire to participate in the tournament may they enter their “tournament team”. UAHA may seek documentation of this non-participation. These teams, if formed, should be designated “Tournament” on the 1-T Roster. The roster for this tournament team does not freeze during the season. Advance communication with the State Registrar is required.

WASATCH RECREATION HOCKEY LEAGUE

The Wasatch Recreation Hockey League (WRHL) is an organization of Youth Hockey Associations whose purpose is to provide organized RECREATIONAL hockey opportunities for the youth of Utah. Recreational hockey’s primary focus is to provide a FUN environment for youth hockey players to learn the game and improve their skills. The goal of the WRHL should be to grow the number of youth hockey players by providing a fun and safe environment for them to learn to love the game. It is the responsibility of each Association of the WRHL to educate their parents, coaches, and players of the purpose of the WRHL shall follow the Codes of Conduct of USA Hockey.

This collection of rules and regulations are prepared and agreed upon by the Youth Hockey Associations making up the WRHL in order to provide a basis for fair play. Each Association, whose signatures are affixed below, has reviewed and agrees to these rules and regulations and the penalties which will be imposed for not following the rules and regulations. Each Association shall submit the primary contact (phone and email) for the Association (President, Program Manager) who can make decisions for the Association, a secondary contact, and the Manager for each team to the WRHL Coordinator.

Anything needing a vote will be presented to the WRHL Coordinator in writing.

The WRHL select a Coordinator, Scheduler and a Tournament Director each season. The Coordinator will schedule meetings of the WRHL Associations as required, act as the central point of communication and rule on matters contained within these policies. The Tournament Director may coordinate and organize a group of people from each Association to plan and run the year end tournament.

All Associations in attendance at meetings called for the purpose, shall vote on matters amending the Policies and Procedures or on matters not covered by the Policies and Procedures. The WRHL Coordinator, Scheduler and a Tournament Director should occur on or before June 1st of each year. For the inaugural season of 2021 – 2022, the associations of Provo City, Olympic Oval and Weber County shall be the Coordinator, Scheduler and a Tournament Director.

The following will be the areas subject to Policies and Procedures of the WRHL:

1. Teams/Rosters
2. Scheduling
3. 6U & 8U Age Groups
4. WRHL Tournament

1.

Teams/Rosters

a. The Team Divisions of the WRHL will be as determined by USA Hockey and published in the Annual Guide:

Birth Years:

- i. 6U, (ages 6 and younger)
- ii. 8U, (ages 7 and 8)
- iii. 10U, (ages 9 and 10)
- iv. 12U, (ages 11 and 12)
- v. 14U, (13 and 14)

b. Eligible league teams are DI/DII level teams that are approved by the UAHA BOD for the current season.

c. The WRHL will field two divisions of play; Division I is for players on the higher end of the recreational scale, but not at the Travel level or unable to play travel hockey. Division II level of play will be developmental in nature and teams should be of like composition. Any players who have previously played Travel or TIER hockey are not allowed to play in D2 divisions.

d. Associations will prepare "Official" Rosters on USA Hockey form 1-T. Roster changes for DI shall not be made after December 31st or an earlier date set by the State Registrar. Youth players may not be added to a roster after December 31st or as noted previously, except for medical exceptions and for non-resident players who relocate/move their primary residence to the area served by the Association. Written documentation must be submitted to the WRHL Coordinator prior to adding the player to the official roster. After December 31st, DII rosters can remain open all season to new players however a letter must be submitted to the Coordinator for approval.

e. All DI teams within the WRHL must submit each roster to the WRHL Coordinator by December 31st. Once the roster has been approved and signed, it will be held for the WRHL Tournament.

f. There shall be NO "Double Rostering" of non-goalie players during the regular season. During the regular season. If there are less than 9 skaters and one goalie a team may "Call Up" a player from the division lower (of the same age level and same organization) than them for the express purpose of providing their team an additional player so the game may be played. If a coach, parent or player are asked to "Play Up" and wish es not to, that is their choice. The WRHL is in no way advocating a player to "Play Up" if the situation is deemed un-safe by coach, player or parent. If the situation for a "Play Up" occurs, the coach using players from another team should inform the opposing coach prior to the game and the name of the player(s) shall be handwritten onto the roster/score sheet for that game. At no time may a player from a division above them be called down.

Goalies may be double rostered only in the case where an organization has multiple teams and does not have a goalie for each team. DII teams are strongly encouraged to utilize Quick Change Goalie Gear and rotate players willing to try being the goalie for at least one period. Regardless of the number of teams on which a player rosters as a goalie, they may also roster as a non-goalie skater on a single team, so long as none of their teams are at the Tier I or Tier II level.

g. Only players listed on the official Roster (form 1-T) for a team may play in the WRHL Tournament. There shall be no exceptions.

h. All teams are required to play a minimum of 7 games in order to be eligible to participate in the WRHL Tournament. There will not be a home and away requirement and each association can schedule other teams at their convenience; however no more than two games between any two organizations may count towards the seven-game minimum. Organizations are encouraged to play each team in their division at least once. Each Player on the official Roster (form 1-T) are required to play 50% of the games the team participated in order to be eligible to play in the WRHL Tournament.

2. **Scheduling**

- a. Notice of any cancelation or request to reschedule shall be submitted to the WRHL Scheduler for the individual organizations involved in the change. Except in the case of severe weather which may make road conditions too dangerous to travel, Associations may not cancel or reschedule games less than one week prior to the scheduled date. Visiting Associations are responsible to contact the host team as far in advance as possible to alert them that severe weather will prohibit travel.
- b. There shall be a \$200 penalty assessed to a visiting team who does not show up to play a scheduled game. There shall also be a \$200 penalty assessed to a home team who schedules a game and either cancels the game less than 1 week prior to the scheduled date or does not show up for the game.
- c. The penalty shall be paid to the opposing team not penalized and shall be used to either offset the cost of the ice the home team has purchased to host the game, or, in the case that the home team has no -showed, help reimburse the away team for travel expenses.
- d. No Show grievances are required to be submitted in writing to the WRHL Coordinator the next business day following a no-show. This ensures proper follow-up by the WRHL Coordinator.
- e. Every "Home Team" is required to provide warm-up and game pucks for every WRHL game.
- f. Every WRHL game score sheet to be counted toward the minimum 7 game requirement is to be kept by each association. The home team will be required to submit the score sheet on to the WRHL Coordinator to input the game information. If the need arises for the score sheet to be reviewed, every organization must comply within 7 business days and submit score sheets to the WRHL Coordinator.

3. **Mites**

- a. A Mite Chairperson may be elected each year to help organize, provide education for, and implement initiatives to help grow the Mite divisions within the WRHL. This includes but is not limited to scheduling Mite Jamborees, Mite Exhibition games and encouraging participation for Mites throughout Northern Utah.
- b. Any organization fielding Mite teams may participate in WRHL games or jamborees and may be invited to the year-end tournament

4. **Discipline Issues**

- a. Match Penalties will be governed by USA hockey in accordance to UAHA rules.

5. **WRHL Tournament**

- a. The WRHL Tournament dates and venue will be decided upon by the WRHL Coordinator and the Tournament Director each year. Tournament shall be sanctioned by USA Hockey.
- b. The tournament rules & regulations including any disciplinary action within the league and under the jurisdiction of the league will be governed by the WRHL Coordinator and the Tournament Director who shall appoint a 3-member Disciplinary Panel of qualified individuals, except for Match Penalties which are referred to the State Disciplinary Committee. The Tournament Disciplinary Committee representatives may be the same representatives that already sit on the WRHL Leadership Committee; however, in no case shall a Committee member sit on a Disciplinary panel where one of their rostered players is the subject of the disciplinary action.

These Rules and Regulations of the WRHL are agreed to by all Associations signed below by authorized agents of the Association:

Ashley Valley Hockey Association Peaks Youth Hockey

By: _____ By: _____
Its: _____ Its: _____

Cache Valley Amateur Hockey Association Davis County Youth Hockey Association

Final June 5, 2017
ended: August 5th, 2019
Amended: July 6th, 2022

By:	By:
Its:	Its:

Ogden Amateur Hockey Association Utah Olympic Oval Hockey

By:	By:
Its:	Its:

Summit and Wasatch County Amateur Hockey Association

By:
Its:

Salt Lake County Lightning

By:
Its:

Final June 5, 2017
First Amended: August 5th, 2019
Second Amended: July 6th, 2022

COACHING ETHICS CODE

All USA Hockey ice hockey coaches (head and assistant) and instructors must abide by the USA Hockey Coaching Ethics Code and understand that violations may result in full or partial forfeiture of coaching privileges in programs, sites or events under USA Hockey's governance. All coaches have an obligation to be familiar with USA Hockey's Coaching Ethics Code. Lack of awareness or misunderstanding of an ethical standard is not itself a defense to a charge of unethical conduct. The USA Hockey Coaching Ethics Code can be found online at www.usahockey.com under Coaches.

CODE OF CONDUCT

Coaches, Managers and Team Representatives: Each Sub-Affiliate and section shall have standards of appropriate conduct for all coaches, managers, and team representatives that meets or exceeds most recently published USA Hockey Guidelines and is published to its membership and complies with UAHA and USA Hockey Policies and Procedures. Further, it should include the process through which complaints can be made and resolved.

Players and Parents: Each Sub-Affiliate and section shall have standards of appropriate conduct for all its players and their parents or a guardian that meets or exceeds most recently published USA Hockey Guidelines and is published to its membership and complies with UAHA and USA Hockey policies and Procedures. Further, it should include the process through which complaints can be made and resolved.

Board Members, Officials and Organizers: UAHA will not tolerate the abuse of authority, intimidation, harassment or reprisals from any UAHA member in his or her official or unofficial capacity within any UAHA organization. UAHA members who hold positions of authority will be held to the same standards of conduct as any other member.

DISCIPLINARY COMMITTEE

The Disciplinary Committee is charged with the overall responsibility and authority for hearing and resolving disciplinary issues involving participating players, coaches, parents, officials and officers of UAHA.

The Role of Member Associations: The UAHA Board recognizes the importance of the Member Associations role in establishing and enforcing disciplinary standards for its members. The UAHA Board further reaffirms the Member Associations authority to establish standards of conduct and fair play and to take immediate and reasonable disciplinary action, including benching or suspension, in "on-ice" situations, such as games and practices, and in "off-ice" situations, such as those involving locker rooms and tournament travel, in which either the coach is responsible for the players' conduct or such conduct could be detrimental to the team, the association or UAHA. However, the UAHA Board also recognizes the importance of fairness and consistency in the application of disciplinary standards and has, therefore, established the appeal mechanism outlined below.

Primary Appeal: A player and/or parents of the affected player may appeal a suspension or other disciplinary action imposed by coach or other team personnel FIRST to his/her own association.

