

ASPEN JUNIOR HOCKEY

ASPEN JUNIOR HOCKEY ANNUAL DIRECTOR COMMITMENT FORM

It is important that all Board of Directors are aware of the responsibilities and obligations expected of them. A hockey Director is a public fiduciary—a person responsible for an important activity carried out for the benefit of the community. Being a Director is not an honorary position. Aspen Junior Hockey (AJH) is a reflection of its Directors and their commitment as much as it is the hockey it plays. It is expected that each Director will make significant contributions to AJH in forms of wisdom, work, and wealth. The Governance Committee has formulated the following guidelines so each Director will know what is expected.

1. **ADVOCACY** Directors are expected to be active advocates for the AJH. This requires communicating the goals and objectives set by the Board of Directors each year, even if they are not the ones for which you voted. Directors are expected to continually work toward improving the relations of the AJH with the community, as well as with other sports organizations.

2. **FUNDRAISING** Participation in fundraising and development of AJH is of ultimate importance. We encourage personal monetary contributions, solicitation of contributions, and "in-kind" donations of time and expertise.

3. **MEETINGS** Directors are expected to attend and participate in the Board of Directors' meetings (either in person or by teleconferencing). The advice and participation of all Directors at the Board meetings is vital to the successful operation of the Board. Only by attending meetings can you become familiar with the operation and management of AJH, make constructive proposals, and vote wisely. If a Director is absent from one-half (1/2) of the Board of Directors meetings in any one year without cause, s/he shall be deemed to have resigned as a Director.

4. **COMMITTEES** Directors are expected to serve actively on one or more committees as requested by the President and potentially chair a committee.

5. **HOCKEY EDUCATION** Directors are expected to make a concerted effort to learn about the sport of hockey and its rules and regulations so that they can intelligently and knowledgeably respond to the inquiries of AJH families and coaches.

6. **BENEFITS** Directors are expected to attend and work as requested on the benefit events. In addition, Directors should enthusiastically promote AJH benefits to their acquaintances to increase ticket sales and thereby help to ensure the financial health of AJH.

7. **RESOURCES** Directors are expected to give their personal and professional experience and expertise to AJH and to make their personal resources and contacts, as applicable, available to AJH.

8. **ETHICS/CONFIDENTIALITY** Directors agree to follow the guidelines set forth in the AJH Code of Ethics for Directors distributed to each Director annually. Additionally, Directors agree to honor the confidentiality of AJH business conducted at board and committee meetings.

Director Signature

Date

Director Name (printed)

ASPEN JUNIOR HOCKEY

ASPEN JUNIOR HOCKEY CONFLICT OF INTEREST POLICY

AJH is committed to integrity as the guiding principle for its Directors, officers and committee members and as such AJH has prepared a Code of Ethics and Conflict of Interest Policy (the "Policy") to reaffirm this commitment. The guidelines contained in this Policy are designed to assist you in making the right choices when confronted with difficult situations. AJH also expects its Directors to recognize and avoid activities and relationships that involve or might appear to involve conflicts of interest and behavior that may cause embarrassment to AJH or compromise its integrity. Directors are expected to act in good faith without allowing personal interest to prevail over the interests of AJH. Therefore it is incumbent upon each Director and any other person covered by this policy to:

Act in good faith when dealing with AJH and not allow personal interests to prevail over or interfere with the interests of AJH when engaging in transactions with AJH.

Disclose to the Board any "Material Financial Interest" in a transaction with AJH.

Refrain from using any information not generally known outside of AJH concerning business activities of AJH for any purpose other than in connection with the business activities of AJH and such information should never be used for the benefit of themselves or their family members.

Protect and safeguard from disclosure any and all confidential information pertaining to AJH affairs including donors and personal information.

Covered Persons:

The policies contained herein apply to all voting members of the Board of Directors; as well as all AJH representatives who are engaged in fundraising, educational, or other volunteer activities of AJH.

Significant Relationships:

The policies contained herein apply also to transactions between AJH and third parties with which a Director has a "significant relationship" (including a family member including domestic partner, spouse, parent, sibling, child, stepchild, grandparent, and in-law).

Material Financial Interest:

A "Material Financial Interest" is a matter of personal judgment but, at a minimum is defined as an aggregate interest by the Director or the significant relationship in a transaction where the Director or a Director's significant relationship owns any of the following of one of the counterparties to such transaction:

5% of any class of the outstanding securities of a firm or corporation;

10% interest in a partnership or association; or

5% of the total direct and beneficial assets or income of the person.

Disclosure:

Potential conflicts of interest as described in this document will be reviewed by the Executive Committee, the Executive Director of AJH, and AJH general counsel. When any conflict of interest is relevant to a matter requiring action of the Board, the interested person shall disclose such potential conflict of interest. Failure to report knowledge of a potential conflict of interest may result in disciplinary action against those who fail to report. There will be no reprisals for good faith reporting of actual or possible conflicts of interest. When and where possible, the identity of the reporting and or violating Director will remain confidential and all reported violations of the conflict of interest policies treated confidentially to the extent consistent with AJH interests and its legal obligations.

Acknowledgement:

AJH requires that all Directors sign this acknowledgement confirming that they have read the policy and understand it and also complete a Conflict of Interest questionnaire annually.

1. Questionnaire Acknowledgement

Do you or any significant relationships have any "Material Financial Interest" in an entity with an ongoing relationship with AJH?

During the past 12 months, have you or any significant relationship received gifts, loans, and/or gratuities from any person or entity from which the AJH buys goods, services or has other significant business dealings?

Do you or any significant relationships have a "Material Financial Interest," direct or indirect, in any pending or incomplete transaction to which AJH is likely to be a party?

Do you have knowledge of any transaction which you feel should be reported to the AJH under the Policy?

To: President of the Board of Directors

Re: Conflict of Interest Disclosure

I, _____, have carefully reviewed the Questionnaire and Acknowledgement contained in the Policy. I have identified below any instances where I would answer "yes" to any of the questions listed in the Questionnaire and Acknowledgement. Should my response to any of the questions change in the future, I will inform the President of the Board.

Sincerely,

Signature

Date

Conflict of Interest Statement:

I, _____ acknowledge that I have read and understand the Policy of AJH in its entirety and hereby affirm that I understand its contents and will adhere to its guidelines.

Signature

Date