Secondary Appeal: Requests for a hearing by the UAHA Disciplinary Committee must be in writing and comply with the procedures of the Disciplinary Committee as established in accordance with these policies and procedures. Any suspension or other action shall remain in effect until the appeal is heard and decided upon by the Disciplinary Committee. All suspensions are subject to review by the Disciplinary Committee at the request of either party.

Time and Place of Meetings: The UAHA Disciplinary Committee shall meet on the third Monday of each month as necessary. The time and location of such meetings shall be established by the Vice President of Discipline and the location will be communicated to the parties involved.

Membership and Quorum: The UAHA Board will designate a pool of Disciplinary Committee candidates by September

1st. The Disciplinary Committee shall be composed of at least three (3) members Chosen appointed by the UAHA Board, Members of the Disciplinary Committee shall be an Associate Member of UAHA. A majority of the appointed members shall constitute a quorum. Decisions of the Disciplinary Committee shall be determined by a simple majority of voting members, provided a quorum is present. Members of the Disciplinary Committee may not participate in matters involving their own Member Association but are expected to exercise sound judgment in determining whether a particular situation may represent a conflict. Members of the Disciplinary Committee shall excuse themselves from all matters involving him/herself or a family member.

Specific Authorities and Responsibilities: Specific authorities and responsibilities of the Vice President of Discipline:

- a) To review all match penalties involving coaches and players;
- b) To hear all complaints of misconduct involving coaches, players, parents/fans, or teams;
- c) To determine and assess disciplinary action against players, coaches, parents/fans, or teams, as considered appropriate in the circumstances, up to and including suspension and/or dismissal from the team and forfeiture of games;
- d) To maintain a record of and report to the UAHA Board all actions taken;
- e) To report other matters of which the UAHA Board should be aware that may come to its attention as a result of its activities;
- f) To compel the appearance and testimony of a player, coach, or parent at a hearing and/or compel production of score sheets, video tapes, and other documentation in the possession of a coach, player, member, or representative of UAHA;
- g) To solicit statements, testimony, evidence, or other documentation from interested parties other than players, coaches, and UAHA members, as considered necessary in the sole judgment of the Disciplinary Committee;
- and h) To establish such procedures as it considers necessary to conduct its activities.

Relationship to USA Hockey: It is the intention of UAHA to comply fully with the rules of USA Hockey. The Committee is specifically barred from waiving an action or imposing an action less severe than that called for by the rules of USA Hockey.

Coaches' Helmet Rule

On-ice hockey coaches who are observed on the ice without an approved protective helmet will be subject to disciplinary action according to USAH Rules.

Match Penalties

1. **State Match Penalty Committee.** For the purposes of these Policies and Procedures, UAHA hereby affirms that the State Match Penalty Committee will be the UAHA Disciplinary Committee, the purpose of which is to oversee proper adjudication of all Match Penalties assessed to players, coaches, team officials or parents who are participants in teams, leagues or programs that are registered with USA Hockey through UAHA. The State Match Penalty Committee shall be comprised of three members, appointed as follows:

- Two or more persons who are members of the standing Disciplinary Committee, appointed by the UAHA Board of Directors, the State Match Penalty Committee Chairman shall be the Vice President of Discipline; and
- The USA Hockey State Supervisor of Officials, provided, however, that the State Supervisor may appoint any other USA Hockey registered official as his designee to participate in his place in connection with any matter referred to the State Match Penalty Committee.

3. **Conduct of Committee Functions.** Each member of all committees established shall each have one vote with respect to any matter brought before the committee for decision. The chairman of each committee shall have

responsibility to ensure that the committee properly discharges its duties and responsibilities and shall report to the person who appointed him to his position.

4. **Jurisdiction of State Disciplinary Committee.** The State **Disciplinary** Committee shall have original jurisdiction over all match penalties that are assessed

a UAHA has adopted the minimum match penalty suspensions adopted by USA Hockey for all first offences. See the USA Hockey Annual Guide for details of these suspensions.

b Match Penalties issued to the same player in any given season, or in the cases of continuing offences by the same player, the Disciplinary Committee may increase the time of suspension within the range established by USA Hockey or require the player to attend a Disciplinary Hearing.

c Any player issued a Match Penalty, and offered the minimum, or other suspension time within the range established by USA Hockey may decline the offer and request a hearing. Any such hearing will be held in accordance with the Hearing Schedule and Procedures of the Disciplinary Committee.

d In the event of a match penalty for a violation of Rule 601(e3) "Uses language that is offensive, hateful or discriminatory in nature anywhere in the rink before, during or after the game", the USA Hockey member will receive an automatic 30-day, minimum, suspension, and a standard Bylaw 10 hearing procedure, as outlined in the USA Hockey Annual Guide. Additional time may be added by the Disciplinary Committee if in their opinion the offense is especially vial, or if USA Hockey Member has committed multiple violations of Rule 601(e3).

e In the event of a match penalty for a violation of Rule 601(f) " Deliberately inflicting physical harm, or attempting to do so, to any game official, including off-ice officials; (Note-Any match penalty assessed under this rule must be reported via written game report by the officials to the USA Hockey District Referee-in-Chief within 48 hours); Deliberately injuring, or attempting to do so, any opposing player or team official; Behaving in any manner that is critically detrimental to the conducting of the game, including spitting at an opponent, spectator, game or team official, or verbally threatening a Game Official, opposing Team Official or opposing player with physical harm.", the USA Hockey member will receive an automatic 30 day, minimum, suspension, and a standard Bylaw 10 hearing procedure, as outlined in the USA Hockey Annual Guide. Additional time may be added by the Disciplinary Committee if in their opinion the offense is especially vial, or if USA Hockey Member has committed multiple violations of Rule 601(f).

f Appeals of any decision of the State Disciplinary Committee for a Match Penalty shall be submitted in written form to the UAHA President according to USA Hockey Bylaw 10.

6. **Compliance with USA Hockey By-Laws.** Hearings and proceedings conducted by any committee created by UAHA for match penalties shall be conducted in accordance with the procedures established in USA Hockey By-Law

10(D). For purposes of By-Law 10(D)(1), the committees appointed by this provision shall be the "Hearing Committee".

7. **Hearing Participants.** At the hearing, the Committee will meet first and separately with the official (via telecom if needed), which is followed by a meeting with the player and his/her parent[s]/legal guardian[s] if the player is under 18. A parent/legal guardian must attend the hearing if the player is under the age of 18. Witness statements will be accepted prior to the hearing if the witnesses are unable to attend in person.

8. **Hearing Procedure.** The Rules of Evidence in Judicial Proceedings do not apply to match penalty hearings. Players or coaches cannot be represented at the hearing by attorneys who are not permitted in the process. The Committee does not allow cross examination of the officials by the player or the player by the officials. The Committee may look at video of the incident so long as its authenticity can be established.

9. **Hearing Schedule.** Match hearings will be scheduled on the third Monday of each month. If a match penalty is called within seven (7) days of next hearing date, the hearing will be rescheduled for the next month and the individual

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who received the match penalty may resume play after thirty (30) days elapses from the date of the match penalty and no hearing has been held. If the individual chooses to waive the required seven (7) day hearing notice requirement, the hearing may be held during the next scheduled hearing date.

10. **Conflict of Interest.** In the event that any member of any committee has a conflict of interest between the association, team, or program they represent and the matter at hand, such member shall excuse himself or herself from participating on such committee and the appropriate Committee Chairman shall appoint a replacement committee member for such matter only. Such replacement committee member shall be a UAHA member in good standing and not in conflict with the matter at hand.

11. **Appeals of Committee Decisions.** Once decisions have been rendered by the UAHA disciplinary committee, suspended or otherwise disciplined participants may request an appeal hearing in accordance with the procedures established in USA Hockey By-Law 10(E).

SPECTATOR CODE OF CONDUCT

All spectators are expected to promote good sportsmanship and positive support for ALL players, coaches, and staff by directing comments and applause towards all players in acknowledgement of good play and effort. This is an AMATEUR RECREATIONAL sports program that focuses on positive emotional and physical development, teamwork, and sportsmanship.

UAHA and each organization is primarily organized and operated by volunteers. Everyone does their best to provide the best program possible for the benefit of this amateur sport. Any concerns should initially be directed to an organization representative, then a coach, if so directed by the organization representative. Use the "24 Hour Rule" at all times.

The USA Hockey has programs designed to improve coaching and officiating skills and to promote consistency, yet, all are human. If you disagree with their coaching style or calls on the ice; be understanding. The Coach's and Official's decision are FINAL. Input from the stands or the bench has NEVER changed a call. Someone must coach and officiate these games or there would be no game. Decisions are not to be argued. No Exceptions.

Consider volunteering as a coach or becoming an official.

Remember that this is youth sports, not professional.

UNACCEPTABLE BEHAVIOR

- ▶ Yelling, pounding the glass, or use of distractive noise makers, such as cow bells, or air horns
- ▶ Disrespectful or derogatory yells or gestures, taunting or threatening any player, coach, official, league representative, rink personnel, or other spectators
- ▶ Criticizing officials in any way; displays of temper with an official's call
- ▶ Use of profanity, use of racial or ethnic slurs, or other display of anger
- ▶ Making physical contact with any player, coach, official, organization representative, rink staff, or any other spectator

VIOLATIONS

- ❖ Spectators who display negative behavior towards officials, coaches, players or staff will be warned and may be required to leave the rink immediately

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❖ No spectator, player, coach, or official shall at any time lay a hand upon, push, shove, threaten to strike, verbally threaten, attempt to intimidate or engage in a physical altercation with a player, coach, official, spectator or rink employee inside or outside the rink facility

❖ Verbal abuse and/or unsportsmanlike behavior or vulgarity directed towards a player, coach, official, spectator or rink employee will not be tolerated

❖ Spectators and parents may NOT “Coach” from the stands. Use your energy to provide a positive and sportsmanlike environment

❖ All spectators, coaches and players must conform to all rink facility regulations and rules. Improper behavior in the judgement of rink employees, organization representatives, on-ice official, or off-ice officials will not be tolerated

❖ All players, coaches and spectators will abide by the official’s decision, including a decision concerning the teams conduct

❖ No spectator may enter the locker room areas. No player, coach, or official may enter locker rooms of the opposing team or obstruct access to or exit from their locker room or locker room area

❖ No Coach or player may enter the official’s locker room(s) without being invited

❖ Do not throw any object onto the ice surface, into the player benches, or at any individual in the stands

❖ Do not damaging or deface property belonging to rinks, or any individual, team, or organization

❖ Any individual that is under the influence of alcohol or illegal drugs shall not attend games or be present in rink facilities

❖ Any individual ejected from a game MUST exit the playing area immediately upon request. Failure to leave may result in suspension of the game and/or forfeiture of the game

❖ Officials and supervisors are empowered to WARN, EJECT AND SUSPEND individuals for any misconduct or violation of rules. Anytime that, in the judgement of a rink employee or official, a game gets out of hand or conduct in the stands may jeopardize the safety of players, coaches, or other spectators, the rink supervisor on duty or the official has the authority to terminate the game or contact law enforcement

DISCIPLINARY ACTION

Violations of this policy will result in a hearing before the Disciplinary Committee and may result in suspension from USA Hockey sanctioned activities. Failure to adhere to these rules, philosophies and guidelines is subject to ejection, suspension and/or termination from the program. The severity of disciplinary action is dependent on the nature and severity of the unacceptable behavior.

DISPUTE RESOLUTION PROCEDURE

Exclusive Procedure: As a not-for-profit organization whose services are provided by volunteer effort, UAHA has established this Dispute Resolution Procedure to provide an efficient, orderly and uniform method of resolving all covered disputes. This grievance procedure provides the exclusive remedy to resolve such disputes and operates as a substitute for and bar to any formal court proceedings. Each UAHA member, player, coach, official, referee, parent, guardian, agent or other person, team, sponsor, or other group or organization ("Participant") agrees to abide by the Dispute Resolution Procedures as the exclusive remedy for all grievances by virtue of their membership, affiliation or participation at any time in the UAHA or a UAHA program.

Scope of the Grievance Procedures: A "grievance" is defined as any conflict; dispute or disagreement between Participants, including any parents or guardians of a player and that player's coach or a league official or members of different UAHA teams that allege a violation of UAHA Policies & Procedures and/or USA Hockey rules and policies. All Participants should attempt to resolve grievances expeditiously and fairly at the lowest possible level within the Dispute Resolution Procedure. These procedures are not, however, intended to resolve minor issues related to a player's participation on a team (such as playing time, positioning, or minor discipline) or private disputes between Participants. Grievances should allege a specific violation of UAHA, or USA Hockey rules, policies or procedures. Allegations of Safe Sport Violations shall follow USAH's Safe Sport Program and shall not utilize the Dispute Resolution Procedures.

The "Twenty-Four Hour" Rule: Unless the nature of the grievance requires immediate attention, UAHA members and participants are required to wait at least twenty-four hours after the event or incident before initiating these procedures. It should also be understood that a violation of UAHA policy by one person does not justify violation of UAHA policy by another person. For example, if a head coach unilaterally suspends a player for more than two games, the parents would not be justified in using abusive language in demanding the coach reinstate the player. ~~Premature grievances may be deferred by the Team Managers under Step One or the UAHA President under Step Two until expiration of this cooling-off period.~~

~~Step One. Grievances about a team, its players or its coaches should first be presented orally to the Team Manager and addressed between the parties involved in a spirit of cooperation. Team Managers should work with the members, coaches and/or other team officials involved resolving the grievance internally in an expeditious and fair manner. Coaches are encouraged to meet with members to attempt to resolve grievances in an amicable and informal manner. If the grievance arises between members of different UAHA Travel Teams, the Team Managers, Division Directors and Head Coaches of the teams involved should meet and work together to resolve the grievance. If the grievance is not resolved in a meaningful way, the subject should then be addressed by Step Two.~~

~~Step Two. Some problems may not be resolvable by the Team Managers at the team level in Step One and/or some complaints, by their nature, may cause the Participant(s) to be concerned about discussing the matter with the Team Manager(s) or coaching staff(s). In such cases, the matter should then be referred to the Vice President of Discipline. The Vice President of Discipline will notify the UAHA Disciplinary Committee of the concern. The Vice President of Discipline and the Disciplinary Committee will discuss the grievance with the Team Manager and the Participants to resolve the grievance and will issue a decision upholding or denying the grievance within ten days of the discussion of the grievance. If the grievance is upheld, the UAHA Disciplinary Committee will determine the remedy and/or corrective to be taken. The decision of the UAHA Disciplinary Committee together with the corrective action, if any, will be communicated to the Participants in writing.~~

~~Step Three. If the grievance is not resolved to the satisfaction of the parties at Step Two, then the grievance may be presented to the UAHA President within ten days of the decision of the UAHA Disciplinary Committee. Filing a formal grievance with the UAHA Board should be considered the last resort.~~

a) ~~—— Filing Grievances with the Board: Appeals from Step Two and other grievances filed directly with the UAHA Board must be in writing and identify the individual making the grievance, the parties involved and the nature of the dispute. The grievance should be submitted to the UAHA President in writing and should provide as much information regarding the grievance, as possible.~~

b) ~~—— Board's Decision to Hear a Grievance: The UAHA President will initially review the grievance and determine whether the Board should formally consider the matter. If not, the matter will be dismissed by the UAHA President without further action. In such case, the UAHA President shall notify the person filing the grievance of the President's action and the reason the President did not pursue the matter.~~

e) ~~—— Mediation: In some cases, the UAHA Board may determine that a grievance can best be resolved through "mediation" between the parties. Generally, relatively minor matters are the proper subject matter for mediation. In such cases, the Board may, by majority vote, order that the parties meet, discuss the grievance and attempt to resolve the issue themselves. In such cases, the Board will designate a "mediator" to help resolve the matter. The Mediator shall not be a Board member. The Mediator's role is to attempt to reach an acceptable resolution to the grievance. The Mediator shall report the results of mediation back to the Board. At that time, the UAHA Board will vote as to whether to hear the matter or dismiss it without further action.~~

d) ~~—— Notice of Complaint: In the event that the UAHA Board decides to hear the matter, the UAHA President shall notify the party against whom the grievance has been filed. The notice will include the fact that a grievance has been filed, the nature of the complaint and procedures the Board will follow in resolving the grievance. The notice will also specifically inform the member that he/she will be afforded an opportunity to respond to the grievance. The notice shall be in writing.~~

e) ~~—— Summary Suspension: Summary suspensions may be permitted pursuant to USA Hockey Bylaws or other violations of USA Hockey as set out in the Annual Guide.~~

f) ~~—— Investigations: Once the UAHA Board has decided to hear a grievance, it will determine if enough facts are known to make a decision on the matter. If not, the Board may direct that an investigation be conducted. The Board will appoint an individual to act as the Investigator. The Investigator may be a member of UAHA or an individual from outside of the association. The Investigator may not be a member of the UAHA Board, have a personal or family interest in the outcome of the matter or otherwise create the appearance of bias. The Investigator will interview the individuals and witnesses involved, review documents and other materials and collect information to the extent reasonably necessary to determine the facts of the matter. All members of UAHA shall cooperate in the investigation.~~

g) ~~—— Reports of Investigations: Once the investigation has been completed, the Investigator shall prepare a Report of Investigation. The purpose of the Report of Investigation is to provide the Board with a factual picture of the situation. The Report of Investigation shall include the undisputed facts. Where the facts are in dispute, the Report of Investigation shall summarize the evidence on each side and the Investigator's opinion on the issue. Where the facts cannot be determined, the Report of Investigation shall so indicate. A copy of the Report of Investigation shall be provided to the Board, the party filing the grievance and the person against whom the grievance has been filed.~~

- h) ~~Opportunity to Respond to the Grievance: When the Board has determined that an investigation is not necessary, a copy of the grievance shall be provided to the member against whom the grievance was made who will be afforded an opportunity to respond to the grievance. The response may be in writing or, at the Board's option, presented in person at a closed meeting of the Board. In some cases, the response may cause the Board to believe an investigation is now necessary. In such cases, the Board will conduct an investigation and receive a Report of Investigation as provided above. When an investigation is~~
conducted, the party filing the grievance and the person against whom the grievance has been filed will be afforded an opportunity to respond to the Report of Investigation. The response may be in writing or, at the Board's option, presented in person at a closed meeting of the Board. Regardless of whether or not an investigation is conducted, the person against whom the grievance has been filed has the opportunity to respond to the grievance in writing, before the Board takes any disciplinary action against the individual. The person against whom the grievance has been filed may waive the opportunity to respond or may be deemed to have waived the opportunity to respond if he or she does not do so in a reasonable period of time set by the Board. The person against whom the grievance has been filed does not have a right to appear before the Board in person to respond to the grievance but may be allowed to do so in the Board's discretion.
- i) ~~Board Review of the Grievance: The Board will review the Report of Investigation, consider any written response made by the person against whom the grievance has been filed and determine whether further investigation is necessary. The Board may, by majority vote, direct that further investigation be conducted and request any person to appear before the Board to assist in an appropriate resolution. If the Board chooses to hear from witnesses, the person against whom the grievance has been filed may be present to hear the witness and will be afforded the opportunity to respond. When a child is asked to appear before the Board or is the person against whom a grievance has been filed and is allowed to appear before the Board, his/her parents/guardians may accompany the child. Board meetings to consider grievances shall be closed meetings. A quorum of Board members must be present. The Board will decide the grievance by a majority vote and the decision will be promptly communicated to the individual and to the person filing the grievance. The UAHA Board decision is final and binding.~~
- j) ~~Disciplinary Actions: The UAHA Board may take disciplinary actions against any player, coach, parent, Board member or other individuals associated with UAHA for violation of UAHA policy. This may include probation; suspension from games or practices, exclusion from UAHA games or other activities or expulsion from the UAHA. Disciplinary action against a parent may be enforced by suspending or excluding the parent from any participation in the child's hockey activities. This could include not allowing parental access to the rink, locker room, practice facility, or any other venue where team or practice/game activity can take place.~~
- k) ~~Conflicts of Interest: Board members who have a personal interest in the outcome of a grievance or disciplinary action shall not participate in any manner in the Board's consideration of the matter. This includes situations where the Board member is the person who has filed the grievance, is the person against whom the grievance has been made, is a material witness to the matter, is the spouse or parent of such persons or has some other material conflict of interest. Board members who have conflicts of interests should voluntarily remove themselves from the proceedings. If they do not, the remaining Board members will vote on whether or not he or she should participate, outside the presence of the Board member in question.~~

Step One. Grievances about a team, its players or its coaches should first be presented orally to the Team Manager and addressed between the parties involved in a spirit of cooperation. Team Managers should work with the members, coaches and/or other team officials involved resolving the grievance internally in an expeditious and fair manner. Coaches are encouraged to meet with members to attempt to resolve grievances in an amicable and informal manner. If a grievance arises between members of different UAHA Associations, the Team Managers and Head Coaches of the teams involved should meet and work together to resolve the grievance. If the grievance is not resolved in a meaningful way, Association representatives from each organization, along with the Team Managers and Head Coaches, shall meet to try and resolve the grievance. If the grievance is not resolved in a meaningful way, the subject should then be addressed by Step Two.

Step Two. Some problems may not be resolvable at the team nor association level in Step One and/or some complaints, by their nature, may cause the Participant(s) to be concerned about discussing the matter with the Team Manager(s) or coaching staff(s). In such cases, the matter should then be referred to the Vice President of Discipline. All formal grievances shall be submitted in writing to the UAHA VP of Discipline. The person desiring to bring a formal grievance to the attention of the Discipline Committee shall include in the written statement (1) a concise statement of the dispute, (2) reference to the bylaws, rules or policies of UAHA or USA Hockey, (3) the names of any other persons involved in the dispute or who have knowledge of the facts relating to the dispute and (4) the actions from Step One that were taken, and (5) the specific relief requested.

Upon receiving a written formal grievance, the UAHA VP of Discipline shall promptly inform the UAHA Disciplinary Committee of the formal grievance. Subsequently, the VP of Discipline and the Disciplinary Committee will conduct a review of the formal grievance to confirm whether a violation has transpired based solely on information submitted. The submitter of the grievance will receive notification of either a confirmation of no violation or an acknowledgment that the Disciplinary Committee deems it necessary to initiate an investigation. This notification will be issued within 30 days of receipt of the formal grievance.

When a violation has been confirmed, the formal grievance investigation process will commence. The UAHA Disciplinary Committee will conduct this investigation by engaging in communication with all parties mentioned in the formal grievance, working diligently until a resolution has been reached by the Discipline Committee. This resolution may entail an educational approach, or it may lead to a hearing, as specified and outlined in the Discipline Committee section of the UAHA Policies and Procedures and USA Hockey Bylaw 10. The decision of the hearing, along with any corrective actions, if deemed necessary, will be conveyed to only the individual(s) found in violation in writing within a reasonable timeframe, by the UAHA Disciplinary Committee.

Disciplinary Actions: The UAHA Discipline Committee may take disciplinary actions against any player, coach, parent, Board member or other individuals associated with UAHA for violation of UAHA policy. This may include probation, suspension from games or practices, educational requirements, exclusion from UAHA games or other activities or expulsion from the UAHA. Disciplinary action against a parent may be enforced by suspending or excluding the parent from any participation in the child's hockey activities. This could include not allowing parental access to the rink, locker room, practice facility, or any other venue where team or practice/game activity can take place.

Mediation: In some cases, the UAHA Discipline Committee may determine that a grievance can best be resolved through "mediation" between the parties. Generally, relatively minor matters are the proper subject matter for mediation. In such cases, the UAHA Discipline Committee may, by majority vote, order that the parties meet,

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discuss the grievance, and attempt to resolve the issue themselves. In such cases, the VP of Discipline will designate a "mediator" to help resolve the matter. The Mediator shall not be a Board member. The Mediator's role is to attempt to reach an acceptable resolution to the grievance. The Mediator shall report the results of mediation back to the VP of Discipline. At that time, the UAHA Discipline Committee will vote as to whether to hear the matter or dismiss it without further action.

Step Three. Following the delivery of any hearing decision made by the UAHA Discipline Committee, the alleged offender has the right to appeal any decision to the Utah Amateur Hockey Association Board of Directors pursuant to USA Hockey Bylaw 10.E. To appeal this decision, submit a Statement of Appeal to the President of Utah Amateur Hockey Association and to the UAHA VP of Discipline within fourteen (14) days from date of decision.

Per Bylaw 10.E.(2). (b), only the evidence and theories presented to the Disciplinary Authority, or party taking the Administrative Action prior to rendering its decision, shall be present or considered on appeal.

The decision of the Board on the dispute shall be final.

Conflicts of Interest: Board members who have a personal and/or financial interest in the outcome of a grievance or disciplinary action shall not participate in any manner in the Board's consideration of the matter. This includes situations where the Board member is the person who has filed the grievance, is the person against whom the grievance has been made, is a material witness to the matter, is the spouse or parent of such persons or has some other material conflict of interest. Board members who have conflicts of interests should voluntarily remove themselves from the proceedings. If they do not, the remaining Board members will vote on whether or not he or she should participate, outside the presence of the Board member in question.

Retaliation and Bad Faith: No retaliation shall be taken by any coach, manager, player or other UAHA member against a person who makes a grievance in good faith. "Good faith" means that the person who makes the grievance actually believes that a UAHA policy has been violated and has a reasonable basis for that belief. A person who does not make a grievance in good faith or makes materially false representations to the Board is subject to disciplinary action by the Board.

Third Party Grievances: Grievances arising with individuals outside of UAHA, including referees, other officials or opposing players, parents and coaches, should be initiated at Step Two and referred directly to the UAHA Vice President of Discipline for investigation and resolution.

Costs and Attorney's Fees: This Dispute Resolution Procedure provides the exclusive remedy, and the decisions of the UAHA Board are final and binding. If any member or other party attempts to circumvent the Dispute Resolution Procedure through court action or attempts to overturn, modify or otherwise alter a procedure, ruling or other decision of the UAHA Board and fails to prevail, such member or other party shall pay for any and all fees, expenses and other costs incurred by UAHA with respect to that matter (including, but not limited to: attorneys' fees, court costs, court reporter, transcript, document and exhibit costs; fees and expenses of consultants, experts, investigators and witnesses; the transportation and other per diem or incidental expenses of each of the foregoing and of all volunteers; and, the value of each volunteer's time, both in and out of court, as measured by that individual's customary work position).

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Officials

UAHA recognizes WIHOA as the official's organization within the State of Utah. Leagues and the associations are encouraged to follow the USA Hockey guidelines when requesting officials. A hosting association or league is responsible for ensuring that properly certified on-ice officials are available for every game. In addition, off-ice officials shall be adequately trained to fulfill their responsibilities.

Abusive Spectators: Officials may halt play of any game if the conduct of spectators becomes abusive or dangerous. Play will not begin until the abusive fans have been removed from the arena.

Injuries: The referee shall stop play immediately in the event of any injury unless the referee is convinced that the injury is not serious or that it is an attempt to delay the game. Coaches are advised not to move an injured player until the extent of the injury has been determined.

UAHA STATE PLAYOFFS

This Section is the official procedure for the Utah Tier Youth State Championships. The winner of this tournament is declared the Utah State Champion and will qualify to play at the next step of the national playoffs as defined by USA Hockey.

USA Hockey Rules and Regulations shall govern all games. No protests shall be permitted on the playing rules.

The STATE TOURNAMENT will be an annual event that must be completed not less than fourteen (14) days prior to the first day of the National Championships and shall not interfere with Presidents Day and Martin Luther King weekend tournaments. The Tier Committee will create the State Playoff schedule and will procure ice, contract for referees, scorekeepers and clock operators. The Tier Representative for UAHA will serve as Tournament Director during the State Games.

Eligibility for Tier State Playoffs Tier teams must be eligible for Tier Utah State Playoffs in order to be considered eligible for District or National Playoffs. To be eligible to enter State Playoffs teams must abide by the following:

A. Teams must submit a preliminary declaration of intent to the Tier Committee by November 1st. Entry fee (defined below) must be received by the Tier Committee no later than December 31st (or the earlier date established by the State or District Registrar) and is non-refundable after ice is procured and officials are set for games.

B. Each Tier team must be properly registered as a Tier I or Tier II Team by December 31st (or the earlier date established by the State or District Registrar) of the current playing season to be eligible to compete in the Utah State Playoffs.

C. The selection process will only apply to teams that are registered as Tier I or Tier II and have consistently played in tournaments and games equal to that classification. Each team must also have a Certified Team Roster approved by the USA Hockey District Registrar by December 31st (or the earlier date established by the State or District Registrar) of the current season. The approved roster will meet the selection process criteria.

If there are three (3) or less Tier II Teams, each Tier team must have competed in four (4) games (home and home) with each of the other Tier teams of the same Division Classification (Tier I or Tier II) and age classification within the state before the UAHA State Playoffs. If two (2) of the four (4) games are tournament games then the remaining two (2) games shall be home and home, games shall be played in Utah. If there are four (4) or more Tier II Teams, each team must have competed in two (2) games with each of the other Tier Teams of the same Division Classification (Tier I or Tier II) and age classification within the state before the UAHA State Playoffs. One (1) of the two (2) games can be a tournament game, all games shall be played in Utah. If more games are played only the final two games between teams count toward State Playoff Tournament seeding using USA Hockey rules. Games shall begin with a maximum of a 5-minute warm up with 13-minute stop time periods with a third period curfew if needed. Games ending as a tie in regulation shall finish as a tie. Ice resurfacing is not required.

D. For these games, points shall be awarded as 3 points for a win, 2 to win 1 point for OT loss a tie, 0 points for a regulation loss. Seeding shall be based on total points accumulated.

If after home and home games are completed, and ties based upon points have occurred, the tie shall be broken (applicable to all tied teams) by following the most current USA Hockey Annual Guide, each team will be awarded three points for a win in regulation, two points for a win in overtime or shootout, one point for a loss in overtime or shootout and zero points for a loss in regulation. The tie-breaker formulas are (a) standings, (b) most total wins, (c) differential in goals against from goals scored, (d) quotient by dividing goals scored by the goals scored against, (e) most periods won, (f) quickest first goal.

E. Each Tier team must have competed in twenty (20) games in its Division Classification prior to district or national championships (i.e. Tier II teams must play 20 games against Tier II teams).

F. Each player must have competed in ten (10) games while being a properly registered member of that Team in that Division Classification prior to district or national championships.

G. UAHA State Playoffs may be used to meet the youth 20/10 or girls' 14/10 game rule for district or national playoffs.

Tier State Playoffs – Rules and Regulations the UAHA State Playoffs shall be under the supervision and control of the respective Tier Committee who shall be the final authority.

A. Each Tier Association must notify the UAHA Tier Representative in writing of their intent to enter the UAHA State Playoffs no later than November 1st of the current playing season using the attached form.

- B. If a Team wins the UAHA State Playoffs and fails to participate in the District or National Championships, the respective Tier Committee may request the UAHA disciplinary committee to hold a hearing to determine what penalties may apply to the Team, association, association officials and possibly association players. Penalties may include suspensions and/or monetary payment. All teams must abide by the game requirements set forth in this document. UAHA Tier Committee will determine playoff teams and seeding based on games played within state with other Tier teams of the same Division Classification.
- C. Required Credentials – Each Team entering the UAHA State Playoffs is required to furnish to the Tier Committee the following items:
1. Certified Team Roster (1-T), which has been approved (signed by the USA Hockey District Registrar) no later than December 31st (or the earlier date established by the State or District Registrar) of the current season.
 2. USA Hockey Consent to Treat Form for all players, coaches and managers.
 3. Copy of the Coaching Education Program certifications for all coaches for the Division classification. A copy of the coaches' certifications with age specific modules can be printed from the USA Hockey website.
- D. Substitute Goalkeepers – All teams must compete with two goalies on their roster. A Team may pick up a substitute goalie from within its own Association if it does not already have a spare goalie registered. The substitute goalie must be registered in the current season on a Team of equal or lower age classification and equal or lower Division Classification. A substitute goalie, under such circumstances, may be used only in the case of injury to the regular goalie. If a Team elects this method for a substitute goalie, the Team may allow only 19 players to participate in the UAHA State Playoffs at a time so as not to exceed the maximum number of players allowed.
- E. Forfeiting a Game – A Team forfeiting a UAHA State Playoff Game will be required to pay for all expenses, including, but not limited to all referees' fees, scorekeeper fees, and all ice costs for each forfeited Game. The Tier Committee may impose further penalties within its discretion. In the event of exceptional circumstances, the forfeit(s) may be appealed to the Tier Representative and two other members of the UAHA State Board and the Game(s) may be ordered replayed if the appeal is upheld.
- F. Protests – Protests on all matters other than playing rules and/or referees judgment must be filed with the Tournament Director in writing no later than two hours after completion of the Game involved. Written protests must contain all the facts and UAHA officials must be notified at the time of protest so that it may be announced to appropriate involved association officials and teams. A protest involving the judgment call of a referee will not be considered!
- G. Referees – All playoff Games must be officiated by registered referees in good standing with UAHA.
- H. Discipline – Any player or Team official receiving a Game(s) suspension during the UAHA State Playoffs (Game misconduct, etc.) must serve the suspension during the next Game(s) of that UAHA State Playoff.
- I. Authority of UAHA Tier Representative and Referees – In all State playoffs the qualified and designed referees shall have sole authority of and responsibility for the enforcement and interpretation of the playing rules on the ice except as provided herein. The UAHA shall have authority and responsibility for all off ice rules and regulations enforcement. The Tournament Director shall have the authority, with the advice of the referee, to terminate play, determine resurfacing as set for in these rules, and to decide if a Game must be replayed and the manner of replay.

Playing Rules

All USA Hockey Playing Rules shall prevail.

A. Uniform Colors – The Home Team shall wear Light/White jersey. The Visiting Team shall wear Dark/Colored jerseys in all Games. The higher seeded Team will be the Home Team. The Tournament Director will handle all disputes. Each Team entered in the State Playoffs must be fully uniformed with all players having jerseys of the same color. All players must have a number on their jersey per USA Hockey rules governing Uniforms.

B. Time of Periods – Length of periods and time outs

1. Youth 18 or under: Tier I & Tier II – 17 Minute stop clock
2. Youth 14 or under: Tier I & Tier II – 16 Minute stop clock

One time-out will be allowed per team, per game for each age classification. Time-outs will be one minute in duration. If the one allotted time-out is not used through the third period and the game goes into overtime, the single time-out can be used in the overtime period.

C. Resurfacing – For all Tier I and Tier II- U16 and U18, the ice shall be resurfaced at least every two periods. A 15-minute break after the second period will be used for each team to leave the ice for their respective locker rooms to allow for the ice make. For U14, the ice will be resurfaced, at a minimum, before each Game.

D. Overtime – For all Tier I & Tier II Divisions, if a game is undecided following the end of regulation time during playoff games, one (1) five-minute sudden death overtime period will be played as follows:

1. At the completion of the third period, Teams will receive a two-minute rest period (no resurface)
2. The Teams shall not switch ends
3. If the score is tied at the end of the sudden death overtime period, each Team will receive one point in the standings.
4. If a goal is scored in the sudden death overtime period, the Team scoring the goal will receive two points and the losing Team will receive one point.
5. If no goal is scored in the overtime period, there will be a shootout.
6. Championship Games will NOT be decided by a Shootout. Additional 5-minute sudden death periods will be played according to the guidelines above.

E. Shootout – Tier I & Tier II Divisions, if a game remains undecided following the end of regulation time and overtime during round-robin playoff games, a shootout will be conducted as follows:

1. Home will choose to shoot first or second first in the shootout. The winner of the coin toss will have the choice whether his/her team will shoot first or second.
2. All goalkeepers and all players from both teams listed on the official game sheet shall be eligible to participate in the shootout.
3. Any player whose penalty had not been completed when the overtime period ended or who receives a penalty during a shootout procedure is not eligible to be one of the players selected to participate in any portion of the shootout process.
4. The shootout procedure shall begin with five different individual shooters from each team taking alternate shots. The players do not need to be named beforehand. 5. The goalkeepers from each team may be changed after each shot.

6. The players of both teams will take the shots alternately until a decisive goal is scored. The remaining shots will not be taken.
7. If after the shootout, the shootout score is still tied, there will be a sudden death shootout.

F. Sudden Death Shootout – Tier I & Tier II Divisions, if a game remains undecided following the end of shootout in round-robin playoff games, a sudden death shootout will be conducted. A sudden death shootout is defined as each team attempting a shot. Should one team be successful and the other team not, the successful team shall win the shootout.

1. Teams will select their shooters to participate in sudden death shootout, whether or not they shot in the previous round.
2. All goalkeepers and all players from both teams listed on the official game sheet shall be eligible to participate in the shootout.
3. Any player whose penalty had not been completed when the overtime period ended or who receives a penalty during a shootout procedure is not eligible to be one of the players selected to participate in any portion of the shootout procedure(s).
4. Players in a sudden death shootout shall not be allowed to take another shot until four additional shooters have completed their attempts.
5. The goalkeepers from each team may be changed after each shot.
6. The official scorekeeper shall record all shots taken indicating the saves and goals scored. G.

All Games are played to a Winner.

Format

- A. Any Division with two (2) teams will play a best out of three series. The first team to win two games (all games must be played to a conclusion, no ties) will be declared State Tier I or Tier II Champion. The third scheduled game in this division will NOT be played if it is not needed to declare a Champion.
- B. Any Division with three (3), four (4), or five (5) teams will play a single round robin. The two teams with the greatest number of points at the conclusion of the round robin may play a single game to decide a State Champion. The team with the most points after the single round robin will be the HOME team in the championship game and the team with the second highest point total will be the VISITOR. If one or more teams are tied at the end of round robin play, the tie breaking formula outlined in the most current USA Hockey Annual Guide will be used to break the tie and declare which team advances.
- C. Any Division with six (6) or more teams will be split into two divisions and will play a single round robin of three games. The four teams with the greatest number of points at the end of the single round robin will advance to play in a semi-final round. The number 1 seed will play the number 4 seed and the number 2 seed will play the number 3 seed. The number 1 and number 2 teams will be the home teams.

If one or more teams are tied at the end of round robin play, the tie breaking formula as outlined in the most current USA Hockey Annual Guide will be used to break the tie(s) and declare which teams will advance. Each team will be awarded three (3) points for a win in regulation two (2) points for a win in overtime or shootout, one (1) point for a loss in overtime or shootout and zero (0) points for a loss in regulation. The tie-breaker formulas are (a) standings, (b) most total wins, (c) differential in goals against from goals scored with a maximum 7 goals per game, (d) quotient by dividing goals scored by the goals scored against, (e) most periods won, (f) quickest first goal.

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Referees. The Utah Supervisor of Officials shall appoint a Referee-in-Chief for all State Playoff Games. The UAHA shall pay referee fees for all State Playoffs for which a fee is collected. The Referee-in-Chief must attend as many games as possible. All Playoff Games must be officiated by referees that hold at least a Level-3 classification. Games for the 14U age divisions shall use a three (3) man system, and all 16U and 18U (19U for girls) shall use a four (4) man system.

Disciplinary Committee. The Discipline Committee shall be composed of three (3) people appointed by the UAHA State Board prior to the first UAHA Playoff game. No two members shall come from the same Association.

- A. The Discipline Committee shall be responsible for deciding any actions, suspensions or otherwise to be taken against a player or Team official receiving a match penalty or Game misconduct.
- B. The Discipline Committee shall have full authority to waive suspensions imposed. No player or Team official shall be suspended from participation in the remaining games unless he/she has appeared before the Discipline Committee and been given the opportunity to relate his/her version of the incident. (Note: Per USA Hockey rules, the suspension of the Head Coach for 15 penalties in a Game cannot be waived).
- C. Any player or Team official receiving a game(s) suspension during the State Playoffs (Game misconduct, etc.) which is upheld by the Discipline Committee must serve the suspension according the rules set forth in this document.

Entry Fees

Rates will be based on cost of ice and officials per hour x number of games needed/number of teams. The Tier Representative will present to each organization the total cost of the playoffs after all invoices have been received and calculated the cost per team/organization.

Awards After the final championship game has been completed both teams will line up for a center ice handshake. Each team will remain on the ice after completion of the handshake.

Both teams will line up on the blue-line and their names will be called individually to receive their award. The second-place team will be presented with their awards first and may leave the ice after receiving awards. The championship team will then be presented with their awards.

Awards will be provided by UAHA. No state championship or runner-up awards (including banners) may be provided without prior written approval from UAHA.

State Development Camp

I. Purpose of the State Development Camp

The primary purpose of the State Development Camp (SDC) is to provide a multi-session skills development opportunity for the age appropriate divisions for both boys and girls. The secondary purpose of the SDC is to select the highest skilled players in Utah to move on to the Rocky Mountain District Camp (RMDC), the Boys-14 Western Regional Camp (WRC) or Multidistrict Girls Development Camp (MDGDC). Players who would like the opportunity to skate with high level players from their birth year at the RMDC, WRC or MDGDC should attend SDC.

It is important for UAHA members to know that this is not a training or evaluation camp. Rocky Mountain District Camp - The RMDC is part of USA Hockey's player development process. Players attending the camp will be on the ice with NCAA College, Junior, AAA, Prep School, USAH National and, sometimes, NHL personnel. It is an opportunity for

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players throughout the district to measure themselves against the best players in the district and to gain exposure to scouts and higher levels of coaching. Players will attend hockey related educational seminars. Players will be evaluated on-ice and attend off-ice testing and will be ranked against other attendees for selection to the gender and birth year specific National USA

Hockey Select Camps.

Western Regional Camp - USAH discontinued the national 14U Boys camp in 2012 to give more players at the 15-17 levels a national experience. Statistics show that only approximately 20% of the players selected to any of the district camps at the 14 level will go on to attend the camp all years of eligibility. Furthermore, there is an approximate turnover of 50% per year of players first selected at the 14U level. By creating regional select camps, more players will have the experience of high-level development and education on training and potential hockey career paths. The annual camp is normally held at Colorado College in Colorado Springs, CO in June. Players are housed in dorms and fed on campus. All on-ice and off-ice sessions are on campus as well and are within walking distance of the dorms.

Multi-District Girls Development - there is a need for further development, education and exposure for female hockey players. The Multi-District Girls Development/Evaluation Camp (Rocky Mountain, Pacific and Northern Plains) uses a structure and format similar to other national camps but increases the number of female athletes and expand the opportunities for girls in all three districts. The Camp emphasizes the skill development and provides an opportunity for players to measure themselves against the best players from all three districts. Players are on the ice with NCAA, AAA, Prep School and USAH National personnel twice a day. Players also attend off-ice sessions, hockey related educational seminars, a college fair and a visit to the USA Hockey National Offices. Top players will advance to the birth year specific National USA Hockey Select Camps.

II. State Development Camp Staff - The State Development Camp Staff is made up of a committee of volunteers chaired by a qualified person selected by the UAHA Board of Directors. All members of the State Development Camp Committee must be registered as volunteers with USA Hockey and successfully complete a background screening through UAHA.

- A. State Camp Director - The VP of Travel shall serve as the State Camp Director (SDCD) unless the position is otherwise appointed by the UAHA Board of Directors. The SDCD has the overall responsibility for the State Camp and shall be present at all events. The SDCD will be responsible for selecting the State Camp Administrator, procuring ice, UAHA Policies & Procedures State Development Camp 1 setting the schedule, registration, and all other administrative and reporting functions. While the SDCD is responsible for overseeing that the evaluators adhere to the 2 approved criteria, the SDCD shall not participate in any goalie or player evaluations or selections.
- B. State Development Camp Administrator - The State Development Camp Administrator (SDCA) will be an independent third-party evaluator and moderator for the State Development Camp. The SDCA will be the lead evaluator at the camp and will have the final decision in the case of a tie vote.
- C. State Development Camp Evaluators and Coaches - The SDCD shall accept and review applications from any coach with a USA Hockey Level 4 or Level 5 coaching certification. Coaches applying as Evaluators must be in good standing with UAHA and USA Hockey to participate. Coaches interested in applying must submit their application online no later than March 15th. The goal is to obtain four (4)-six (6) evaluators to evaluate each group of players with a minimum of two (2) goalie specific evaluators. The SDCA will review independent applications and select qualified candidates who will serve as State Development Camp Evaluators and Coaches. State Development Camp Coaches will run on-ice skills sessions. Evaluators shall select players to move forward to the Rocky Mountain District, Western Regional or Multi-District Girls camps based on their performance and demonstrated skills at the SDC; not their season stats, a personal recommendation or previous assumption of the

players ability based on ranking on any team, league, city or state. In order to ensure consistency, Evaluators are required to attend all ice sessions for each group they are assigned.

III. Eligibility for State Development Camp - The camp is open to all girls and boys that can compete at the Tier skill levels, who are age eligible and whose parent(s) are permanent residents of Utah. Utah residents, born in the applicable birth years (ages 14-17) and who are registered with USA Hockey are eligible for State Development Camp. Non-USA Citizens - Players must be USA citizens to advance to the District, Western Regional or Multi-District Girls camps. Those players who are not USA citizens, but played hockey in Utah during the season may attend the SDC if availability permits. These players shall not register through the online process but should register with the SDCA directly.

Out-of-State Players - Out-of-State players (i.e., an import or billeted player whose parent(s) are permanent residence of another state) may attend the SDC for evaluation purposes only. These individuals are not eligible for a Utah slot to the District Camp. A player living with a family who has "Guardianship" rights is not considered a permanent resident of Utah.

IV. Age Groups - The birth years (age groups) for the SC are based on the age groups established for the RMD, WRN and EGD camps for each year. You need your groups change each year to include the player at the 14 through 17 birth years. For example, the age groups for 2015 included 1998 through 2001 birth years; age groups for 2016 willing to 1999 through 2002 birth years. The maximum number of players Utah is allowed to select at each position (Forward, Defense and Goalie) for the RMD, WRN and EGD camps in each age group is determined by USA Hockey and the Rocky Mountain District and is subject to change each year. Only the highest-level players are allowed, by USA Hockey and the Rocky Mountain District, to be selected to advance to these camps. It is possible that the Evaluators, under the direction of UAHA Policies & Procedures State Development Camp 1 the SDCA, will not fill every position in every age group if the skill level of the players is not appropriate. If other States do not fill all of their positions, it is possible that additional players from Utah can be selected if the SDCA feels strongly about additional player(s) however, there is no guarantee that additional players can or will be selected. There are NO "At-Large" player positions.

V. State Camp Location - The SDC location will within the state of Utah. The specific location within the State may change annually based on availability.

VI. Camp Dates - The SDC will be determined by the UAHA BOD on an annual basis. The SDC will take place at least fourteen (14) days before the RMD camp.

VII. Camp Fees - The SDC fees will be determined based on the projected expenses. Camp Fees shall include registration costs, ice times, jerseys, socks, etc. Camp fees are nonrefundable. The only exception is in the case of a documented player injury. A written doctor's report must be submitted to the SDCA with the refund request. Requests for refunds must be received prior to the start of SDC. Approved refunds will be made after the conclusion of the SDC; refunds shall be the Camp Fee minus the Administrative Fees incurred as a result of the online registration.

VIII. Camp Registration and Format - Players can register for the SDC online through the link available on the UAHA website. The SDC will consist of 4 sessions, 3 skills session and 1 scrimmage session, where players will be observed by evaluators. All on-ice skills sessions will be led by USA Hockey certified coaches whose application is approved by the SDCA.

IX. Group Assignments - Group assignments are made by the SDCA and will be posted within 24 hours of the start of the SDC. Requests for specific group assignments are NOT accepted. The purpose of SDC is to provide a multi-session skills development opportunity for the age appropriate divisions for both boys and girls. The secondary purpose of the

development camp is to select players to move forward to the District, Western Regional and Multi-District Girls camps. Group assignments are made based on the player registration numbers for each age division, position and player skill level. Once group assignments are made, there may be considerable changes in the group dynamics due to cancellations.

X. Camp Apparel - Each player will be issued a numbered jersey and a pair of plain socks that must be worn throughout the entire camp. Players must have plain hockey pants, team shells and pants with team logos will NOT be permitted. Helmet stickers are NOT allowed; players may remove stickers or block the entire logo with black hockey tape. Players who do not have their jersey or blacked out logos will not be allowed to participate.

XI. Selection Criteria - The youth players selected to attend the RMD camp will be competing with the other top ranked players in the District for selection to the National USA Hockey Select Camp. The girl players selected to attend the MDGD camp will be competing with the top ranked girls from three (3) Districts for selection of the Girls National USA Hockey Select Camp. Youth players selected to attend the WRC will be competing with the other top ranked players and Rocky Mountain and Pacific Districts. The selections are not based on the number of goals scored. The camp evaluators are looking for well-rounded players that UAHA Policies & Procedures State Development Camp 1 can be competitive at the District, Western Regional, or Multi-District Girls Camps. Evaluators use the following selection criteria:

- Forward Skating Technique, balance, stride, posture, edge control
- Backward Skating Technique, balance, stride, posture, edge control
- Skating Agility/Mobility Change of direction, stops, explosive starts, crossovers, edges, 3 steps
- Shooting Accuracy, strength, wrist shot, backhand, snapshot, slap shot, quick release
- Passing Giving/receiving passes, forehand, backhand, accuracy, speed, soft hands
- Scoring Ability Scoring touch, rebounds, presence around the net
- Ice Awareness Game strategy, reads ice and players well, good presence
- Play Making Ability Vision to support teammates, ability to create scoring chances, uses of time/space
- Positional Play Know their position, react to teammate play, react to game flow
- Offensive Play Creativity, puck support and attack, puck movement
- Defensive Play Zone coverage, teammate support, man down, back-check, forecheck
- Team Play Make their teammates better players, what they do without the puck
- Effectiveness Gets the most out of abilities, a gamer, can apply skills to impact game outcome
- Intensity/Aggressiveness Hustle, attack the play, battle along the boards,

XII. State Camp Selection/Results - Players selected to move forward to the RMD, WRC and MDGC Camp shall be notified in seven (7) to ten (10) of the conclusion of the SC via posting on the UAHA website. Posting shall include: Last Name, First Name, Birth Year, and Position. State Camp selections shall be provided to the RMD, WRC and MDGC Registrar(s) within three (3) business days after the conclusion of the SDC in the established format. All evaluation sheets and notes are turned into the SDCCD once the selections are made for each year group.

XIII. Waiver Requests - State Development Camp Exemptions/At-Large Requests – There are NO exemption requests for the SDC. All eligible players who wish to be considered for RMD, WR and MDGD Camps shall attend the State Development Camp.

8U

USA Hockey adopted the American Development Model (ADM) in January 2009. ADM has since been adopted in various ways throughout USA Hockey at the District and Affiliate levels. Member Associations will follow all USAH policies and procedures for 8U play

Patch Awards

Three types of achievement patch awards are available for milestone events during a season. A player can only receive one patch award for each type per season. In order to receive a patch award, a copy of the scoresheet showing the achievement should be sent to the State Registrar. The scoresheets are to be sent monthly by associations with a cover page detailing each player's achievement. Patch awards will then be sent to the association representative.

- Hat Trick patch for any player who scores 3 goals in a single USA Hockey sanctioned game
- Playmaker patch for any player who records 3 assists in a single USA Hockey sanctioned game
- Shut Out patch for any goalie who records a shutout in a single USA Hockey sanctioned game

Conflict of Interest Policy

Conflicts of interest have the potential to cause legal problems as well as embarrassment for UAHA/USA Hockey, Inc. While transactions involving conflicts of interest are not prohibited, they must be duly considered by the Board of Directors of UAHA/USA Hockey, Inc., based on disclosures as may be required by the Board.

This conflict of interest policy is intended to help directors, officers, and certain other persons identify situations that present possible conflicts of interest and to provide UAHA/USA Hockey, Inc., with a procedure whereby such potential conflicts may be reviewed by an appropriate party when necessary. Part II of the policy is intended to take advantage of certain statutory procedures which protects certain conflicted transactions from subsequent legal challenge.

Part I – Definitions

A. Conflict of Interest

A "Conflict of Interest" exists when a Responsible Person is called upon by the President to act on a transaction to which UAHA/USA Hockey, Inc., would be a party, where the Responsible Person's actions or relationships present the potential for improper personal gain or advantage, or an adverse effect on the interests of UAHA/USA Hockey, Inc. Although it is impossible to list every circumstance giving rise to a Conflict of Interest, the following will serve as a guide to the types of transactions and relationships that create Conflicts of Interest. "Class A" Conflicts of Interest relate to transactions in which a Responsible Person has a direct conflict of interest. "Class B" Conflicts of Interest involve relationships or transactions that create indirect conflicts of interest.

B. Class A

1. A transaction between UAHA/USA Hockey, Inc. and a Responsible Person or Family Member.
2. A transaction between UAHA/USA Hockey, Inc. and an entity (other than a Related Organization) or individual in or of which a Responsible Person or Family Member has a material financial interest or is a director, officer, agent, partner, associate, trustee, personal representative, receiver, guardian, custodian, conservator or other legal representative.

C. Class B

1. A Responsible Person's actions or involvement competing with UAHA/USA Hockey, Inc., or a Related Organization in the rendering of services or in any other transaction with a third party.
2. A Responsible Person having a material financial interest in an entity or individual that competes with

UAHA/USA Hockey, Inc., or a Related Organization in the provision of services or in any other transaction with a third party.

3. A Responsible Person accepting gifts, excessive entertainment or other favors from any individual or entity that does, or is seeking to do, business with UAHA/USA Hockey, Inc., or a Related Organization, under circumstances where it might be inferred that such action was intended to influence or possibly would influence the Responsible Person in his or her duties to this corporation. This does not preclude the acceptance of items of nominal or insignificant value that are clearly tokens of respect or friendship and not related to any actual or potential transaction or activity of this corporation or a Related Organization.

A list of examples of the foregoing types of transactions is set forth in Part V.

D. Control: "Control" exists if one organization or individual:

1. Owns, directly or indirectly, at least 50 percent of the stock ownership or membership interests of another organization;
2. Has the right, directly or indirectly, to direct or cause the direction of the management and policies of another organization, whether through the ownership of voting interests, by contract, or otherwise.

E. Family Member: A "Family Member" is a spouse, parent, child or a spouse of a child, brother, sister or spouse of a brother or sister, of a Responsible Person.

F. Related Organization: A "Related Organization" is an entity that controls, is controlled by, or is under common control with USA Hockey, Inc.

G. Responsible Person: A "Responsible Person" is any person who holds one or more of the following positions with respect to UAHA/USA Hockey, Inc., or a Related Organization:

1. Director
2. Officer
3. Member of a Committee
4. Member of the Executive Staff
5. Any other person who is determined by the Board of Directors to be subject to this policy.

Part II – Standards and Procedures

A. Report to President

Each Responsible Person who has or anticipates having a Conflict of Interest shall report the Conflict of Interest to the President of the corporation, or to an individual or committee designated by the President, immediately upon identifying the Conflict of Interest. A Conflict of Interest identified by a Responsible Person in the course of a meeting of the Board of Directors or of a committee shall be reported immediately to the Board of Directors or committee, as the case may be.

B. Class A Conflicts

Class A Conflicts of Interest by a member of the Board of Directors of UAHA/USA Hockey, Inc., shall be reported by the Responsible Person, the President, or the President's designee, to the Board of Directors or to the committee that is considering or has considered the transaction to which the Conflict of Interest relates. The Board or committee shall consider the Conflict of Interest in accordance with the following procedures:

1. If the director who has the Class A Conflict of Interest is present at the meeting at which the transaction involving the Conflict of Interest is to be considered, such director shall disclose to the Board or committee all facts material to the conflict of interest. Such disclosure shall be reflected in the minutes of the meeting.
 2. If the director who has the Class A Conflict of Interest is not present, or has not made the disclosure required by the preceding paragraph, the President or a representative designated by the President shall disclose to the Board or the committee all known facts material to the Conflict of Interest. Such disclosure shall be reflected in the minutes of the meeting.
 3. A director who has a Conflict of Interest shall not be counted in determining the presence or absence of a quorum for purposes of the vote. The Director having a Conflict of Interest shall not vote on the transaction. Such director's ineligibility to vote shall be reflected in the minutes of the meeting.
 4. The transaction or relationship shall be approved only if it receives the affirmative vote, in good faith, of a majority of all of the members of the Board of committee, as the case may be. The approval may be given before, after, or concurrently with the transaction that involves a Conflict of Interest.
 5. In the event that the number of persons having Conflicts of Interest with respect to a particular transaction is so large that it is impossible to obtain a quorum, or the number of votes necessary for approval as described in paragraph 4, then the transaction shall be approved only upon the unanimous vote of the disinterested directors, provided that there are at least two disinterested directors. The minutes of the meeting shall reflect an analysis of the fairness and reasonableness of the transaction as to this corporation.
 6. The procedure described in this section is intended to comply with the requirements of any statutory provision which provides for authorization, approval, and ratification of certain transactions involving Conflicts of Interest. In the event of any inconsistency between the provisions of this section and those statutory provisions, the statutory provisions shall govern.
- C. Other Councils: The President shall take such action as he or she deems appropriate with respect to reported Class A Conflicts of Interest involving persons other than directors and all reported Class B Conflicts of Interest. Such action may include, but is not limited to, (1) simply noting the Conflict of Interest in the corporate files, (2) reporting the matter to the Board of Directors for its information only, or (3) referring the matter to the Board of Directors or one of its committees for review.

Part III – Confidentiality

- A. Nondisclosure Policy: Each Responsible Person shall exercise care not to disclose confidential information acquired in connection with such status or information, the disclosure of which might be adverse to the interests of UAHA/USA Hockey, Inc., No Responsible Person shall use confidential information for his or her personal gain.
- B. Types of Confidential Information: The following types of information received by a Responsible Person in the performance of his or her responsibilities as a Responsible Person shall be treated as confidential unless otherwise determined by the Board:
1. Information regarding the appointment or termination of employees.
 2. Employee evaluations and compensation.

3. Information about contractual relationships with third parties. The foregoing is not intended to be a complete list of all the types of information that may be considered confidential.

C. Failure to Comply: Any director, officer or member of a committee of UAHA/USA Hockey, Inc., who fails to comply with the provisions of this Part III shall be subject to such sanction as the Board of Directors determines is appropriate. Any other person who is subject to this policy and who fails to comply with it shall be subject to discipline, termination of employment, or such other sanction as the Board of Directors determines is appropriate.

Part IV – Administration of Policy

A. New Directors: Each new Responsible Person shall be required to review a copy of this policy and to acknowledge in writing that he or she has done so.

B. Annual Review: This policy shall be reviewed annually by the Board of Directors or a committee designated by the board. Any changes to the policy shall be communicated immediately to all Responsible Persons.

Part V – Examples of Conflicts of Interest

A. Class A

1. A transaction between UAHA/USA Hockey, Inc., and a Responsible Person or Family Member.

Example: The Board of Directors approves an agreement for the provision of consulting services by a member of the Board of Directors of UAHA/USA Hockey, Inc.

2. A transaction between UAHA/USA Hockey, Inc., and an entity (other than a Related Organization) or individual, in or of which a Responsible Person or Family Member has a material financial interest, or is a director, officer, agent, partner, associate, trustee, personal representative, receiver, guardian, custodian, conservator or other legal representative.

Example: The Finance, Investment & Audit Committee approves an investment management agreement with a company of which a UAHA/USA Hockey, Inc., Director is the Vice President.

B. Class B

1. A Responsible Person competing with UAHA/USA Hockey, Inc., or a Related Organization in the rendering of services or in any other transaction with a third party.

Example: An officer of UAHA/USA Hockey, Inc., agrees with another National Governing Body to promote the National Governing Body in talks with potential sponsors or licensees.

2. A Responsible Person having a material financial interest in an entity or individual that competes with UAHA/USA Hockey, Inc., or a Related Organization in the provision of services or in any other transaction with a third party.

Example: The spouse of an officer of UAHA/USA Hockey, Inc., is an investor in a licensing agency company that attempts to obtain sponsors or licenses for clients other than UAHA/USA Hockey, Inc.

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3. A Responsible Person accepting gifts, excessive entertainment or other favors from an individual or entity that does, or is seeking to do, business with USA Hockey, Inc., or a Related Organization, under circumstances where it might be inferred that such action was intended to influence or possibly would influence the Responsible Person in his or her duties to this corporation. This does not preclude the acceptance of items of nominal or insignificant value that are clearly tokens of respect or friendship and not related to any actual or potential transaction or activity of this corporation or a Related Organization.

Example: The chair of the Finance, Investment & Audit Committee is offered free use of a lake home belonging to the President of an organization that has a finance proposal under review by UAHA/USA Hockey, Inc.

Part VI – Conflict of Interest Policy

**CONFLICTS OF INTEREST POLICY
UTAH FORM JANUARY 2019**

Conflicts of interest have the potential to cause legal problems as well as embarrassment for UTAH AMATEUR HOCKEY ASSOCIATION (“Organization”). While conflicts of interest are not prohibited, they must be duly considered by an appropriate body or party of Organization, based on disclosures as required by Organization. This Conflicts of Interest Policy (this “Policy”) is intended to help directors, officers, employees, members of councils, committees and similar bodies, and certain other persons identify situations that present possible conflicts of interest and to provide Organization with procedures whereby potential conflicts may be reviewed by an appropriate body or party of Organization.

Conflicts of interest exist where an individual’s activities or relationships present the potential for improper personal gain or advantage, or an adverse effect on the interests of Organization. It is impossible to list every circumstance giving rise to a conflict of interest; however, this Policy will serve as a guide to the types of circumstances that create conflicts of interest. Because the nature of the business of Organization requires great public respect for and trust in the reputation and integrity of Organization, and because Organization operates in the public spotlight, Organization is expected to conduct its affairs in a manner consistent with high ethical principles. Organization correspondingly requires Responsible Persons (as defined below) to act in the same manner.

It is recognized that many persons serving in paid, volunteer and other roles with Organization may also have volunteer, employment, management, ownership and other relationships with other entities involved in hockey that give rise to fiduciary and similar obligations to those other third parties. Ordinarily a Responsible Person’s obligations to Organization and a third party are not in conflict; however, when Conflicts of Interest arise, a Responsible Person has a primary fiduciary duty to Organization and must always act in the best interests of Organization. If a Conflict exists, then the Responsible Person must recuse themselves from involvement in the matter as more fully set forth in Section C below. Recognizing that Conflicts of Interest arise, this Policy is intended to preserve the integrity of the decisions and actions taken by Organization.

A. Definitions

As used in this Policy, the following capitalized terms shall have the meanings indicated.

“Agent” refers to a person serving as a director, officer, agent, partner, associate, trustee, personal representative, receiver, guardian, custodian, conservator or other legal representative of an entity or individual.

“Board” is the Board of Directors of Organization.

“Transaction” is any contract, transaction, agreement or relationship involving the sale or purchase of goods, services, or rights of any kind, the providing or receipt of a grant or loan, or the establishment of any other financial relationship with Organization or a Related Organization.

“Control” exists if an entity or individual either (i) owns, directly or indirectly, at least fifty percent (50%) of the equity ownership of another entity, or (ii) has the right, directly or indirectly, to direct or cause the direction of the management and policies of another entity, whether through the ownership of voting interests, by contract, or otherwise.

“Family Member” is a spouse, parent, child or a spouse of a child, brother, sister or spouse of a brother or sister, of a Responsible Person.

“Related Organization” is an entity that controls, is controlled by, or is under common control with Organization.

“Responsible Person” is any person who holds one or more of the following positions with Organization or a Related Organization: Director; Director Emeritus; officer; member of a council, committee or similar body; member of the Organization staff; member of a task force or other similar ad hoc committee; member of a hearing or appeal panel regarding a disciplinary matter, or any other person determined by the President of Organization to be subject to this policy.

B. Existence of a Conflict of Interest

A “Conflict of Interest” or “Conflict” exists when a Responsible Person’s activities or interests, whether direct or indirect, interfere with, influence, or have the potential to interfere with or influence his or her responsibilities in any material respect on behalf of Organization or undermine the interests of Organization; provided, however, where a Responsible Person has a financial interest (regardless of the materiality of the financial interest) in a Transaction, a potential Conflict of Interest may exist. This definition of Conflict of Interest is intended to be interpreted broadly because the appearance of impropriety can be just as damaging as actual impropriety. Therefore, a Conflict of Interest exists whenever the public may reasonably infer from the circumstances that there is or could be such a conflict. Conflicts of Interest include, without limitation, the following types of circumstances and related examples, which are presented for illustration purposes and are not intended to be an exhaustive list of all potential Conflicts of Interest:

- When Organization is considering entering into a Transaction with a Responsible Person or Family Member.

Example: Approval of the Board is sought for an agreement for the provision of consulting services by a director of Organization.

- When Organization is considering entering into a Transaction with an entity (other than a Related Organization) or individual in which a Responsible Person or Family Member has a financial interest or is an Agent.

Example: Approval of the Finance Committee of Organization is sought for a banking relationship with a company of which an Organization director is the Vice President.

- When a Responsible Person engages in activities competing with Organization or a Related Organization, including in the provision of services for, or in any other transaction or arrangement with, a third party.

Example: An officer of Organization agrees with a national governing body other than USA Hockey to promote the other national governing body in negotiations with potential sponsors or licensees.

- When a Responsible Person has a financial interest in an entity or individual that competes with Organization or a Related Organization, including in the provision of services for, or in any other transaction or arrangement with, a third party.

Example: The spouse of an officer of Organization works for or is an investor in a company that competes with Organization or a Related Organization, or in a company that provides services to a company that competes with Organization or a Related Organization.

- When a Responsible Person accepts gifts, excessive entertainment or other favors from any individual or entity that does, or is seeking to do, business with Organization or a Related Organization, if it might be concluded that such action was intended to influence or might influence the Responsible Person in his or her duties to Organization. This does not preclude the acceptance of items of nominal or insignificant value that are clearly tokens of respect or friendship and not related to any actual or potential transaction or activity of Organization or a Related Organization.

Example: The chair of the Finance Committee of Organization is offered free use of a lake home belonging to the President of an organization that has a financial proposal under review by Organization.

- When a Responsible Person has a financial interest or is an Agent of an organization that is reasonably likely to be impacted (financially or otherwise) by an action or decision made by the Responsible Person in his or her capacity acting on behalf of Organization.

Example: A committee member who works for a league, program or other organization is called upon to vote or make a decision on a matter materially impacting the league, program or other organization.

Example: A director working or volunteering for a Tier I program serves on a committee for determining whether to grant Tier I status to competing programs.

- When a Responsible Person's activities or interests, whether direct or indirect, interfere with, influence, or have the potential to interfere with or influence his or her responsibilities on behalf of Organization or undermine the interests of Organization.

Example: A director has a significant client that owns or operates a facility being considered as the host of an Organization event.

Example: An officer serves on a hearing panel or appeal panel involving discipline against a member of the officer's program.

C. Policy and Procedures

The procedures set forth below are designed to help Responsible Persons identify situations that present potential Conflicts of Interest and to provide Organization with a procedure for independent review and, when appropriate, approval of a circumstance in which a Responsible Person has or may have a Conflict of Interest. The policy is intended to comply with the procedure prescribed in **Utah Code, Title 16, Chapter 6(a)** which governs conflicts of interest for directors of nonprofit corporations.

Reporting Conflicts of Interest

Prior to Board, Executive Committee or other action on a matter involving a Conflict of Interest (including any decision or any Transaction), a Responsible Person who believes he or she has a Conflict of Interest shall disclose all facts material to the Conflict of Interest as follows:

- (a) In the case of Board or Executive Committee action, to the President;
- (b) In the case of action by a council, committee, task force, other ad hoc committee, or hearing or appeal panel, to the applicable chair (for example, a member of a Committee who believes that he or she has a Conflict of Interest shall report the matter to the chair of the committee); or
- (c) In the case of action by Organization staff, to the President.

Such disclosure shall be made by the person with the Conflict prior to or at the meeting.

The individual to whom the disclosure is made shall report the disclosure at the meeting prior to consideration of the matter involving the Conflict, and the disclosure shall be reflected in the minutes of the meeting. If the person having the Conflict of Interest is the President, then the required disclosure shall be made to, and the required report shall be made by, a Vice President or another officer.

For any other Conflicts of Interest not described above, the Responsible Person who believes he or she may have a Conflict of Interest shall disclose to the President the facts relating to the potential Conflict of Interest.

A Responsible Person with a Conflict of Interest shall refrain from any action that may affect Organization's participation in the subject Transaction or other decision or action of Organization and shall not attempt to exert his or her personal influence regarding the matter.

Individuals or bodies of Organization with questions about procedures for disclosure and review of Conflicts of Interest may seek advice from designated legal counsel for the Organization, who may answer such question or refer such question to a member of the Organization or other designee.

Unreported Conflicts of Interest

At the direction of the President or designated legal counsel for the Organization, Organization may review any matter to be considered by the Board, or a council, committee, task force, other ad hoc committee, hearing or appeal panel or other body of Organization, to determine whether a Responsible Person has a Conflict of Interest, and if it is determined that a Conflict exists the Conflict of Interest procedures herein shall apply.

Review of Conflicted Transactions

For matters in which a Responsible Person has a Conflict of Interest, the Board, Executive Committee, council, committee, task force, other ad hoc committee, hearing or appeal panel, or other body of Organization, as applicable, shall review each Conflict of Interest that is reported to it, and may approve the matter if the material facts as to the matter and the Conflict of Interest are fully disclosed or known to the body considering the matter, and the body approves the matter in good faith by the affirmative vote (without counting the person(s) with the Conflict) of a majority of the disinterested members of the body at the meeting, even though the disinterested members constitute less than a quorum for such meeting.

A Responsible Person who believes he or she has a Conflict of Interest may participate in the body's discussion of the matter if they have disclosed the material facts related to the Conflict and all parties related to the subject of the matter are present or represented; provided however, the chair of the body considering the matter may provide an opportunity for the body to discuss the matter without the person with the Conflict of Interest present. The person with a Conflict of Interest may not vote on the subject matter. The chair has the power to require the interested person to leave the room while the vote is taken and/or during deliberations.

The minutes of the meeting of the body reviewing a Conflict of Interest and making a decision on the underlying matter shall reflect (1) the matter under consideration, (2) the Responsible Person with a Conflict of Interest, (3) the Responsible Person's ineligibility to vote and/or absence from the meeting during any discussion or vote, and (4) the decision of the body on the matter under consideration.

For all other Conflicts of Interest, the President shall determine whether the Conflict of Interest should be reported to or acted on by the Board or other body of Organization. The President may also determine whether review and resolution of a Conflict of Interest should be handled by another party or body of Organization, including a special committee designated by the President. In each case, the President may direct and address review and resolution of the matter in the President's discretion and shall make a written record of the disclosure of the Conflict of Interest and related decision on referral of the matter.

Questions

If it is not clear whether a Conflict of Interest exists, then a Responsible Person with a potential Conflict shall disclose the circumstances to the President, who shall determine whether there exists a Conflict of Interest that is subject to this Policy.

Individuals or bodies of Organization with questions about procedures for disclosure and review of Conflicts of Interest may seek advice from the organization's designated legal counsel, who may answer such question or refer such question to a member of the Organization or other designee.

Date: _____

Name: _____

Position: _____

Please describe below any relationships, transactions, positions you hold (volunteer or otherwise), or circumstances that you believe could contribute to a conflict of interest:

_____ I have no conflict of interest to report.

_____ I have the following conflict of interest to report (please specify other nonprofit and for-profit boards you (and your spouse) sit on, any for-profit businesses for which you or an immediate family member are an officer or director, or a majority shareholder, and the name of your employer and any businesses you or a family member own:

1. _____

2. _____

3. _____

I hereby certify that the information set forth above is true and complete to the best of my knowledge.

Signature: _____

Date: _____

Whistleblower Policy

A whistleblower as defined by this policy is a UAHA member, volunteer, executive committee member, or board member of UAHA who reports an activity that he or she considers to be illegal or dishonest to one or more other parties specified in this policy. The whistleblower is not responsible for investigating the activity or for determining fault or corrective measures; appropriate management officials are charged with these responsibilities.

Examples of illegal or dishonest activities are violations of federal, state or local laws; billing for services not performed or for goods not delivered; and other fraudulent financial reporting.

If a member or volunteer has knowledge of or a concern of illegal or dishonest fraudulent activity, the member or volunteer is to contact any voting member of the UAHA Board of Directors who are responsible for investigation and coordinating corrective action. The member or volunteer must exercise sound judgment to avoid baseline allegation. A member or volunteer who intentionally files a false report of wrongdoing will be subject to discipline up to and including suspension.

Whistleblower protections are to cover two important areas: confidentiality and retaliation. Insofar as possible, the confidentiality of the whistleblower will be maintained. However, identity may have to be disclosed to conduct a thorough investigation, to comply with the law and to provide accused individuals of their legal rights of defense. There shall be no retaliation against a whistleblower. This includes, but is not limited to, protection from retaliation in the form of an adverse action such as suspension, fines or threats of physical harm. Any whistleblower who believes he or she is being retaliated against must contact any voting member of the UAHA Board of Directors immediately. The right of the whistleblower for protection against retaliation does not include immunity for any personal wrongdoing that is alleged and investigated.

Members or volunteer with any questions regarding this policy should contact the UAHA President or any voting member of the UAHA Board of Directors

Records Retention Policy

SCOPE AND FIELD OF APPLICATION: This document applies to all areas of the UAHA. Records can be in the form of any type of media such as hard copy or electronic media.

Record Retention:

UAHA will retain records in an orderly fashion for the periods that comply with legal and government requirements, and USAH policy, and as dictated by acceptable accounting procedures.

Storage and Protection: Records are maintained and stored in a suitable manner to permit appropriate access and retrieval, as well as an environment that protects against deterioration, damage and loss.

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First Amended: August 5th, 2019
Second Amended: July 6th, 2022

Record Disposition:

When the record retention period expires, records are to be discarded without further authorization, unless they are connected to litigation, investigation or claim.

The owner/custodian of the record is responsible for determining the appropriate means of disposal of the record.

RECORD MANAGEMENT OF ELECTRONIC DOCUMENTS: The same care in storage, protection, retrieval, retention and disposition is given to records stored using electronic media.